

1 **Real-Time PCR for the Evaluation of Treatment Response in Clinical Trials of Adult**
2 **Chronic Chagas Disease: Usefulness of Serial Blood Sampling and qPCR Replicates.**

3

4 **Short Title:** qPCR as marker of treatment failure in chronic Chagas disease

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23

24 **Abstract**

25 This work evaluated a serial blood sampling procedure to enhance the sensitivity of duplex
26 real-time PCR (qPCR) for baseline detection and quantification of parasitic loads and post-
27 treatment identification of failure in the context of clinical trials for treatment of chronic
28 Chagas disease, namely DNDi-CH-E1224-001 (NCT01489228) and MSF-DNDi PCR
29 sampling optimization study (NCT01678599). Patients from Cochabamba (N= 294), Tarija
30 (N= 257), and Aiquile (N= 220) were enrolled. Three serial blood samples were collected at
31 each time-point and qPCR triplicates were tested per sample. The first two samples were
32 collected during the same day and the third one seven days later.

33 A patient was considered PCR positive if at least one qPCR replicate was detectable.
34 Cumulative results of multiple samples and qPCR replicates enhanced the proportion of pre-
35 treatment sample positivity from 54.8 to 76.2%, 59.5 to 77.8%, and 73.5 to 90.2% in
36 Cochabamba, Tarija, and Aiquile cohorts, respectively. This strategy increased the detection
37 of treatment failure from 72.9 to 91.7%, 77.8 to 88.9%, and 42.9 to 69.1% for E1224 low,
38 short, and high dosage regimens, respectively; and from 4.6 to 15.9% and 9.5 to 32.1% for the
39 benznidazole arm in the DNDi-CH-E1224-001 and MSF-DNDi studies, respectively. The
40 addition of the third blood sample and third qPCR replicate in patients with non-detectable
41 PCR results in the first two samples, gave a small, non-statistically significant improvement
42 in qPCR positivity. No change in clinical sensitivity was seen with a blood volume increase
43 from 5 to 10 ml. The monitoring of patients treated with placebo in the DNDi-CH-E1224-001
44 trial revealed fluctuations in parasitic loads and occasional non-detectable results. In
45 conclusion, serial sampling strategy enhanced PCR sensitivity to detecting treatment failure
46 during follow-up and has the potential for improving recruitment capacity in Chagas disease
47 trials, which require an initial positive qPCR result for patient admission.

48

49 **Keywords:** chronic Chagas disease, ravuconazole, benznidazole, treatment monitoring, real-
50 time PCR.
51

52 **Introduction**

53 Following years of little progress in research and development of new compounds for
54 treatment of Chagas disease (CD), new chemical classes and alternative treatment regimens
55 have demonstrated encouraging activity against its causative agent, *Trypanosoma cruzi* (1-4).
56 The efficacy of anti-*T. cruzi* compounds has habitually been measured by means of parasite
57 detection or antibody titers. However, in chronically infected patients, traditional
58 parasitological methods lack sensitivity and *T. cruzi*-specific antibody titers don't usually
59 decrease until many years after treatment (5). In this context, molecular methods, such as
60 conventional and real-time PCR (qPCR) assays, have opened promising opportunities for
61 monitoring bloodstream parasitic levels to detect therapeutic failure or response (6-8).
62 Following this approach, multicenter PCR studies have allowed harmonization and validation
63 of standard operating procedures (SOPs) for PCR-based detection and quantification of *T.*
64 *cruzi* DNA in blood samples (10, 11) coupled with external control quality assurance (12).
65 However, the best performing qPCR methods reached between 60-70% of positivity in
66 untreated chronic Chagas disease patients when a single baseline blood sample was tested (10,
67 11, 13), a figure which has been verified in different clinical trials (14-16).

68

69 In clinical trials in which eligibility criteria for patient enrollment include PCR positivity,
70 such low values of sensitivity require that a larger proportion of seropositive subjects must be
71 screened before being admitted. To overcome this limitation, a PCR sampling optimization
72 study (NCT01678599) was developed by Drugs for Neglected Diseases *initiative* (DNDi) and
73 Médecins Sans Frontières (MSF) with the aim of evaluating sampling conditions for qPCR
74 monitoring of benznidazole (BZN) treatment, as well as DNDi-CH-E1224-001, a DNDi-
75 sponsored randomized clinical trial (NCT01489228) to evaluate safety and efficacy of three
76 oral regimens of E1224 (ravuconazole prodrug) in comparison with BZN and placebo, which

77 planned to collect three serial peripheral blood samples from each patient at each follow-up
78 time point and to perform qPCR in triplicate from each blood sample DNA extract.

79

80 This report presents the data obtained in these studies, showing an improvement in qPCR
81 clinical sensitivity for both enrollment and detection of treatment failure in adult patients with
82 chronic Chagas disease.

83

84 **Methods**

85 **Ethics statement**

86 The clinical trials, including the sampling requirements, were approved by the Ethical Review
87 Boards of Universidad Mayor de San Simón, Fundación CEADES, Hospital Clínic and
88 Médecins Sans Frontières, following the principles expressed in the Declaration of Helsinki.
89 Written informed consent forms were signed by the study volunteers (no minor subjects were
90 included in these trials). All samples were anonymized before being processed.

