

1 **Evaluation of OMNIgene® SPUTUM and ethanol reagent for preservation of sputum prior**
2 **to Xpert and culture testing in Uganda**

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Abstract

23 **Background:** Xpert MTB/RIF (Xpert) and culture are the most reliable methods for tuberculosis
24 diagnosis but are still poorly accessible in many low resource countries. We aimed to assess the
25 effect of OMNIgene® SPUTUM (OM-S) and ethanol in preserving sputum for Xpert and OM-S
26 for mycobacteria growth indicator tube (MGIT) testing over a period of 15 and 8 days
27 respectively.

28 **Methods:** Sputum were collected from newly diagnosed smear-positive patients. For Xpert,
29 pooled samples were split into 5 aliquots: 3 for Xpert on day 0, 7 and 15 days without additive
30 and 2 with either OM-S or ethanol at day 15. For MGIT, 2 aliquots were tested without
31 preservative and 2 with OM-S at 0 and 8 days.

32 **Results:** A total of 48 and 47 samples were included in the analysis for Xpert and culture. With
33 Xpert, using Day 0 as reference, untreated samples stored for 7 and 15 days showed concordance
34 of 45/46 (97.8%) and 46/48 (95.8%). For samples preserved with OM-S or ethanol for 15 days
35 compared with untreated samples processed at day 0 or after 15 days, OM-S concordance was
36 46/48(95.8%) and 47/48(97.9%), while ethanol was 44/48 (91.7%) and 45/48 (93.8%). With
37 MGIT, concordance between untreated and OM-S treated samples was 21/41(51.2%) at Day 0
38 and 21/44(47.7%) at day8.

39 **Conclusions:** Xpert equally detected TB in OM-S treated and untreated samples up to 15 days
40 but showed slightly lower detection in ethanol treated samples. Among OM-S treated samples,
41 MGIT positivity was significantly lower compared to untreated samples at both time-points.

42 **Key words:** OMNIgene®, Tuberculosis, Xpert, Culture

43

44 **Introduction**

45 Tuberculosis (TB) represents one of the most prevalent infectious diseases in the world, with an
46 estimate of 10 million incidence cases in 2017, majority from low or middle income countries
47 (1). In 2010, World Health Organization (WHO) endorsed Xpert MTB/RIF (Xpert) (Cepheid,
48 Sunnyvale, CA), to simultaneously detect TB and resistance to rifampicin (2) and the test has
49 been widely adopted for TB diagnosis (1). Nevertheless Xpert remains unavailable in most
50 primary health care centres where majority of patients with presumptive TB seek care (3).
51 Culture is the gold standard test to confirm TB, but is slow, laborious, and due to requirement for
52 biohazardous containment, is available mainly in high level laboratories. With Xpert placed at
53 district hospital and culture at regional hospital and national reference laboratory in many low
54 resource countries, sputum samples must be transported from peripheral locations for testing. In
55 some remote setting, high temperatures and long transport make proper samples storage very
56 challenging.

57 According to manufacturer's instruction, specimens to be tested on Xpert should be held at 2-8
58 °C for 10 days maximum or be stored at a maximum of 35°C for up to 3 days before processing
59 (4). Even if these limitations hinder access to Xpert, studies on stability of samples prior to Xpert
60 testing are limited. Fixation of samples with ethanol is a low-cost and effective method of DNA
61 preservation before PCR testing, (6) however data on its application on samples before Xpert
62 testing are not available. Samples for culture should be processed immediately or kept at 2-8 °C
63 not beyond 3 days.

64 Long sample storage before culture inoculation is known to increase contamination rate and
65 affect mycobacterial recovery (7). Cetylpyridinium chlorite is a sample preservative widely used
66 for sample transportation, but this reagent is not compatible with the mycobacteria growth

67 indicator tube (MGIT) technique, commonly used for TB culture(8).
68 OMNIgene® SPUTUM (OM-S; DNA Genotek Inc, Ottawa, Canada) is another reagent that can
69 be applied to samples prior to testing with both Xpert and MGIT cultures. The reagent stabilizes
70 DNA prior to PCR testing, so that samples treated with OM-S may be stored for a maximum of
71 30 days at a temperature between 4 and 40 °C before Xpert testing (DNA Genotek procedures).
72 One study reported good compatibility of OM-S with Xpert in samples transported at room
73 temperature (RT) compared to standard procedures including cold storage (5). However, this
74 study did not systematically compare Xpert performance on OM-S with standard method for the
75 same duration of storage.

