

1 **Factors associated with adverse outcomes among patients hospitalized at a COVID-19**
2 **treatment center run by Médecins sans Frontières in Herat, Afghanistan**

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4 **Short title: Factors associated with adverse outcomes among COVID-19 patients in**
5 **Afghanistan**

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24

25 **Abstract**

26 **Background**

27 Though many studies on COVID have been published to date, data on COVID-19 epidemiology,
28 symptoms, risk factors and severity in low- and middle-income countries (LMICS), such as
29 Afghanistan are sparse.

30 **Objective**

31 To describe clinical characteristics, severity, and outcomes of patients hospitalized in the MSF
32 COVID-19 treatment center (CTC) in Herat, Afghanistan and to assess risk factors associated with
33 severe outcomes.

34 **Methods**

35 1113 patients were included in this observational study between June 2020 and April 2022.
36 Descriptive analysis was performed on clinical characteristics, complications, and outcomes of
37 patients. Univariate description by Cox regression to identify risk factors for an adverse outcome
38 was performed. Adverse outcome was defined as death or transfer to a level 3 intensive care
39 located at another health facility. Finally, factors identified were included in a multivariate Cox
40 survival analysis.

41 **Results**

42 A total of 165 patients (14.8%) suffered from a severe disease course, with a median time of 6
43 days (interquartile range: 2-11 days) from admission to adverse outcome. In our multivariate
44 model, we identified male gender, age over 50, high O₂ flow administered during admission,
45 lymphopenia, anemia and O₂ saturation $\leq 93\%$ during the first three days of admission as
46 predictors for a severe disease course ($p < 0.05$).

47 **Conclusion**

48 Our analysis concluded in a relatively low rate of adverse outcomes of 14.8%. This is possibly
49 related to the fact, that the resources at an MSF-led facility are higher, in terms of human resources
50 as well as supply of drugs and biomedical equipment, including oxygen therapy devices, compared
51 to local hospitals. Predictors for severe disease outcomes were found to be comparable to other
52 settings.

53 List of abbreviations

ARDS	Acute respiratory distress syndrome
BP	Blood pressure
CI	Confidence interval
COVID (-19)	Coronavirus disease
CRP	C-reactive protein
CTC	COVID-19 treatment center
HIV	Human immunodeficiency virus
HR	Hazard ratio
ICU	Intensive care unit
IQR	Interquartile range
LMIC	Low- to middle-income country
MSF	Médecins Sans Frontières
PCR	Polymerase chain reaction
REDCap	Research Electronic Data Capture
WBC	White blood cell
WHO	World Health Organization

55 **Introduction**

56 *Covid-19*

57 Since the initial outbreak of the coronavirus disease (COVID-19) in December 2019 in Wuhan, China,
58 SARS-CoV-2 has spread across the globe affecting millions of people. As of July 2022, the number of past
59 and current infections reported to the WHO rose to more than 550 million cases with more than six million
60 cumulative deaths.¹

61 Though most cases are mild and do not require hospitalization, approximately 14% of patients recorded in
62 the literature experience a severe and 5% a critical course^{2, 3}. This, however, highly depends on the age
63 structure and prevalence of underlying risk factors within the population. In populations with a younger age
64 structure, such as Afghanistan, the proportion of recorded severe and critical disease cases tend to be lower.⁴
65 Common complications described in studies of patients with a severe disease course include acute
66 respiratory distress syndrome (ARDS) or cardio- and cerebrovascular complications, due to the pro-
67 coagulant nature of the disease.⁵⁻⁹

68 After admission to hospital, published mortality rates range widely between 2% and 60%, depending on
69 various factors such as the type of care facility (e.g., equipped for critical care or not), hospital equipment
70 and number and qualification of staff.¹⁰⁻¹⁵ Multiple meta-analyses have estimated the in-hospital mortality
71 to be around 17% (95% CIs ranging from 12.7% to 22.7%)^{16, 17}

72 Many studies have researched predictors for in-hospital mortality. Identified factors have included: higher
73 age, male gender, low oxygen saturation at admission, tachypnea and various laboratory determinants such
74 as lymphopenia, low hemoglobin levels, elevated c-reactive protein (CRP), lactate dehydrogenase (LDH)
75 and urea, hyponatremia, hyperkalemia and abnormal coagulation parameters.^{11, 18-25}

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78 *Rationale*

79 From China the virus spread first to high-income countries, followed shortly by low- and middle-income
80 countries (LMIC). Due to the weaker socioeconomic status, fragile health-systems and infrastructure of
81 these countries, the impact of COVID-19 on the population and health systems raised concerns. The
82 situation in LMICs may lead to a different profile of disease severity and finally potentially higher risk of
83 death in individuals with risk factors for severe disease, due to a lack of medical infrastructure, skilled staff,
84 intensive care capacity and biomedical equipment or otherwise well-functioning services that become
85 quickly overwhelmed.¹⁴ Furthermore, while LMICs tend to have a younger age structure,^{26, 27} which has
86 been identified as a possible protective factor,²⁸ non-communicable diseases such as diabetes and chronic
87 vascular disease are on the rise in many LMICs and are often poorly controlled, or undiagnosed and
88 consecutively left untreated, predisposing to higher risk of complications.²⁹⁻³²

89 From both a public health and a clinical perspective, it is therefore vital to determine key predictors for
90 unfavorable disease outcomes, in order to better estimate the likely burden on the health system and the
91 resources required for future waves of COVID-19, to aid physicians in triaging patients appropriately and
92 allocating valuable resources such as oxygen therapy to those in greatest need.

93 The first case of COVID in Afghanistan was detected in February 2020 in Herat.³³ Nationally, as of July
94 2022, approximately 180,000 confirmed cases and 7700 deaths from COVID have been reported to the
95 WHO. It is, however, estimated that the actual numbers are much higher due to persistent limitations in
96 capacity of laboratory and surveillance infrastructure.^{1, 34} The first wave of disease is hypothesized as being
97 linked to the large influx of Afghan refugees returning from neighboring Iran, which was heavily affected
98 in the early stages of the pandemic.^{35, 36} As of July 2022, Afghanistan has been hit by four waves, the first
99 spanning from April to June 2020, the second from October 2020 to December 2020, the third from April
100 2021 to August 2021 and the fourth and most recent wave from January to April 2022.³⁷

101 Since the onset of the pandemic, Médecins Sans Frontières (MSF) has been working in many affected
102 countries, with interventions ranging from basic community education and health worker trainings, to
103 setting up mobile clinics, and COVID-19 treatment centers (CTC) of varying capacity and levels of care.
104 In Herat, Afghanistan, MSF set up a CTC which opened in July 2020. Here, we report on the disease
105 characteristics, severity, and outcomes among COVID-19 patients admitted to the CTC.

106 **Methods**

107 *Study design*

108 The study follows a mixed prospective and retrospective observational design. Data collection was
109 performed between 26th of June 2020 and 14th of March 2022. The prospective component began after
110 approval of the protocol by the institutional Review Board of Afghanistan on the 16th of August 2020. All
111 data from before this time was collected retrospectively from clinical patient files.