91

92 **Subjects and samples**

93 Subjects were recruited for two different clinical studies:

94 i) The DNDi-CH-E1224-001 clinical trial (NCT01489228), designed and sponsored by
95 DNDi, with a proof-of-concept double-blinded randomized design aiming to evaluate the
96 safety and efficacy of three (high, low, and short) oral regimens of E1224, compared to BZN
97 (5 mg/kg/day) and placebo, during 60 days of treatment of adult patients with chronic
98 indeterminate Chagas disease (17). A total of 560 patients aged 18-50 years and serologically
99 confirmed as having Chagas disease were screened in two study sites of The Platform for a
100 Comprehensive Care of Patients with Chagas disease in Bolivia, one site in the city of
101 Cochabamba and the other in the city of Tarija. Of those screened, 551 patients had PCR

102 results available for analyses, as a total of 9 patients withdrew consent for participation and no
103 PCR sample was collected.

104

105 Samples consisted of peripheral blood mixed with an equal volume of guanidine
106 hydrochloride 6 M EDTA 0.2 M pH 8.0 buffer. A maximum of three 10 mL blood samples
107 were collected at baseline: sample 1 (S1) and sample 2 (S2) were collected during the same
108 day and sample 3 (S3) seven days later, but only if DNA extracts from S1 and S2 gave non-
109 detectable results (as depicted in Figure 1). The qPCR was assayed in duplicate from both S1
110 and S2 DNA extracts. In cases where both replicates gave non-detectable results, a third
111 replicate was analyzed. When all qPCR replicates from both S1 and S2 gave non-detectable
112 results, S3 was collected and assayed in triplicate. During follow-up, three blood samples
113 were collected at each time-point visit (end of treatment [EOT], and 2, 4, and 10 months post-
114 treatment) and qPCR was assayed in triplicate from each S1, S2, and S3 DNA extract (Figure
115 1).

116

117 ii) The PCR Sampling Optimization study (NCT01678599) launched by DNDi and MSF
118 aimed to evaluate sampling strategies for qPCR treatment monitoring in adult patients with
119 chronic Chagas disease (with indeterminate or early target organ involvement) treated with
120 BZN (5 mg/kg/day) for 60 days. This study was carried out in 17 communities in the rural
121 locality of Aiquile and did not include a placebo or other comparison treatment group. A total
122 of 220 patients aged 18-60 years with serologically confirmed Chagas disease were recruited
123 for this trial but only those with qPCR results at baseline were considered in this work (N=
124 205). All houses of patients entering the study were subjected to entomological surveillance.

125

126 From each seropositive patient, three blood samples were collected at baseline and at each
127 follow-up visit (EOT, 4, and 10 months post-treatment) (Figure 1). S1 and S2 were collected
128 during the same day and S3 seven days later. S1 and S3 consisted of 10 mL of blood, whereas
129 for S2 5 mL was collected; all samples were mixed with an equal volume of guanidine-EDTA
130 buffer. The qPCR was assayed in triplicate from each S1, S2, and S3 DNA extract (Figure 1).

131

132 Only patients with at least one positive result out of a maximum of nine qPCR replicates were
133 enrolled in these trials. In both studies, therapeutic failure was defined as the persistence of
134 parasite DNA, detected in at least one qPCR replicate, at any time-point during post-treatment
135 follow-up.

136

137 **DNA extraction**

138 The High Pure PCR Template Preparation kit (Roche Diagnostics Corp., Indianapolis, IN)
139 was used to process 300 μ L of each guanidine-EDTA-blood (GEB) sample and DNA was
140 eluted in 100 μ L elution buffer, as previously described (13).

141

142 **Quantitative real-time PCR procedure**

143 A duplex qPCR targeted to *T. cruzi* satellite DNA (SatDNA) and an internal amplification
144 control (IAC) were used, as previously described (13). The qPCR reactions were carried out
145 with the use of FastStart Universal Probe Master Mix (Roche Diagnostics GmbH Corp.,
146 Mannheim, Germany) with 5 μ L DNA extract in a final volume of 20 μ L. Cycling conditions
147 were a first step of 10 minutes at 95 °C, followed by 40 cycles at 95 °C for 15 seconds, and a
148 final step of 1 minute at 58 °C. The amplifications were carried out in a Rotor-Gene Q
149 (Corbett LifeScience, Cambridgeshire, United Kingdom) real-time PCR device.

150

151 For quantification purposes, standard curves were plotted with 1/10 serial dilutions of total
152 DNA obtained from a GEB seronegative sample spiked with 10^5 par. eq./mL LL014-1-R1 ClI
153 *T. cruzi* stock (TcV) cultured epimastigotes. One negative control and two positive controls
154 containing 10 and 1 fg/ μ L *T. cruzi* CL-Brener DNA were included in every run, as
155 recommended (18).