76 At the same time OM-S has the ability to liquefy and decontaminate samples offering the
77 possibility to extend their storage until 8 days at temperatures up to 40°C prior to culture
78 inoculation (9). However, studies investigating the effect of OM-S have shown good accuracy
79 but mainly with Löwenstein-Jensen culture (10,11) while those using MGIT have reported
80 contrasting results (12–15).

81 The objectives of this proof of concept study were: to determine the effect of OM-S and ethanol
82 when added to samples tested with Xpert after 15 days; to assess OM-S on samples tested with
83 MGIT culture after 8 days; to investigate the effect of delayed Xpert and MGIT culture testing
84 beyond recommended times for untreated sputum samples.

85

86 **Materials and Methods**

87 **Setting**

88 The study was conducted at Epicentre Mbarara Research Centre, within a Regional Referral
89 Hospital in south western Uganda. The biosafety level 3 Epicentre laboratory is quality

90 controlled by the Supranational TB Reference Laboratory of the Tropical Medical Institute of
91 Antwerp (Belgium).

92

93 **Sample collection**

94 Xpert and MGIT performance were investigated in Phase 1 and Phase 2 of the study among
95 newly diagnosed smear positive (Sm+) adults.

96 Sm+ patients identified under routine of care were referred for informed consent and enrolment
97 at the Epicentre Clinic, where 1 to 3 samples (A,B,C) were collected within 1-hour interval, to
98 reach at least 6 ml total volume for the first phase and 10 ml for the second phase. Samples were
99 pooled to obtain a homogenous bacterial load before splitting in aliquots for the different testing
100 strategies. To verify homogeneity, smear microscopy using auramine staining according to
101 WHO/IUATLD AFB microscopy grading (16) was performed on direct, pooled sample and on
102 all the aliquots. Smear-negative (Sm-) pooled samples and insufficient volume samples were
103 excluded from further evaluation. All aliquots were stored at RT between 22-26°C in a
104 temperature-controlled laboratory throughout the study investigation period.

105

106 **Sample processing and testing**

107 *Phase 1: assessment of the effect of OM-S and ethanol on the Xpert test*

108 Pooled samples were split into five equal aliquots: a) three additive-free, one tested on the
109 collection day, one after 7 and one after 15 days respectively; b) two treated with either OM-S or
110 ethanol and tested after 15 days (Fig 1A).

111 OM-S was added in equal volume (1:1) and in double (2:1) for ethanol to achieve 70% final
112 concentration. Then, 1 ml of the mixture was combined with 2 ml of sample reagent, mixed and

113 allowed to settle for 15 minutes at RT before transferring 2 ml into the Xpert cartridge for testing
114 according to the manufacturers' protocol (4) .

115 *Phase 2: assessment of the effect of OM-S on MGIT culture*

116 Pooled samples were split into four equal aliquots: a) two untreated: one tested on the collection
117 day and another after 8 days, b) two aliquots added with OM-S and processed on collection day
118 and after 8 days (Fig 1B).

119 Aliquots treated with OM-S were added with the reagent in 1:1 proportion following
120 manufacturer instructions (4), inverted vigorously and left at RT. On the scheduled day for
121 culture inoculation, the mixture was centrifuged at 3,000xg for 20 minutes, the supernatant was
122 discarded, and the sediment suspended into 1 ml of phosphate buffer before inoculation into an
123 MGIT tube. Untreated aliquots were decontaminated with 1.25% N-acetyl L-Cysteine-Sodium
124 hydroxide final concentration, then centrifuged at 3,000xg for 20 minutes. The pellet was re-
125 suspended with 1 ml of phosphate buffer and inoculated into MGIT. PANTA (Polymyxin B,
126 Amphotericin B, Nalidixic acid, Trimethoprim, Azlocillin) was used at double concentration
127 according to a modified step of the BD MGITTM product insert (17).

128 Positive cultures were checked for AFB presence using Ziehl-Neelsen microscopy and tested on
129 blood agar culture to exclude contamination (17). Final identification of *Mycobacterium*
130 *tuberculosis* complex (MTB) was performed using MPT64 (SD Bioline)-Rapid Diagnostic Test.
131 Cultures were classified as negative after 8 weeks of incubation.

132

133 **Statistical analysis**

134 A convenient sample size of 50 Sm+ TB patients was proposed for each phase of the study.
135 Laboratory records were double entered into voozanoo database and analysed using STATA 12

136 (Texas, USA), software.