112 *Study site*

113 Study site is the MSF CTC in Herat, Afghanistan, a major city (estimated population of 600'000)³⁸ and the
114 regional capital of the Western Region and provincial capital of the Herat province (estimated population
115 of 2.2M)³⁸. The CTC was initially providing basic level 1 ICU care with the provision of standard oxygen
116 therapy, later upgrading to level 2 ICU capacity from December 2020 with the arrival of non-invasive
117 ventilation equipment. The CTC admitted patients corresponding to the MSF definition (and WHO's initial
118 2020 definition) of moderate and severe COVID-19 disease, as identified at the MSF-run COVID-19 triage
119 located at the Herat Regional Hospital, which acts as the corner stone of the COVID-19 care system in
120 Herat. The CTC initially opened in June 2020. It was temporarily closed after first and second waves of
121 COVID-19, to reopen at the start of the next wave. It did however remain open between wave three and
122 four due to continuous presentation of cases meeting admission criteria, until its definitive closure in April
123 2022. It is important to note however that the MSF triage remained open both in between and throughout
124 successive waves, this was part of MSF's surveillance strategy and to ensure that patients presenting with

125 COVID-19 between major waves could be identified and either isolated as outpatients or referred for
126 inpatient isolation and management. The MSF CTC did not initially admit patients presenting in a critical
127 state since there was no possibility of high flow non-invasive ventilation or intubation. Instead, critical
128 patients were sent to Shaidayee hospital, a CTC run by a local NGO on behalf of the MoH. From Dec 2020
129 with the arrival of High Flow Nasal Oxygen therapy (HFNO), MSF teams kept patients meeting MSF
130 criteria for critical disease (i.e. those requiring high flow non-invasive ventilation could be managed if
131 deemed appropriate by MSF clinicians). Other treatments included antibiotics, antipyretics, steroids, and
132 anticoagulants and treatments for any co-morbidities according to MSF protocol.

133 PCR (polymerase chain reaction) testing was not done for all patients due to lack of laboratory capacity in
134 Herat. The national policy, which recommends testing of suspected cases over 50, severe cases (i.e., those
135 requiring oxygen and hence admission), health care workers and pregnant women with symptoms, was
136 applied to prioritize testing. Antigenic Rapid Diagnostic Tests (RDTs) were used to complement when
137 available.

138 *Study population*

139 The study population consists of all clinically suspected or lab-confirmed COVID-19 patients admitted to
140 the CTC who have consented to their data being used or met the exemption criteria (see Ethical
141 considerations).

142 Inclusion criteria were designed as: Clinically suspected or lab-confirmed COVID-19, and consent to
143 participate in the study, and with outcome either discharge to home, transfer to ICU or death.

144 *Data collection*

145 Routinely collected data included the patients' medical history, clinical examination including vital signs
146 at admission, lab results, including COVID-19 RT-PCR done at the Herat Regional Reference Laboratory,
147 antigen RDTs, baseline blood test results and other clinically indicated tests upon the physician's discretion
148 where available (e.g., serological testing for human immunodeficiency virus (HIV), X-ray, or pregnancy
149 tests). Patients were continuously monitored, and their vital signs documented throughout the day. In

150 general, two daily values of vital signs were entered into the database (approximately at 8am and 6pm).

151 Data on treatments, outcomes and complications were also collected. More information can be found in the
152 supplementary table.

153 Study data were collected from patient files as documented by physicians and nurses during admission, stay
154 and discharge. Study data were collected and managed using REDCap electronic data capture tools hosted
155 at Epicentre, Paris.^{39, 40}. All identifying information was removed, so that only deidentified data with a
156 patient identification number is used for the statistical analysis.

157 Data was collected throughout four waves of the pandemic, whenever the CTC was admitting patients:

- 158 • First Wave: admissions from the 26th of June to the 20th of September 2020,
- 159 • Second Wave: admissions from the 1st of December 2020 to the 1st of March 2021
- 160 • Third Wave: admissions from the 8th of June 2021 to the 25th of October 2021
- 161 • Fourth Wave: admissions from the 26th of October 2021 to the 14th of March 2022

162 *Descriptive analysis*

163 Continuous variables were described by median and interquartile range (IQR), categorical data by counts,
164 proportions and 95% confidence intervals (95% CI). Descriptive analysis was performed on
165 sociodemographic data, clinical characteristics, complications, and outcomes.

166 *Severity at admission*

167 Severity was assessed by the physicians in charge upon admission and based on the MSF COVID-19
168 guidelines (Table 1).

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173 **Table 1:** Disease severity at admission based on the MSF COVID guidelines
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Mild disease	Moderate disease	Severe disease	Critical disease
Respiratory rate <24/min	Respiratory rate 24-30/min	Fever or suspected respiratory infection AND one of the following:	Severe COVID AND >10 litres of oxygen at admission, OR ARDS/sepsis/shock OR Intubation
O2 saturation ≥94 % on room air after 3 minutes of moderate exercise	O2 saturation ≥94 % on room air after 3 minutes of moderate exercise	<ul style="list-style-type: none"> • Respiratory rate >30/min • Severe respiratory distress • O2 saturation ≤93% on room air • GCS <15 	
No signs of pneumonia	No signs of severe pneumonia		
Normal pulmonary exam	Non-complicated pneumonia and mild bronchospasm in pulmonary exam	Severe pneumonia or sepsis	
		Complicated pneumonia or moderate to severe bronchospasm in pulmonary exam	

175

176 *Adverse outcomes*

177 Since the CTC wasn't equipped for level 3 ICU (intubation and mechanical ventilation), until dec 2020
 178 patients in critical states were generally referred to Shaidayee hospital, which had a level 3 ICU and was
 179 run by a local NGO on behalf of the MoH. From Dec 2020 with arrival of HFNO, MSF teams had capacity
 180 to admit patients requiring higher flow of O2 and thus reducing the need to refer. The outcomes of patients
 181 referred to Shaidayee could unfortunately not be determined on an individual basis, but anecdotal evidence
 182 states that the mortality among the patients referred was high.

183 Thus, for this study, adverse outcome was defined as death or transfer to the level 3 ICU at Shaidayee
184 hospital, while a mild disease course was defined as discharge to home or referral to a convalescence unit.

185 *Cox regression*

186 In a second step uni- and multivariable Cox regression with time dependent co-variables was performed to
187 identify risk factors for adverse outcome, with death or transfer to a level 3 ICU vs discharge to home as
188 the dependent variable. Independent covariates included basic patient demographics, vaccination status,
189 COVID-19 test result, clinical information at admission, laboratory parameters and vital signs. Vital signs
190 were included as time dependent co-variables. To adequately address our main research question, we only
191 included data from the first three days after admission. Time at risk was calculated as the time between
192 admission and outcome. To increase clinical relevance, we decided to categorize our variables and set
193 clinically relevant cutoffs, which were as follows: Age > 50 years, hemoglobin <12 g/dl, lymphocytes <500
194 $10^3/\mu\text{l}$ and O₂ saturation $\leq 93\%$.

195 As lymphocytes were collected as % of WBC, we transformed this variable into absolute values by
196 multiplying with the median number of WBC over all measurements. Due to discrepancies within the
197 database and a too high proportion of missing values, underlying comorbidities were not included in the
198 analysis.

199 After performing the univariate analysis, we selected variables based on their statistical significance (p-
200 value <0.1), their clinical relevance and completeness of data in descending order of importance to be
201 included in our multivariate model. Adjusted hazard ratios were expressed with 95% confidence intervals
202 (CI) and an alpha level of 5%. All analyses were performed using R 4.1.2 (The R Foundation for Statistical
203 Computing, Vienna, Austria).

204 *Ethical considerations*

205 The research described here has been conducted according to the principles expressed in the Declaration of
206 Helsinki. This study was approved by the Institutional Review Board of the Afghan National Public Health

207 Institute (Protocol A.0820.0214, 12 August 2020) and by the MSF Ethical Review board (Protocol 2043a,
208 20 August 2020). All patients included in the study either verbally consented for their deidentified data to
209 be used or met the exemption criteria approved by the Ethical Review Boards (patient discharged before
210 the study started and thus included retrospectively OR patient deceased before the verbal consent could be
211 taken). Verbal consent was warranted due to widespread illiteracy and since the study presents minimal risk
212 to participants and does not include any procedure for which written consent is normally required.