156

157 **Genotyping of *T. cruzi* discrete typing units**

158 Baseline samples from both clinical studies with SatDNA qPCR Ct (threshold cycle) values
159 below 33 (N= 180) were genotyped using PCR-based strategies targeted to nuclear genomic
160 markers, namely: (1) spliced leader intergenic region (SL-IR) based PCR was used to
161 distinguish TcI (150 bp), and TcII, TcV, and TcVI (157 bp) from TcIII and TcIV (200 bp); (2)
162 heminested SL-IR-I PCR was used to confirm TcI (350 bp) and heminested SL-IR-II PCR
163 was used to confirm TcII, TcV, and TcVI (300 bp); (3) heminested PCR of the 24S alpha-
164 ribosomal DNA (24S α -rDNA) was used to distinguish TcV (125 bp) from TcII and TcVI (140
165 bp); and (4) heminested PCR targeted to genomic fragment A10 was used to discriminate TcII
166 (580 bp) from TcVI (525 bp) (19).

167

168 Samples that yielded positive results by SL-IR-II PCR but were non-detectable by 24S α -
169 rDNA PCR were reported as belonging to the TcII/V/VI group. Those samples that amplified
170 the 140 bp of 24S α -rDNA fragment but had non-detectable results for A10 fragment-based
171 PCR were reported as belonging to TcII/VI group. Those samples amplifying both bands of
172 125 and 140 bp after 24S α -rDNA PCR, were interpreted as mixed infections by TcV plus TcII
173 and/or TcVI, as previously described (19).

174

175 **Statistical analysis**

176 McNemar's test was used to compare the qualitative qPCR results for S1, S2, and S3 samples
177 from Cochabamba, Tarija, and Aiquile cohorts at baseline, and between baseline and follow-
178 up time-point samples from each treatment group in both clinical trials. The Fisher's exact test
179 was used to compare the qPCR sensitivity using two or three replicates, and one, two, or three
180 serial samples, and to compare the qPCR positivity between the baseline samples from
181 Cochabamba, Tarija, and Aiquile cohorts, as well as the cumulative therapeutic failure at the
182 end of 12-month follow-up within each treatment group using one, two, or three serial
183 samples, and between BZN arms from both trials. Kruskal-Wallis non-parametric analysis of
184 variance was used to compare the medians of the parasitic loads of quantifiable samples from
185 Cochabamba, Tarija, and Aiquile cohorts at baseline, and from each treatment group at
186 baseline and follow-up time-points. The Tukey's criterion was used to detect samples with
187 outlier Ct values of IAC ($Ct_s > 75\text{th percentile} + 1.5 \times \text{interquartile distance of median Ct}$)
188 (20). All analyses were performed using SPSS Statistics for Windows V17.0 (SPSS, Chicago,
189 IL).

191 **Results**

192 **Screening of pre-treated chronic CD patients in DNDi-CH-E1224-001 and MSF-DNDi** 193 **PCR sampling optimization studies**

194 *Analysis of qPCR replicates in the DNDi-CH-E1224-001 trial*

195 In this trial, qPCR was firstly assayed in duplicate from each S1 and S2 DNA extract. When
196 both replicates gave non-detectable qPCR results from one of these DNA extracts, a third
197 qPCR replicate was analyzed from the corresponding sample. When the third replicate was
198 included, qPCR positivity increased from 54.8 to 60.5% (S1) and from 53.6 to 59.2% (S2) in
199 samples collected from the Cochabamba cohort, and from 59.5 to 63.4% (S1) and from 55.3
200 to 60.7% (S2) in those collected from the Tarija cohort (Table 1, $p > 0.05$).

201

202 *Analysis of serial blood samples*

203 In the DNDi-CH-E1224-001 trial, the comparison of qPCR positivity obtained after testing
204 individual S1 or S2 samples did not give significant differences (Table 1, $p > 0.05$) but qPCR
205 positivity increased when cumulative results from S1+S2 were computed; this was observed
206 in both Cochabamba (60.5 vs 69.7%, $p < 0.05$) and Tarija cohorts (63.4 vs 73.9%, $p < 0.05$).

207

208 When S1 and S2 gave non-detectable qPCR results, a third sample (S3) was taken seven days
209 later. The analysis of PCR positivity obtained using three serial samples (S1+S2+S3)
210 compared to that obtained from individual samples demonstrated higher sensitivity for both
211 Cochabamba (60.5 vs 76.2%, $p < 0.001$) and Tarija cohorts (63.4 vs 77.8%, $p < 0.001$). Finally,
212 qPCR positivity obtained after testing S1+S2 versus that obtained after testing S1+S2+S3
213 increased by 6.5% (N= 19/294) in Cochabamba and 3.9% (N= 10/257) in Tarija cohorts
214 (Table 1, $p > 0.05$).

215

216 On the other hand, no statistical difference was observed in qPCR positivity by testing
217 individual S1, S2, or S3 samples in the MSF-DNDi PCR sampling optimization study (Table
218 1, $p > 0.05$). Computing the cumulative qPCR positivity obtained for S1+S2 (85.1%) in
219 comparison to the positivity obtained for S1 (10 mL of blood, 73.5%, $p < 0.01$) or S2 alone (5
220 mL of blood, 76.9%, $p < 0.05$) increased sensitivity. This was also true for the cumulative
221 qPCR positivity obtained for S1+S2+S3 (90.2%) compared to that obtained for the individual
222 samples (S1, $p < 0.001$; S2, $p < 0.001$; and S3, 72.7%, $p < 0.001$). Comparison of the
223 cumulative qPCR positivity obtained from S1+S2+S3 with respect to S1+S2 showed an
224 increase of 5.1% (Table 1, $p > 0.05$).