137 Xpert results were categorized as very low/low; medium/high, negative/not applicable
138 (inconclusive results; either error, invalid, no result). Results were presented per stratified aliquot
139 smear results grouped as: low ($\leq 1+$) and high bacillary load ($> 1+$).

140 To assess the effect of time alone (without preservative) on test performance, MTB detection on
141 Xpert was compared between day 0, day 7 and day 15 using McNemar test for matched data. To
142 assess the effect of both preservatives, MTB detection on Xpert was compared between aliquots
143 treated with OM-S and ethanol at day 15, and each method versus untreated aliquots at day 0 and
144 day 15. Xpert results were considered discordant between aliquots if the difference was
145 exceeding one grade of positivity.

146 MGIT positivity rate was stratified by smear categories: negative, low ($\leq 1+$) and high bacillary
147 load ($> 1+$). To assess the effect of time alone, untreated samples were compared at day 0 and day
148 8. To assess the effect of OM-S on MGIT, OM-S treated aliquots at day 8 were compared to
149 untreated aliquots at day 0 and day 8 along with OM-S at day 0. To investigate the effect of OM-
150 S on mycobacterial viability, treated and untreated aliquots were compared at day 0.

151 Finally, mean time to culture positivity and standard deviation (SD) were calculated among
152 untreated and OM-S treated aliquots at day 0 and day 8 and compared using a paired t-test

153

154 **Ethical approval:** Approvals were received from Mbarara University Research Ethics
155 Committee, the Uganda National Council for Science and Technology and ITM Ethical Review
156 Board.

157

158 **Results**

159 **Phase 1: assessment of the effect of OM-S and ethanol on the Xpert test**

160 Between May 2016 and October 2017, the study enrolled 52 patients in phase 1. Of these, 2
161 submitted insufficient sample volume and were excluded. Fifteen patients (30%) provided 6ml
162 sample, which did not require additional sample collection, 32 (64%) 2 samples and 3 (6.2%) 3
163 samples, for total of 88 samples. After pooling samples, 2/50 (4%) aliquots were Sm- and
164 excluded from further analysis. Of 48 remaining samples, 10 (20.8%) were scanty positive, 14
165 (29.2%) 1+, 10 (20.8%) 2+ and 14 (29.2%) 3+. All aliquots obtained from the same sample
166 showed either the same grade of positivity or 1 grade level of difference except for 5 samples
167 (ID107, 115, 140, 144, 145), (Table 1).

168 MTB was detected by Xpert in all aliquots except for 2 invalid results for 1+ untreated aliquots
169 tested at day 7 (ID 109 and 144), and 2 negative results for aliquots treated with ethanol; one
170 Sm- and one scanty positive (ID 115 and 120) (Table 2).

171 *Xpert performance for untreated specimens*

172 When we compared untreated aliquots obtained from the same sample and tested at day 0, and
173 15, Xpert detected MTB in all (p value=1). Except for two samples (ID 120,153) aliquots, Xpert
174 grade varied within one degree of positivity (Table 3). Aliquot 120 was “high” at day 0 but
175 “low” at all other time points. On the contrary, aliquot 153 was “very low” at day 0 and
176 “medium” at day 15.

177 Using day 0 as reference and excluding invalid results, 45/46 (97.8%) aliquots had concordant
178 results with those of day 7, while 46/48 (95.8%) with those of day 15.

179 *Effect of OM-S and ethanol specimen treatment on Xpert performance*

180 The results from the comparison between aliquots tested with OM-S or ethanol and versus
181 untreated aliquots at day 0 and day 15 is shown in Table 4.

182 Three aliquots (ID 120,152,153), showed discordant Xpert results in the OM-S group. Aliquot
183 120 showed a lower grade of positivity with OM-S compared to day 0 without treatment, while
184 aliquot 152 and 153 had higher grade with OM-S compared to untreated at day 0 and day 15.
185 OM-S aliquots had Xpert concordant results with untreated aliquots in 46/48 (95.8%) and 47/48
186 (97.9%) at day 0 and day 15, respectively.

187 Five aliquots (ID 152, 153,120,142,144) showed discordances in the ethanol group. The aliquots
188 120, 142,144 added with ethanol gave lower results compared to untreated aliquots at both time
189 points, while aliquots 152 and 153 reported “high” Xpert results with ethanol but “low” or “very
190 low” when untreated. Of 48 aliquots containing ethanol, 44 (91.7%) and 45 (93.8%) had
191 concordant results with untreated aliquots tested at day 0 and day 15, respectively (Table 4).