213 **Results**

214 *Inclusions*

215 During the entire period of operation, a total number of 1428 patients were admitted to the CTC. After
216 exclusion of 315 patients who did not meet the inclusion criteria, a total of 1113 clinically suspected or
217 serologically confirmed COVID-19 patients were included in the study. Of these, 99 patients were included
218 in the retrospective part (discharge before the study start on 16.08.2020) and thus exempt from individual
219 consent.

220 *Weekly admissions and outcomes*

221 Figure 1 gives an overview of weekly admissions throughout the periods when the CTC was open, stratified
222 by outcome. An average of just below 17 patients were admitted per week. During wave 1, 169 patients
223 were included, during wave 2, 3 and 4, 278, 381 and 285 patients were admitted respectively.

224 **Figure 1:** Weekly admissions stratified by outcome.

225 Number of patients admitted to the CTC per week stratified by outcome. As can be seen in the graph, the
226 CTC remained open between waded 3 and 4.

227

228 *Demographic and clinical characteristics*

229 The median age of all patients was 60 years, with an IQR of 47 to 70 years and only slight variations
230 between waves (Table 2). Of the 1109 patients for whom gender is known, 591 (53%) were female. Only
231 during wave 3 the proportion of males was higher (59%).

232 A total of 52 patients reported to be vaccinated against COVID-19, all of whom were hospitalized during
233 the third and fourth waves (table 1, COVID-19 vaccination started in November 2021 in Herat). The
234 proportion of vaccinated individuals was 17% when considering only patients admitted after vaccination
235 first became available (52 out of 300 patients in total). The most common vaccine was Johnson & Johnson
236 (40 patients, 77% of vaccinated), followed by AstraZeneca (3, 6%) and Sinopharm (1, 2%). The latter two
237 vaccines require two doses, our patients however reported to have received one dose only. For 8 patients,
238 data on the type of vaccine used was not available.

239 A total of 951 patients were tested for COVID-19, of these 109 patients were tested twice. The most
240 commonly used test was RT-PCR, however in some cases antigenic RDTs were performed, which became
241 available during the third wave. In total, 773 patients were tested with RT-PCRs and 282 with RDTs, while
242 the choice of test was unknown for 5 cases. Of the patients tested with RT-PCR, 355 (46%) had a negative
243 and 418 (54%) at least one positive RT-PCR or RDT result. Comparison of all four waves shows that the
244 highest proportion of positive tests was during wave 3 with 183 patients (81%) testing positive at least once,
245 compared to only 81 patients (34%) during wave 2 (Table 2). Almost all patients tested with RDTs had
246 positive results, with only 10 out of 282 patients receiving a negative test result (4%).

247 Most patients were classified as severe or critical at admission (975 patients; 91% of total cohort), compared
248 to only 101 patients (9%) who were mild to moderate. During the first wave 46% of patients were classified
249 as severe upon admission, while this number increased continuously up to 100% during the fourth wave,
250 which was partially due to limited bed capacity and stricter application of admission criteria.

251 All patients received oxygen at admission. 415 (55%) received under five, 244 (32%) five to ten, and 92
252 (12%) over ten liters of oxygen per minutes.

253 Median O₂ saturation was 86% (IQR: 81-90%) at admission for the total cohort. Analysis stratified by wave
254 showed that this number decreased through waves, with a median O₂ saturation of 92% (IQR: 89-95%) at
255 admission during the first and 83% (IQR: 75-88%) during the fourth wave (Table 2).

256 Basic blood laboratory analysis was performed in most patients. The most frequently performed analysis
 257 was a complete blood count with differential test, while other parameters such as CRP was tested only upon
 258 the physician’s discretion. If a patient received more than one blood analysis, results were averaged to
 259 facilitate analysis (Table 2).

260

261 **Table 2:** Sociodemographic and clinical characteristics

		Total cohort	Wave			
			1	2	3	4
Patient demographics						
Number of patients						
[n]		1113	169	278	381	285
Sex						
[n (%)]	<i>Female</i>	591 (53)	96 (57)	166 (60)	158 (41)	171 (60)
	<i>Male</i>	518 (47)	71 (43)	110 (40)	223 (59)	114 (40)
	<i>Missing values</i>	4	2	2	0	0
Age (y)						
[Median (IQR)]		60 (47-70)	60 (46-70)	60 (45-70)	60 (45-68)	63 (50-70)
Vaccination status						
[n (%)]	<i>Vaccinated</i>	52 (17)	-	-	2 (3)	50 (21)
	<i>Non-vaccinated</i>	248 (83)	-	-	63 (97)	185 (79)
	<i>Missing values</i>	813	169	278	316	50
RT-PCR result						
[n (%)]	<i>Negative</i>	355 (46)	63 (40)	160 (66)	44 (19)	88 (59)
	<i>Positive</i>	418 (54)	94 (60)	81 (34)	183 (81)	60 (41)
	<i>Not tested</i>	340	12	37	154	137
RDT result						
[n (%)]	<i>Negative</i>	10 (4)	-	-	9 (5)	1 (1)
	<i>Positive</i>	272 (96)	-	-	184 (95)	88 (99)
	<i>Not tested</i>		169	278	188	196
Characteristics at admission						
Days since onset						
[Median (IQR)]		7 (5-10)	7 (4-10)	7 (4-10)	8 (6-10)	7 (4-10)
	<i>Missing values</i>	89	6	16	43	24
Admission status						
[n (%)]	<i>Mild-moderate</i>	101 (9)	86 (52)	11 (4)	4 (1)	0 (0)
	<i>Severe-critical</i>	975 (91)	79 (48)	264 (96)	350 (99)	282 (100)
	<i>Missing values</i>	37	4	3	27	3

n

[n (%)]	<5L/min	415 (55)	24 (47)	88 (69)	166 (50)	137 (57)
	5-10L/min	244 (32)	18 (35)	26 (20)	127 (38)	73 (30)
	>10L/min	92 (12)	9 (18)	14 (11)	39 (12)	30 (12)
	Missing values	362	118	150	49	45
O2 Saturation (%)						
[Median		86	92	89	85	83
(IQR)]		(81-90)	(89-95)	(85-94)	(81-88)	(75-88)
	Missing values	62	24	8	17	13
Laboratory results during stay						
WBC (10³/μl)						
[Median		10	10	11	11	10
(IQR)]		(7-14)	(7-13)	(7-15)	(8-15)	(7-14)
	Missing values	370	41	72	181	76
Lymphocytes (% of WBC)						
[Median		10	15	9	10	10
(IQR)]		(7-16)	(10-24)	(6-15)	(7-14)	(7-16)
	Missing values	370	41	72	181	76
Hemoglobin (g/dl)						
[Median		14	13	14	14	15
(IQR)]		(13-15)	(12-14)	(13-15)	(13-15)	(13-16)
	Missing values	370	41	72	181	76
CRP (mg/l)						
[Median		96	NA	NA	48	96
(IQR)]		(48-192)	-	-	(48-102)	(48-192)
	Missing values	782	169	278	254	81

262 **Abbreviations:** CRP: C-reactive protein; IQR: Interquartile range; WBC: White blood cells

263 During the first wave, the three most common symptoms documented at admission were fever, shortness
 264 of breath and cough. While cough and shortness of breath remained among the top three reported symptoms
 265 for the following two waves, presentation with fever became continuously less frequent. The third most
 266 frequently reported symptoms for waves 2 and 3 were chest pain and headache respectively, while muscle
 267 and chest pain tied for the third position during the fourth wave. Abdominal and nasal symptoms were of
 268 less importance throughout all four waves (Figure 2).