225

226 *Analysis of T. cruzi DTUs and parasitic loads*

227 It is worth noting the higher qPCR positivity obtained in patients from Aiquile (90.2%)
228 compared to those recruited from Cochabamba (76.2%, $p < 0.001$) and Tarija (77.8%, $p <$
229 0.001); whereas no difference was found between both E1224 trial cohorts (Table 1, $p > 0.05$).
230 Because both studies used the same qPCR method performed in the same laboratory, a
231 hypothesis for this geographical variability in qPCR positivity could be related to diversity of
232 *T. cruzi* strains or parasitic loads in the populations studied, and/or to a higher endemicity and
233 exposure to the vector in Aiquile, and therefore a potential risk of reinfection. In order to
234 investigate this, the distribution of *T. cruzi* DTUs was analyzed by genotyping the 180 qPCR
235 positive samples from these localities with the highest parasitic loads.

236

237 DTUs could be identified in 31 samples: 23 patients were infected with parasite populations
238 belonging to the group TcII/V/VI, six patients were infected with TcI and two presented
239 mixed infections by TcI plus TcII/V/VI (Table 2). TcI was five times more frequent in
240 Cochabamba and Tarija in comparison to Aiquile, although the low number of genotyped
241 samples preclude determination of its significance. TcIII and TcIV were not detected.²¹

242

243 The parasitic loads of baseline samples from the three different cohorts are shown in Fig 2. In
244 Aiquile, 33.0% of samples had parasitic loads above the qPCR Limit of Quantification (LOQ)
245 of 1.53 par. eq./mL, whereas in Cochabamba and Tarija the percentage of quantifiable
246 samples was 19.6% and 24.5%, respectively (Table 1). The median and interquartile range
247 values of the quantifiable parasitic loads were 2.6 [2.0-3.5], 2.6 [2.0-3.6], and 3.0 [2.0-4.7]
248 par. eq./mL, for Cochabamba, Tarija, and Aiquile cohorts, respectively (Table 1, $p > 0.05$).

249

250 **Follow-up of treated chronic CD patients in DNDi-CH-E1224-001 and MSF-DNDi PCR**
251 **sampling optimization studies**

252 *Analysis of qPCR positivity and parasitic loads*

253 Table 3 shows the cumulative qPCR findings obtained from all three serial blood samples
254 during screening and monitoring of all treatment arms in both clinical trials.

255

256 The qPCR positivity of the placebo group from the DNDi-CH-E1224-001 clinical trial was
257 significantly higher at baseline (100%, as per study entry criteria) than at the follow-up time-
258 points (2 months, 73.9%, $p < 0.01$; 4 months, 80.4%, $p < 0.01$; 6 months, 87.0%, $p < 0.05$; 12
259 months, 78.3%, $p < 0.01$), whereas no differences were found between follow-up time-points
260 (Table 3, $p > 0.05$). Out of the patients who received placebo, 27 were persistently qPCR
261 positive, 15 had intermittently positive and non-detectable results, and four became
262 persistently qPCR undetectable during follow-up.

263

264 In both clinical trials, the treated cohorts showed a drastic reduction in PCR positivity at EOT.
265 (E1224 LD, 10.4%; E1224 SD, 8.9%; E1224 HD, 16.7%; DNDi-CH-E1224-001BZN, 6.8%;
266 DNDi-MSF sampling optimization study BZN, 23.1%) (Table 3, $p < 0.001$). In the E1224
267 treatment arms, qPCR positivity increased during post-treatment follow-up, reaching its
268 highest value at the end of the study (E1224 LD, 76.6%, $p < 0.001$; E1224 SD, 84.4%, $p <$
269 0.001 ; E1224 HD, 56.1%, $p < 0.01$), whereas in the cohorts treated with BZN, the proportion
270 of qPCR-positive cases diminished at the end of follow-up (DNDi-CH-E1224-001 BZN,
271 4.5%, $p > 0.05$; DNDi-MSF sampling optimization study BZN, 5.2%, $p < 0.01$).

272

273 Interestingly, all treatment arms showed statistically significant differences between the
274 proportion of positive qPCR results at baseline and end of follow-up (E1224 LD, $p < 0.01$;

275 E1224 SD, $p < 0.05$; E1224 HD, $p < 0.001$; DNDi-CH-E1224-001 BZN, $p < 0.001$; DNDi-
276 MSF sampling optimization study BZN, $p < 0.001$).

277

278 The number of patients with quantifiable qPCRs results from the placebo group of the E1224
279 trial ranged between 14-16 during follow-up; except at 4 months when, as at baseline, nine
280 patients rendered quantifiable qPCR results (Table 3). Out of the nine patients enrolled in the
281 placebo group of DNDi-CH-E1224-001 who showed quantifiable parasitic loads at baseline ,
282 five had quantifiable results throughout follow-up, two patients alternated between
283 quantifiable and non-quantifiable results, and the two remaining showed persistent detectable
284 but non-quantifiable qPCR results throughout follow-up. No significant differences were
285 found among the medians of parasitic loads at baseline and follow-up time-points in the
286 placebo group of the DNDi-CH-E1224-001 trial (Fig 3A, $p > 0.05$).

287

288 Patients treated with E1224 showed non-quantifiable parasitic loads at the end of treatment,
289 but this increased later on; indeed, 12 cases reached quantifiable loads for E1224 low dose
290 (LD) and short dose (SD) regimes and six in E1224 high dose (HD) regime at the end of
291 follow-up; whereas in BZN treated groups only one patient had parasitic loads higher than
292 1.53 par. eq./mL during follow-up (Table 3). Statistically significant differences were
293 observed between parasitic loads at baseline and 6 months for E1224 LD (3.5 [2.6-7.0] and
294 2.1 [1.7-2.4] par. eq./mL, respectively Fig 3B, $p < 0.05$), and between baseline and 12 months
295 (2.5 [1.9-3.4] and 2.0 [1.9-2.2] par. eq./mL) for E1224 HD Fig 3D, $p < 0.05$).

296

297 *Analysis of cumulative therapeutic failure*

298 Fig 4 compares the cumulative qPCR positivity as a measure of treatment failure obtained for
299 each treatment group in both clinical trials from EOT until the end of follow-up.

300

301 In the DNDi-CH-E1224-001 trial, the multi-sampling strategy (S1+S2+S3) increased
302 detection of treatment failure at the end of follow-up by up to 91.7% for E1224 LD (Fig 4B,
303 $p < 0.05$), 88.9% for E1224 SD (Fig 4C, $p > 0.05$), 69.1% for E1224 HD (Fig 4D, $p < 0.05$),
304 and 15.9% for BZN (Fig 4E, $p > 0.05$) arms. No significant differences were found between
305 the cumulative treatment failure detected for single S1 (72.9, 77.8, 42.9, and 4.6%), S2 (70.8,
306 86.7, 50.0, and 4.6%), and S3 (72.9, 82.2, 45.2, and 6.8%) samples, and comparing S1+S2
307 (81.3, 88.9, 61.9, and 9.1%) versus S1+S2+S3 for E1224 LD, SD, and HD, and BZN arms,
308 respectively (Fig 4, $p > 0.05$).

309

310 In the MSF-DNDi PCR sampling optimization study, the strategy involving serial sampling
311 analysis allowed an increase in detection of treatment failure of up to 32.1% (S1+S2+S3) at
312 the end of follow-up in comparison to that detected from individual samples (S1, 9.5%, $p <$
313 0.001 ; S2, 19.0%, $p < 0.05$; S3, 11.0%, $p < 0.001$). Significant difference was found between
314 the cumulative treatment failure of S1 and S2 ($p < 0.05$), whereas no differences were found
315 between S3 and S1 or S2 (Fig 4F, $p > 0.05$). There was an increase of 7.3% in cumulative
316 treatment failure detected after testing S1+S2+S3 versus that detected after testing S1+S2
317 (24.8%) (Fig 4F, $p > 0.05$).

318

319 Analysis of cumulative therapeutic failure among the different groups of treatment of E1224
320 trial did not show significant differences among placebo and E1224 LD and SD arms (Fig. 4,
321 $p > 0.05$). In contrast, the E1224 HD arm showed lower treatment failure than placebo ($p <$
322 0.05) and E1224 LD ($p < 0.01$) and SD ($p < 0.05$). In addition, the DNDi-CH-E1224-001 BZN
323 group showed lower treatment failure than placebo and E1224 arms ($p < 0.001$).

324

325 No statistically significant differences were observed between the cumulative therapeutic
326 failure of BZN-treated cohorts enrolled in DNDi-CH-E1224-001 and MSF-DNDi PCR
327 sampling optimization studies (Figure 4; $p > 0.05$).

328

329 **Discussion**

330 **Impact of serial sampling strategies on qPCR sensitivity**

331 In recent years, several clinical trials to evaluate anti-parasitic treatments for CD were carried
332 out using different sampling strategies and PCR protocols, and variable rates of PCR
333 positivity were obtained (14, 15, 21).

334

335 The present analyses shows that qPCR sensitivity was significantly improved at baseline in
336 the DNDi-CH-E1224-001 trial when two blood samples were collected and each DNA extract
337 was analyzed in duplicate by qPCR. The addition of the third blood sample and third qPCR
338 replicate in the subset of patients who had non-detectable PCR results for S1 and S2, gave a
339 small but non-statistically significant improvement in positivity. The limited data available
340 thus far is insufficient to determine the clinical relevance of this small increase in qPCR
341 sensitivity in the evaluation of treatment response. In fact, the samples with only one out of
342 three PCR positive results were non-quantifiable. As treatment was expected to reduce further
343 the parasite burden in those patients with non-quantifiable baseline qPCR results, reducing the
344 chance of detecting treatment failure, three blood samples and qPCR triplicates were tested
345 during post-treatment follow-up.