269

270 **Figure 2:** Most common symptoms of patients at admission stratified by wave

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274 *Vital signs*

275 We analyzed the evolution of O₂ saturation and systolic and diastolic blood pressure over the first ten days
276 after admission stratified by outcome (Figure 3), including a linear trend line. Overall, the median O₂
277 saturation levels of patients with a more severe outcome were lower than in those with a positive outcome.
278 While the trend line of both groups decreases over time, the decrease is steeper in patients with an adverse
279 outcome. Median O₂ saturation levels at day 2 were 94% (IQR: 92-96%) in patients with a positive outcome
280 vs 91% (IQR: 88-94%) in patients with an adverse outcome. On days 5 and 10 saturation levels were 94 vs
281 89 % (IQR: 91-95% and 85-93%) and 93 vs 88% (90-94% and 84-91%) respectively.

282 For the evolution of systolic and diastolic blood pressure, the difference according to outcome is less
283 pronounced (Figure 3B and C). Regarding systolic blood pressure, patients with an adverse outcome have
284 slightly lower blood pressure values than those with a positive outcome. While the trend line of the first
285 group remains stable, the trend line of the latter shows a slight increase of blood pressure values over time.
286 Regarding diastolic blood pressure, values are at an equal level in both groups. Note the important number
287 of values far over the normal range. Also note that this descriptive analysis of vital signs suffers from right-
288 censoring since patients who were discharged do not contribute to the averages of subsequent days.

289 **Figure 3:** Evolution of patients' vital signs over the first ten days after admission

290 A: O₂ Saturation over time; B: Systolic blood pressure over time; C: Diastolic blood pressure over time
291 All graphs include median values, IQR and a linear trendline. Values for patients with a positive outcome
292 are shown in blue, orange stands for patients with an adverse outcome.

293 **Abbreviations:** bp: blood pressure

294

295 *Complications and outcomes*

296 A total of 78 patients (7.0 %) experienced one or more complications during their hospital stay, with the
297 largest proportion of patients experiencing complications during the first wave (18 patients; 10.7%), and
298 the smallest during the second (8 patients; 2.9%, table 3). The three most frequent were pneumological
299 complications such as respiratory failure, ARDS, and pneumonia (37, 21 and 19 patients or 3.3, 1.9 and
300 1.7% of all patients respectively), followed by cardiac problems such as heart failure or shock.

301 Within the complete cohort a total of 165 patients (15%) experienced an adverse outcome, while 948
 302 patients (85%) were discharged to home. The lowest proportion of adverse outcomes occurred in wave 2
 303 (19 patients; 6.8%), while the highest was documented during wave 3 (89 patients; 23%, table 3). Median
 304 time to discharge to home was 5 days (IQR: 2.1-6.1 days) for the total cohort with variations between 3
 305 days (IQR: 2.0-6.0 days) in wave 2 and 5 days (IQR: 3.1-8.1 days) in wave 3. Median time to adverse
 306 outcome was generally one day longer (Table 3).

307 **Table 3:** Complications and outcome

Characteristic	Total cohort	Wave				
		1	2	3	4	
Complications						
Respiratory failure						
[n (%)]	37 (3.3)	5 (3.0)	5 (1.8)	18 (4.7)	9 (3.2)	
ARDS						
[n (%)]	21 (1.9)	1 (0.6)	0 (0.0)	14 (3.7)	6 (2.1)	
Pneumonia						
[n (%)]	19 (1.7)	9 (5.3)	3 (1.1)	5 (1.3)	2 (0.7)	
Heart failure						
[n (%)]	13 (1.3)	3 (1.8)	2 (0.7)	6 (1.6)	2 (0.7)	
Shock						
[n (%)]	10 (0.9)	1 (0.6)	0 (0.0)	5 (1.3)	4 (1.4)	
Other complications*						
[n (%)]	21 (1.9)	3 (1.8)	2 (0.7)	5 (1.3)	11 (3.9)	
Any complications**						
[n (%)]	78 (7.0)	18 (10.7)	8 (2.9)	31 (8.1)	21 (7.4)	
Outcome						
[n (%)]						
	<i>ICU/Death</i>	165 (14.8)	15 (8.9)	19 (6.8)	89 (23.4)	42 (14.7)
	<i>Discharge</i>	948 (85.2)	154 (91.1)	259 (93.2)	292 (66.6)	243 (85.3)
Days to outcome						
[Median (IQR)]						
	<i>ICU/Death</i>	6 (2.0-11.0)	6.1 (0.1-9.1)	3.0 (1.5-7.5)	6.1 (3.1-10.1)	3.5 (2.0-10.0)
	<i>Discharge</i>	5 (2.1-6.1)	3.1 (2.1-4.1)	3.0 (2.0-6.0)	5.1 (3.1-8.1)	4.0 (3.0-7.0)

308 * All complications occurring in less than 10 patients ** Patients may have had more than 1 complication

309 **Abbreviations:** ARDS: Acute respiratory distress syndrome; IQR: Interquartile range

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313 *Multivariable survival analysis*

314 To identify possible factors associated with a severe disease course, we performed uni- and multivariate
315 survival analysis using Cox proportional hazard models.

316 In our univariate model male gender, a severe to critical status at admission, higher O₂ flow at admission,
317 an increase in white blood cells, anemia, O₂ saturation < 94% and higher systolic and diastolic blood
318 pressure were significantly associated with an increased risk of adverse outcome (p<0.05).

319 Our multivariate model included gender, age, wave, O₂ flow at admission, lymphocytes, hemoglobin and
320 O₂ saturation over the first three days. Apart from epidemic wave and lymphopenia, all variables showed
321 a significant association with the outcome (p<0.05).

322 Male gender and age over 50 both were associated with an approximately twofold increase in risk of an
323 adverse outcome with a HR of 1.92 (95% CI: 1.14-3.24; p-value: 0.015) and 2.28 (95% CI: 1.14-4.56; p-
324 value: 0.020) respectively. A higher O₂ flow at admission was also associated with an increase in risk of an
325 adverse outcome, with a HR of 2.52 and 5.19 for an oxygen flow between 5 and 10 liters and over 10 liters
326 respectively (95% CIs: 1.32-4.79 and 2.62-10.29; p-values: 0.005 and <0.001 respectively). Furthermore,
327 anemia with a hemoglobin level of less than 12 g/dl was associated with a statistically significant increase
328 in risk (p<0.05) of an adverse outcome, while lymphopenia with <500 cells x 10³/μl showed a trend towards
329 an association with the outcome but was not significant with a p-value of 0.059 (Table 4). Regarding our
330 time-dependent variable, an O₂ saturation of under 94% within the first three days was associated with a
331 twofold increase in risk of an adverse event, with an HR of 2.08 (95% CI: 1.14-3.81).

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336 **Table 4:** Univariate and multivariate Cox Proportional-Hazards Model with time-dependent covariates