346

347 In the MSF-DNDi PCR sampling optimization study, the use of 5 mL of blood, instead of 10
348 mL as starting sample for qPCR analysis, as well as the collection of a third blood sample

349 seven days after the first two samples instead of few minutes later, did not modify the overall
350 clinical sensitivity.

351

352 In conclusion, these findings support the use of lower volume of blood, collected during the
353 same visit, for qPCR testing purposes.

354

355 **Distribution of DTUs and parasitic loads**

356 TcV was the prevailing DTU, in agreement with findings reported by Martinez-Perez et al.
357 (2016) (22), who found TcV in the 55.2% of Bolivian CD patients living in Madrid, Spain.
358 However, TcIV, usually associated with the sylvatic cycle and occasional oral outbreaks (23,
359 24) but found as the second predominant DTU in Bolivian patients (22), was not detected.

360

361 Differences in qPCR positivity between Cochabamba or Tarija cohorts compared with Aiquile
362 could be attributed to different distribution of parasite DTUs in these localities, such as it was
363 observed for TcI (Table 2), although the low number of genotyped samples precluded
364 assessment of the significance of this finding.

365

366 Median parasitic loads were higher in Aiquile than in Cochabamba or Tarija, although the
367 differences did not reach statistical significance (Table 1 and Fig 1). This could be due to the
368 rural nature of the Aiquile area compared to the cities of Cochabamba and Tarija. In a recent
369 study of pregnant women from Bolivia, it was observed that the differences in seroprevalence
370 for *T. cruzi* infection were above all related to the area in which the patients lived most of
371 their lives. Hyper-endemic hotspots were observed where prevalence surpassed 60% and one
372 of the affected areas was the municipality of Aiquile, with 66% seroprevalence (25). In areas
373 where vector infestation was higher, the seroprevalence of CD was also higher (25).

374

375 Dynamics of bloodstream parasite burden in chronic CD

376 The monitoring of samples from patients treated with placebo in the DNDi-CH-E1224-001
377 trial allowed follow-up of the natural history of human chronic *T. cruzi* infection in adult
378 patients for a period of one year. The results showed that a proportion of patients had
379 fluctuations of parasitic loads, which, in some cases, fell below the LOQ (1.53 par. eq./mL) of
380 the qPCR method (13), and even gave non-detectable results, reflecting the fluctuations of
381 parasitemia observed in chronic CD patients using traditional parasitological methods (24).
382 Such findings underscore the need for serial sampling and qPCR replicates analysis for the
383 evaluation of therapeutic failure in chronic CD.

384

385 qPCR as surrogate marker of therapeutic failure in CD clinical trials

386 The qPCR-based study of the DNDi-CH-E1224-001 clinical trial demonstrated that BZN was
387 a better parasitocidal drug than E1224 in monotherapy, and that in turn, E1224 HD had higher
388 efficacy than the other E1224 regimens (Fig 3). Treatment with BZN gave a better
389 parasitological response in the urban cohorts of the DNDi-CH-E1224-001 trial than in the
390 rural patients from the MSF-DNDi PCR sampling study, although no significant differences
391 were found. This could be due to the more controlled conditions of treatment administration
392 and follow-up in the DNDi-CH-E1224-001 trial, rather than to a higher risk of re-infection in
393 the rural community of Aiquile, since the houses of all patients enrolled in the MSF-DNDi
394 PCR sampling study were under entomological surveillance.

395

396 Finally, this report demonstrates the usefulness of serial blood sampling and qPCR replicates
397 analysis not only for enhancing the capacity to recruit chronic CD adult patients for clinical
398 trials, in which the inclusion criteria require at least one qPCR positive result at baseline, but

399 more importantly for increasing sensitivity to detect treatment failure in this population. At
400 the same time, this work highlights the importance of standardized methods for monitoring
401 treatment response in chronic CD.

402

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551

552 **Table 1. Accumulative qPCR findings in pre-treated chronic Chagas disease patients from DNDi-CH-E1224-001 and MSF-DNDi PCR**
 553 **sampling optimization clinical studies, using one, two and three serial samples and two or three qPCR replicates per sample.**

Clinical trial	Locality	Parameters	S1		S2		S1+S2	S3	
			qPCR(1+2)	qPCR(1+2)+3	qPCR(1+2)	qPCR(1+2)+3		qPCR(1+2+3)	S1+S2+S3
DNDi-CH-E1224-001	CBBA	N	294	294	289	289	294	74	294
		Positives	161 (54.8%)	178 (60.5%)	155 (53.6%)	171 (59.2%)	205 (69.7%)	19 (25.7%)	224 (76.2%)
		Quantifiables	31 (19.3%)	31 (17.4%)	26 (16.8%)	26 (15.2%)	44 (21.5%)	0 (0.0%)	44 (19.6%)
		Median [IQR] (par. eq./mL)	2.6 [1.9-3.4]	2.6 [1.9-3.4]	2.7 [2.0-3.9]	2.7 [2.0-3.9]	2.6 [2.0-3.5]	---	2.6 [2.0-3.5]
	Tarija	N	257	257	257	257	257	53	257
		Positives	153 (59.5%)	163 (63.4%)	142 (55.3%)	156 (60.7%)	190 (73.9%)	10 (18.9%)	200 (77.8%)
Quantifiables		37 (24.2%)	37 (22.7%)	32 (22.5%)	33 (21.2%)	49 (25.8%)	0 (0.0%)	49 (24.5%)	
	Median [IQR] (par. eq./mL)	2.4 [2.0-3.4]	2.4 [2.0-3.4]	3.0 [2.4-3.6]	3.0 [2.2-3.6]	2.6 [2.0-3.6]	---	2.6 [2.0-3.6]	
MSF-DNDi Sampling Study	Aiquile	N	---	196	---	195	201	176	205
		Positives	---	144 (73.5%)	---	150 (76.9%)	171 (85.1%)	128 (72.7%)	185 (90.2%)
		Quantifiables	---	34 (23.6%)	---	40 (26.7%)	51 (29.8%)	29 (22.7%)	61 (33.0%)
		Median [IQR] (par. eq./mL)	---	2.4 [1.9-4.5]	---	2.9 [1.9-4.9]	2.8 [1.9-4.8]	3.2 [2.0-4.8]	3.0 [2.0-4.7]

554 S1-3: samples 1-3; qPCR1-3: qPCR replicates 1-3; CBBA: Cochabamba; N: number of samples; IQR: interquartile range; par. eq./mL: parasite
 555 equivalents in 1 mL of blood.

556

557 **Table 2. Direct identification of *T. cruzi* DTUs in blood samples of pre-treated chronic**
 558 **Chagas disease patients from DNDi-CH-E1224-001 and MSF-DNDi PCR sampling**
 559 **optimization clinical studies.**

Clinical trial	Locality	<i>T. cruzi</i> DTU			
		TcI	TcI+II/V/VI	TcII/V/VI	TcV/VI
DNDi-CH-E1224-001	CBBA-Tarija	5	1	10	1
MSF-DNDi Sampling Study	Aiquile	1	1	11	1

560 DTU: Discrete Typing Unit; CBBA: Cochabamba.

561

562 **Table 3. qPCR findings during baseline and follow-up of the different groups of**
 563 **treatment of DNDi-CH-E1224-001 and MSF-DNDi PCR sampling optimization clinical**
 564 **studies.**

Clinical trial	Group of treatment	Parameters	BL (S1+2+3)	2M (S1+2+3)	4M (S1+2+3)	6M (S1+2+3)	12M (S1+2+3)
DNDi- CH- E1224- 001	Placebo	N	46	46	46	46	46
		Positives	46 (100%)	34 (73.9%)	37 (80.4%)	40 (87.0%)	36 (78.3%)
		Quantifiables	9 (19.6%)	15 (44.1%)	9 (24.3%)	14 (35.0%)	16 (44.4%)
		Median [IQR] (par. eq./mL)	2.2 [2.0-4.1]	2.2 [1.9-4.3]	3.3 [2.1-4.1]	3.1 [2.1-3.7]	2.7 [1.9-5.3]
	E1224 LD	N	48	48	48	48	47
		Positives	48 (100%)	5 (10.4%)	18 (37.5%)	32 (66.7%)	36 (76.6%)
		Quantifiables	14 (29.2%)	0 (0.0%)	6 (33.3%)	10 (31.3%)	12 (33.3%)
		Median [IQR] (par. eq./mL)	3.5 [2.6-7.0]	---	2.1 [1.9-2.5]	2.1 [1.7-2.4]	2.2 [2.1-4.3]
	E1224 SD	N	45	45	44	43	45
		Positives	45 (100%)	4 (8.9%)	31 (70.5%)	33 (76.7%)	38 (84.4%)
		Quantifiables	9 (20.0%)	0 (0.0%)	8 (25.8%)	5 (15.2%)	12 (31.6%)
		Median [IQR] (par. eq./mL)	2.3 [2.0-2.9]	---	2.5 [1.9-3.5]	2.2 [1.9-2.6]	2.8 [2.1-4.5]
E1224 HD	N	42	42	41	41	41	
	Positives	42 (100%)	7 (16.7%)	9 (22.0%)	14 (34.1%)	23 (56.1%)	
	Quantifiables	11 (26.2%)	0 (0.0%)	0 (0.0%)	1 (7.1%)	6 (26.1%)	
	Median [IQR] (par. eq./mL)	2.5 [1.9-3.4]	---	---	1.8	2.0 [1.9-2.2]	
BZN	N	44	44	43	43	44	
	Positives	44 (100%)	3 (6.8%)	0 (0.0%)	2 (4.7%)	2 (4.5%)	
	Quantifiables	11 (25.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	
	Median [IQR] (par. eq./mL)	2.1 [1.9-2.7]	---	---	---	---	
MSF- DNDi Sampling Study	BZN	N	137	121		115	116
		Positives	137 (100%)	28 (23.1%)		11 (9.6%)	6 (5.2%)
		Quantifiables	47 (34.3%)	0 (0.0%)	---	1 (9.1%)	0 (0.0%)
		Median [IQR] (par. eq./mL)	2.8 [1.9-4.6]	---		2.2	---