Variable	Unit	Univariate analysis		Multivariate analysis	
		HR (95% CI)	p-value	HR (95% CI)	p-value
Patient characteristics					
Gender	<i>Female</i>	Ref	Ref	Ref	Ref
	<i>Male</i>	1.59 (1.16-2.16)	0.004	1.92 (1.14-3.24)	0.015
Age	$\leq 50y$	Ref	Ref	Ref	Ref
	$> 50y$	1.33 (0.94-1.87)	0.111	2.28 (1.14-4.56)	0.020
Wave	<i>1</i>	Ref	Ref	Ref	Ref
	<i>2</i>	0.90 (0.46-1.78)	0.773	1.66 (0.38-7.27)	0.464
	<i>3</i>	1.66 (0.96-2.87)	0.072	1.95 (0.46-8.18)	0.318
	<i>4</i>	1.40 (0.78-2.53)	0.263	1.50 (0.35-6.38)	0.530
Vaccination status	<i>Non-vaccinated</i>	Ref	Ref	-	-
	<i>Vaccinated</i>	0.71 (0.32-1.57)	0.395	-	-
RT-PCR test result	<i>Negative</i>	Ref	Ref	-	-
	<i>Positive</i>	1.46	0.118	-	-
Time since onset	<i>Days</i>	1.00 (0.99-1.01)	0.778	-	-
Clinical information at admission					
Admission status	<i>Mild - moderate</i>	Ref	Ref	-	-
	<i>Severe - critical</i>	9.15 (1.28-65.33)	0.028	-	-
O2 saturation	%	0.98 (0.97-0.99)	<0.001	-	-
O2 flow	$< 5L$	Ref	Ref	Ref	Ref
	$5-10L$	2.05 (1.29-3.24)	0.002	2.52 (1.32-4.79)	0.005
	$> 10L$	4.73 (2.97-7.55)	6.79E-11	5.19 (2.62-10.29)	<0.001
Laboratory results					
WBC	$(10^3/\mu l)$	1.00 (1.00-1.00)	0.031	-	-
Lymphocytes	$\geq 500(10^3/\mu l)$	Ref	Ref	Ref	Ref
	$< 500 (10^3/\mu l)$	1.88 (0.97-3.64)	0.0628	1.64 (0.98-2.74)	0.059
Haemoglobin	$\geq 12 g/dl$	Ref	Ref	Ref	Ref
	$< 12 g/dl$	2.09 (1.26-3.48)	0.004	2.55 (1.27-5.12)	0.010
Time-dependent covariates					
O2 Saturation	$\geq 94\%$	Ref	Ref	Ref	Ref
	$< 94\%$	2.10 (1.47-3.01)	<0.001	2.08 (1.14-3.81)	0.017
Systolic BP	<i>mmHg</i>	0.99 (0.98-1.00)	0.012	-	-
Diastolic BP	<i>mmHg</i>	0.98 (0.97-1.00)	0.034	-	-

337 **Abbreviations:** CI: Confidence interval; HR: Hazard ratio

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340 Discussion

341 Although COVID-19 is a novel disease that emerged only in late 2019, it has attracted a great amount of
342 scientific attention, likely due to its rapid evolution into a global pandemic, leading to a cumulative death
343 toll of over 6.3 million people worldwide(as of July 2022), not including additional mortality from other
344 causes due to the secondary effects of the pandemic on health services.¹ The evolution of the pandemic in
345 LMIC was of particular concern due to the fragility and limited capacity of health systems and populations
346 that are often subject to high prevalence of co-morbidities. However, the scientific literature on COVID-19
347 in LMIC in general remains sparse. For Afghanistan in particular, among other factors, this is most likely
348 related to challenges in data collection and reporting, the persistent limitations in availability of testing and
349 in access to health care services for a large part of the population. The recent political changes and
350 subsequent retreat or downscale of programs of many humanitarian organizations probably also had an
351 impact on the quality of the pandemic documentation. MSF has been present throughout the pandemic and
352 maintained a triage service for suspect cases consistently even between waves and a multidisciplinary
353 inpatient CTC service throughout each of the first four waves, this study offers a unique insight into the
354 clinical presentation of COVID-19 in the context of Afghanistan.

355 Our analysis focuses on the description of the clinical characteristics, severity, and outcomes of hospitalized
356 COVID-19 patients in Herat, Afghanistan. A total of 1113 patients were included in this study with a
357 median age of 60 years (IQR: 47-70 years) and a slightly higher proportion of females, which is comparable
358 with other cohorts throughout the globe, even though an inverse gender distribution is more common
359 elsewhere.^{13, 41-46}

360 The proportion of patients classified as severe or critical at admission increased from 46 to 100% over the
361 course of the four waves. This is explained by the limited bed capacity and resulting variation in rigor in
362 the application of the admission criteria.

363 The distribution of symptoms within our cohort was similar to that of other cohorts with the most common
364 symptoms at presentation including cough, shortness of breath, fever, headache, and chest pain.^{13, 42, 45-48} Of

365 note is the gradual change in the proportion of patients presenting with fever, which decreased from 82%
366 during the first wave to 24% during the fourth wave, in line with other contexts.⁴⁹ One large multicenter
367 retrospective analysis on 21,461 unvaccinated Spanish COVID patients for example found that while fever
368 was reported by 70-74% of patients during waves 1 and 2, this number decreased to 58.3% in successive
369 waves.⁵⁰ One possible explanation is the emergence of new variants of the virus over time. Infection with
370 the Omicron variant for example has also been shown to cause fever less frequently than the original virus
371 strain.^{51, 52}

372 Our analysis revealed many pathologically high blood pressure values, suggesting a high prevalence of
373 untreated non-communicable diseases within the population. This may reflect difficulties in accessing care
374 and receiving treatment for chronic diseases and may have had implications on adverse outcomes.

375 We compare complications and outcomes of our cohort to those of cohorts in similar settings. Our choice
376 for comparison includes studies from Yemen, Libya, Sudan, and Somalia, other conflict affected LMICs
377 with similarly fragile health systems.

378 Of our 1113 included hospitalized patients, a total of 78 (7%) experienced complications, with respiratory
379 complications such as ARDS (3.3%) and respiratory failure (1.8%) being the most common. This number
380 is comparatively low when regarding similar cohorts.^{43, 53} As an example, one retrospective study including
381 811 hospitalized patients in Libya reported that respiratory distress syndrome occurred in 14.3% of recorded
382 patients.⁴³ Such differences in complication rates and adverse outcomes between settings are likely
383 multifactorial, however vaccination status, access to confirmatory testing, oxygen therapy for hypoxaemic
384 patients, prompt administration of steroids for patients requiring oxygen, close nursing monitoring, prone
385 positioning physiotherapy techniques, adequate management of co-morbidities and coexisting pathologies,
386 are all clinical aspects which are likely to vary significantly between settings and health care facilities and
387 will have an impact on patient disease course.

388 Our outcome description relies on adverse outcome defined as transfer to a level 3 ICU or death. Though
389 little to no data is available on the further development of transferred patients, anecdotal evidence

390 obtained from discussions with the physicians in charge states that a large proportion of patients died after
391 transfer, so that our outcome approximates the mortality rate of the CTC. We do not have enough
392 information to be able to attribute this high mortality among transferred patients to either their extreme
393 severity, the resources available at the transfer destination or to other factors.

394 As a result, and because of variations in bed capacity and admission criteria, comparison of our outcomes
395 with mortality rates from other studies should be interpreted with care. A total of 165 (14.8%) patients
396 experienced an adverse outcome, which is low compared to similar cohorts. While the Libyan study
397 found a mortality rate of 12.3%, other studies for example in Yemen, Somalia and Sudan described
398 mortality rates of 35, 22 and 21% respectively.^{43, 48, 53, 54} Another survival analysis performed on 131
399 patients hospitalized in the main hospital in Mogadishu even documented a mortality rate of 40%.⁵⁵

400 The proportion of adverse outcomes in our study population is low even in comparison with studies from
401 high income countries. One large-scale multicenter observational study including almost half a million
402 hospitalized COVID-19 patients from 49 different countries for example, showed a mortality of 20%.⁴⁵ A
403 further multicenter observational study conducted in the United Kingdom with over 20.000 hospitalized
404 COVID-19 patients, documented a mortality rate of 32%.⁴⁶

405 Regarding predictive factors for an unfavorable outcome, we identified gender, higher age, O2 flow at
406 admission, lymphopenia, anemia and an O2 saturation of under 94% as variables associated with adverse
407 outcome. This is in line with the current literature.^{18-22, 24, 56, 57} In our univariate analysis we also identified
408 admission status and elevated WBC as factors associated with an adverse outcome. These were not
409 included in the multivariate analysis due to strong correlation with other variables.

410 The proportion of vaccinated individuals in our cohort was low, with a total of 52 vaccinated patients,
411 corresponding to 17% of patients admitted after vaccination started. This is in line with the current
412 vaccination coverage in Afghanistan. As of July 2022, approximately 13% of the population were fully
413 vaccinated (two doses when required for a specific type of vaccine).¹ Previous studies have identified supply
414 shortage, insufficient cold chain infrastructure, geographical barriers, political instability and vaccine

415 hesitancy among the population caused by mistrust toward the government and lack of health education as
416 causes of low vaccination coverage in Afghanistan.⁵⁸⁻⁶¹

417 Self-reported COVID-19 vaccination status was not found to be significantly associated with a better
418 outcome but given the low sample size the power of analysis for this indicator is expected to be very low
419 and should thus be interpreted with caution.

420 *Strengths and limitations*

421 The unique context of our study location differentiates us from other settings. For the past 40 years
422 Afghanistan has been in almost permanent conflict of fluctuating intensity. The collapsing economy,
423 displacement of approximately four million civilians and further political turmoil acutely seen since
424 July/August 2021 have deteriorated an already struggling healthcare infrastructure, which suffers from a
425 lack of emergency care services, equipment, medication and personnel.⁵⁸ There are currently estimated to
426 be only 1.9 physicians per 10 000 people in the country.³⁵ The current pandemic was anticipated to further
427 deteriorate the health care system.³⁵

428 Despite the urgent need for evidence on the evolution of the pandemic to help evaluate and prioritize the
429 most pressing challenges, reliable data is sparse and national data that is recorded is difficult to analyze
430 given lack of completeness, lacking geographical coverage and lack of contextual information limiting
431 interpretability. The national COVID-19 surveillance system suffers from wide-spread under-reporting and
432 a lack of resources for laboratory confirmation and sequencing. In addition, many COVID-19 related deaths
433 are thought to have occurred in the community, not captured by mortality surveillance.³⁴ A survey
434 performed in 2020 didn't provide any insights into COVID-19 related mortality.⁶² In this difficult context,
435 our study provides insights into the in-hospital rate of adverse outcomes and the risk factors associated with
436 severe COVID-19 in MSF's patient cohort in Herat. It is, however, to be noted that the resources available
437 at an MSF-led facility are more important, specifically in terms of human resources as well as supply of
438 essential drugs and biomedical equipment including oxygen therapy devices, which we infer has likely

439 decreased the rate of adverse outcomes when compared to local hospitals lacking funds, resources, and
440 international support.

441 Another strength of our analysis lies in the large cohort of over 1000 patients, which ensures adequate
442 statistical power. Furthermore, the use of a database with a web-interface provided capacity for real-time
443 data entry, facilitating access to and real-time monitoring of essential patient indicators and thus allowing
444 not only medico-operational monitoring but also regular remote quality checks by the co-investigators at
445 Epicentre. In addition to regular exchange between the medical personnel at the study site and the
446 investigators this allowed for continuous monitoring and high data reliability.

447 An important limitation is data completeness. Data collection was performed in a patient treatment setting
448 from clinical files, and in times of high patient load it was common for physicians to skip variables that
449 were not seen to be of immediate clinical relevance. Some of our variables, such as comorbidities, were
450 collected at two time points, at admission and at discharge. Inconsistencies revealed weaknesses during
451 data collection. Thus, several particularly incomplete or inconsistent variables were excluded from our
452 analysis. Although case definitions for severity of disease was generally well understood in this project, the
453 fast evolution of the disease in certain patients made a differentiation between severe and critical states
454 challenging (e.g., for patients who required a gradual increase of O2 flow during the admission).

455 The proportion of patients who developed adverse outcome was the highest during the third wave, June to
456 August 2021. This overlaps with the time of closure of Shaidayee hospital, the only other CTC in Herat,
457 and equipped with mechanical ventilators. This led to the admission of critically ill patients to the MSF
458 CTC who would otherwise have been referred to Shaidayee. Furthermore, during this time Afghanistan
459 experienced a period of seasonal malnutrition, disruptive political changes and an escalation of conflict,
460 which influenced supply chains.⁶³ In addition, it is likely the time when the delta variant of SARS-CoV-2
461 circulated in Afghanistan. Given these and other similar variations and the fact that during peak times bed
462 capacity was reached, it is likely that not only the effective admission criteria but also the case management
463 capacity and available clinical resources per patient varied through time, meaning that 1) our results may

464 not be representative of complication and mortality rates that would have occurred in a setting not subject
465 to these stressors and 2) complication and adverse outcome rates may not be comparable over time.

466 Though sequencing of the virus was only very rarely performed in Afghanistan, and not available within
467 this project, SARS-CoV-2 variants of concern also spread through Afghanistan and likely lead to an
468 evolution in severity patterns and immune escape. Extensive literature search identified one study focused
469 on sequencing of SARS-CoV-2 in Afghanistan. In this study, the analysis of 122 COVID samples from
470 foreign soldiers which were collected between February and May 2021 resulted in the detection of 20 virus
471 strains belonging to the delta variant.⁶⁴ In June, news articles quoting the ministry of health, spoke of delta
472 causing up to 60% of cases.^{65, 66} Given the proximity and important population fluxes with Iran and the
473 peak of Delta cases that is documented there in June 2021^{67, 68}, one may conclude that the peak in case
474 severity observed at the CTC during the 3rd wave was also related to this variant. Since the proportion of
475 cases of each wave caused by the different variants is not known it is however not possible to conclude on
476 the quantitative impact the variants had on severity.

477 As an MSF led hospital the CTC benefited from more resources and consequently better staffing,
478 incorporating extended multi-disciplinary teams providing a coordinated holistic patient care approach
479 including, but not limited to, intensive/critical care doctor and nurse supervision & mentoring of local staff,
480 systematic physiotherapy for all inpatients, psychosocial supports and adequate nutrition in addition to
481 advanced biomedical equipment, quality drugs and training, in contrast to what would usually be expected
482 in a similar context. Concurrently, according to the patient flows established in Herat during most of the
483 study period, many critical patients were admitted to Shaidayee hospital and not treated at the MSF CTC.
484 These two factors mean that the rate of adverse outcome and rates of complications measured in our study
485 are not necessarily representative of the context.

486 A further limitation of our analysis is that laboratory confirmation was not possible for all patients due to
487 limited capacity. Many patients were thus diagnosed based on clinical criteria and epidemiological context,
488 and sometimes RDTs. This was the case in particular in earlier waves where access to testing was even

489 scarcer. A separate analysis of all serologically confirmed cases was not conducted due to risk of bias, given
490 that more severe cases were more likely to be lab tested.

491 *Implications*

492 This study was initiated as a tool to aid the management of COVID-19 patients by MSF staff in different
493 sites by giving real-time access to detailed clinical patient data during a time when treatment guidelines for
494 COVID-19 patients evolved continuously and protocols applicable in resource limited settings needed to
495 be developed from scratch. Automatized aggregated reports that were shared with COVID-19 referents and
496 the local team helped to highlight weak spots almost in real-time, so that improvements could be
497 continuously implemented.

498 Furthermore, given that in several countries the COVID-19 pandemic led to MSF implementing a level 2
499 ICU for the first time, an additional aim of this comprehensive database was to evaluate the infrastructural
500 quality of care and provide lessons learned to guide future emergency response interventions.

501 Our analysis could contribute to the creation of a risk score for severe disease outcomes to be considered
502 for further outbreaks. The variables included in our analysis are all easily accessible and inexpensive, so
503 adapted to a context with limited resources.

504 Overall, this study is among the few that longitudinally describe hospitalized COVID-19 patients, their risk
505 factors, complications, and outcomes in a severely conflict affected LMIC setting. Despite the inherent
506 limitations arising from scarce resources, a complex and quickly changing environment, and challenges
507 related to the representativeness of our cohort of patients, our results show that indicators associated with
508 adverse outcome of COVID-19 in Afghanistan are similar to those found in other settings.