565 BL: baseline; 2M, 4M, 6M and 12M: 2, 4, 6 and 12 months from the beginning of the study;
 566 S1-3: samples 1-3; LD, SD and HD: low, short and high dosages; BZN: benznidazole; N:
 567 number of samples; IQR: interquartile range; par. eq./mL: parasite equivalents in 1 mL of
 568 blood
 569

570

571 **Fig 1. Study diagram and schedule of qPCR assessments**

572

573 **Fig 2. Distribution of parasitic loads in peripheral blood samples of pre-treated chronic**
574 **Chagas disease patients from DNDi-CH-E1224-001 and MSF-DNDi PCR sampling**
575 **optimization clinical studies.** par. eq./mL: parasite equivalents in 1 mL of blood; CBBA:
576 Cochabamba.

577

578 **Fig 3. Distribution of parasitic loads during baseline and follow-up of the different**
579 **groups of treatment of DNDi-CH-E1224-001 and MSF-DNDi PCR sampling**
580 **optimization clinical studies.** A: E1224-Placebo arm; B: E1224-Low Dose arm; C: E1224-
581 Short Dose arm; D: E1224-High Dose arm; E: Benznidazole arm from the DNDi-CH-E1224-
582 001 trial; F: Benznidazole arm from the MSF-DNDi PCR sampling optimization study; par.
583 eq./mL: parasite equivalents in 1 mL of blood; BL: baseline; 2M, 4M, 6M and 12M: 2, 4, 6
584 and 12 months from the beginning of the study

585

586 **Fig4. Cumulative therapeutic failure during the follow-up of the different groups of**
587 **treatment of DNDi-CH-E1224-001 and MSF-DNDi PCR sampling optimization clinical**
588 **studies.** A: E1224-Placebo arm; B: E1224-Low Dose arm; C: E1224-Short Dose arm; D:
589 E1224-High Dose arm; E: Benznidazole arm from the DNDi-CH-E1224-001 trial; F:
590 Benznidazole arm from the MSF-DNDi PCR sampling optimization study; S1-3: samples 1-3;
591 * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$

592

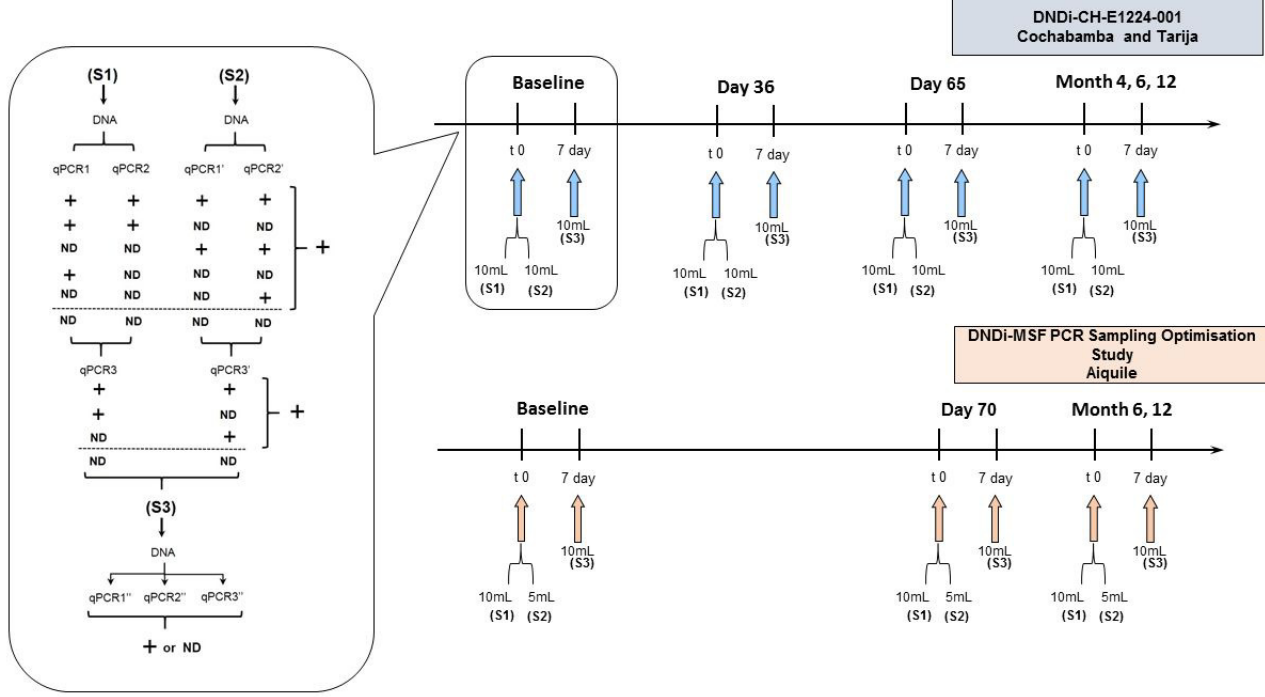


Figure 1.