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512 **Author contributions**

513 MB, EP and FF designed the study. FF implemented and oversaw the data collection and performed
514 regular quality control and follow-up. AK, MB, EP and FF performed the analysis. HH, SS, BM, GM,
515 AA, AHT, BB, NP and MAZK provided . AK and FF wrote the first draft. All authors contributed to the
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698 Supplement

Supplementary Table 1:

Data collected at admission,
discharge and during the
patient's stay.

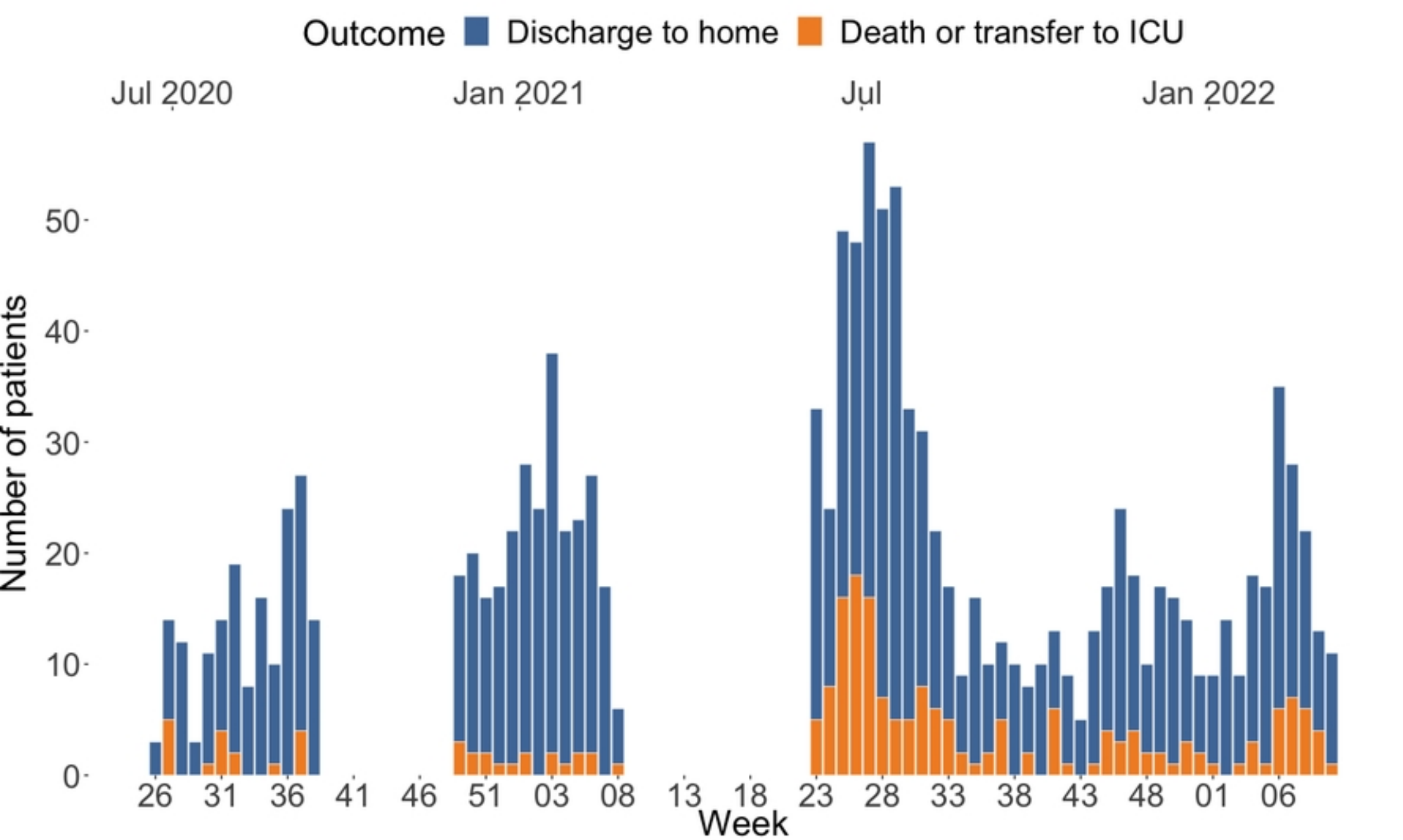
	Admission	Discharge	During stay
Patient demographics	X	-	-
Comorbidities	X	X	-
Symptoms	X	X	-
Clinical examination	X	-	-
Recent medication	X	-	-
COVID-19 vaccination status	X	-	-
Oxygen therapy	X	X	X ¹
Supportive medication	X	X	-
Laboratory analyses	(X)*	(X)*	(X)*
Vital signs	X	(X)*	X ²
COVID-19 testing	(X)*	-	(X)*
Supplementary diagnostics	(X)*	-	-
Complications	-	X	-
Outcome	-	X	-

699 ¹As part of vital sign collection

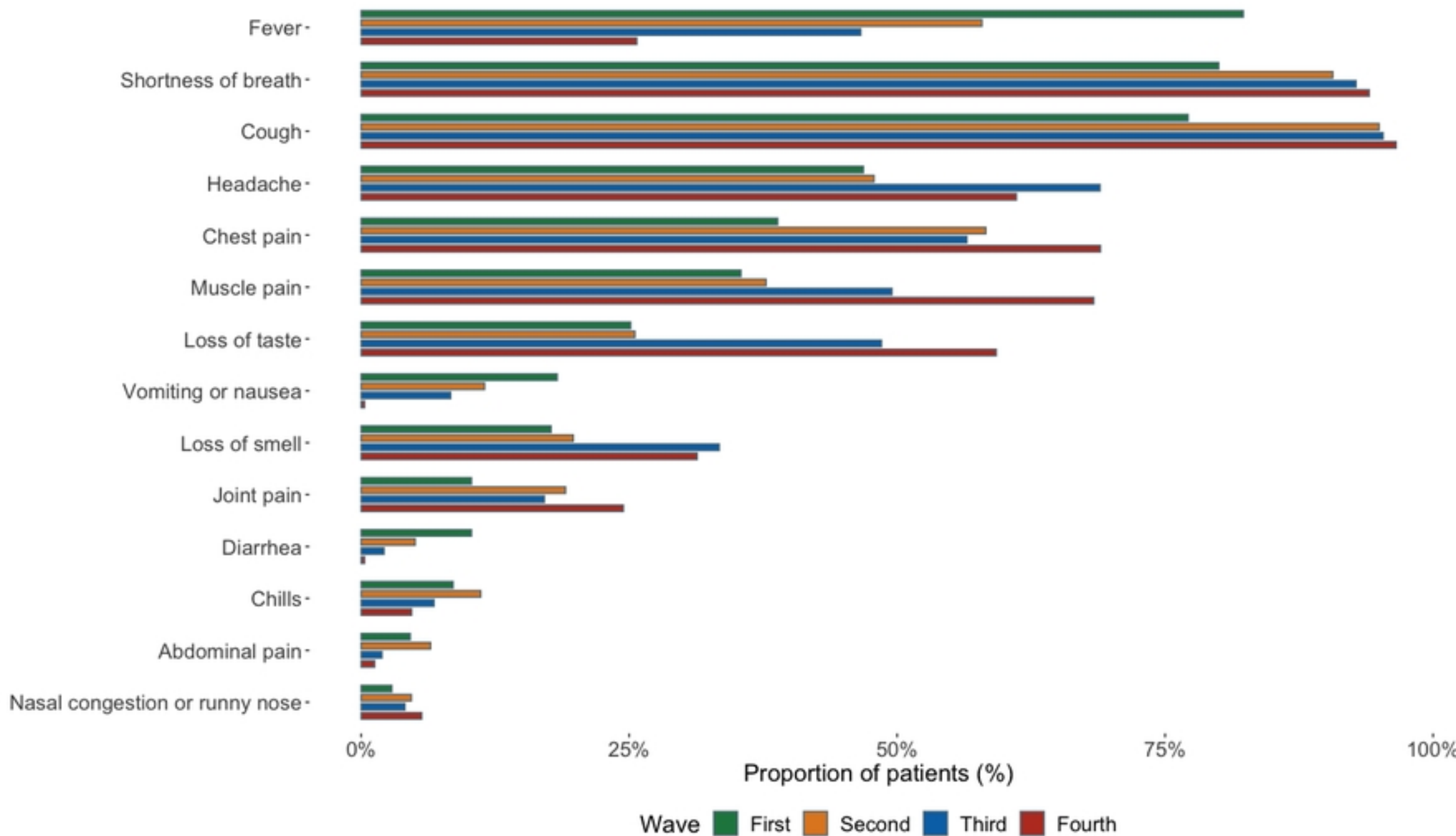
700 ²vital signs are collected multiple times daily, but only two values per day are entered into the database

701 * Upon physicians' discretion and availability

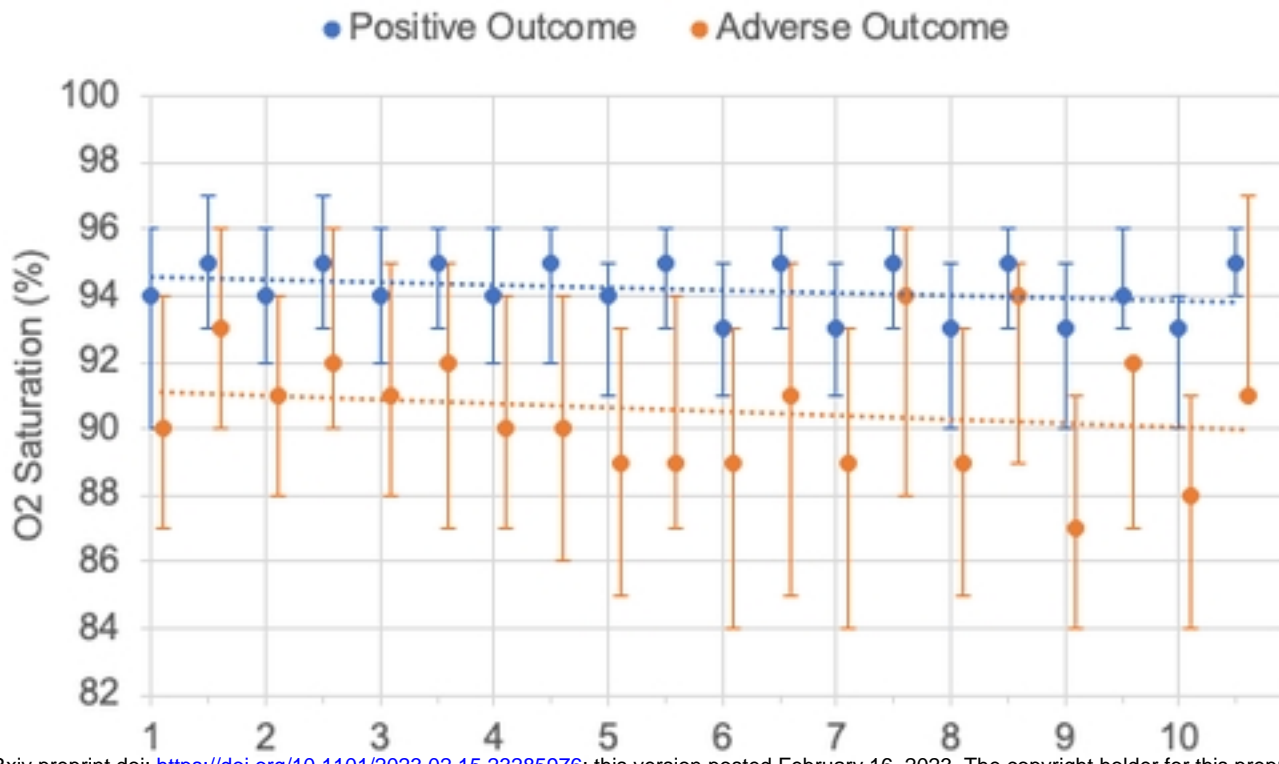
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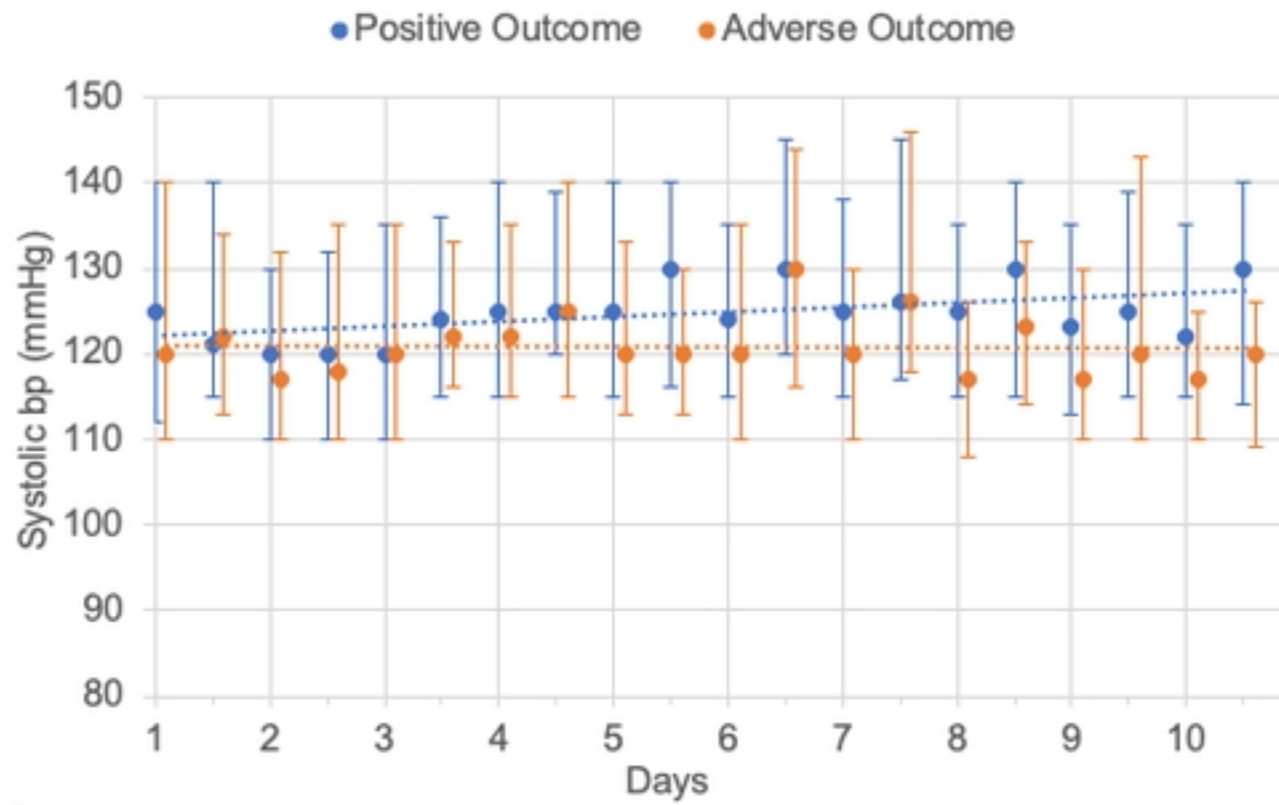
Figure



Figure

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