192 Comparison of aliquots treated with OM-S and ethanol showed a concordance of 44/48 (91.7%)
193 (Table 5). Two aliquots were positive for OM-S and negative with ethanol (ID 120,115), and two
194 (ID 142,144), were “high” positive with OM-S and “low” or “very low” with ethanol.

195 All aliquots gave rifampicin susceptible results except for ID 120 that was rifampicin resistant
196 for untreated aliquots at day 7, 15 and with OM-S, but rifampicin susceptible at day 0 and
197 negative for the aliquot treated with ethanol.

198

199 **Phase 2: assessment of the effect of OM-S on MGIT cultures**

200 Of 57 patients enrolled in phase 2 between October 2016 and August 2017, 1 patient was
201 excluded because of insufficient sample volume. Of 56 patients finally included, 33 (62%)
202 provided one 10 ml sample and 23 (38%) collected 2 sputum samples, and none required a third
203 sample. Of the 56 pooled samples, 8 were excluded because Sm-, the remaining 48, had 5
204 (10.4%) scanty, 18 (37.5%) 1+, 10 (20.8%) 2+, 15 (31.2%) 3+. All aliquots prepared from the

205 same pooled sample showed either the same level of smear positivity or 1 grade level of
206 difference, except for 4 cases (ID 206, 208, 230, and 236) (Table 6).

207 For sample ID242 the untreated aliquot at day 0 was contaminated, the untreated aliquot at day 8
208 was not tested, while the other aliquots were smear and culture negative. One aliquot (ID 240
209 untreated day 8) was positive for non-tuberculous mycobacteria (NTM).

210 As shown in Fig 2, the culture positivity across smear categories at different time points was
211 uniformly distributed.

212 *MGIT performance for untreated specimens*

213 At day 0, 41/47 (87.2%) untreated aliquots had MTB culture positive results compared to 44/46
214 (95.7%) at day 8.

215 *Effect of OM-S specimen treatment on MGIT performance*

216 Untreated and OM-S treated aliquots were compared at day 0 and day 8. Of the 41 untreated
217 aliquots with MTB at day 0, only 18 (43.9%) treated with OM-S had MTB at day 8 (Table 7).
218 Similarly among 44 MTB+ untreated cultures at day 8, merely 20 (45.5%) were positive among
219 OM-S treated aliquots the same date (Table 7). In addition, among 21 MTB+ OM-S treated
220 aliquots at day 0, only 11 (52.4%) were positive among OM-S treated at day 8 (Table 7).

221 By comparing OM-S treated aliquots at day 0 and day 8, there were 11 MTB+ cultures at both
222 time points, 10 at day 0 and 9 at day 8 alone (Table 7).

223 Finally, among 41 MTB+ untreated aliquots at day 0, only 21 (51.2%) were positive among OM-
224 S aliquots the same date (Table 8).

225 *Time to culture positivity for OM-S and untreated samples at different time points*

226 At day 0, mean time to detection was 10.4 days (SD 1.1) among untreated aliquots compared to
227 18.2 days (SD 2.5) for OM-S with p value = 0.003. Correspondingly, it was 10.9 days (SD 1.2)
228 at day 8 among untreated aliquots compared to 25.5 days (SD 3.0) for OM-S (p value= <0.001).

229

230 **DISCUSSION**

231 OM-S has been proposed as sample preservative prior to testing with Xpert and culture, but so
232 far has not been endorsed by WHO (18). This study adds more evidence of accuracy on the use
233 of this reagent to preserve samples for delayed testing. The study also provides data on Xpert and
234 MGIT performance on samples kept beyond the recommended 3 days at RT without
235 preservative. Samples treated with OM-S can be stored up to 30 days at RT prior Xpert testing.
236 Our choice to limit the delay for a maximum of 15 days was based on assumption that the benefit
237 of this test is to provide early diagnosis, and would be compromised if results are available
238 beyond this time frame.

239 Overall, all aliquots gave Xpert positive results except for 4 aliquots: 2 scanty positive or
240 Sm-, treated with ethanol, which gave negative results, and 2 smear grade 1+ (untreated) and
241 processed on day 7, which gave error codes 2008 and 5007. These errors are reported by the
242 Cepheid as mainly related to high pressure and probe check control failure so they are mainly
243 due to specimen handling rather than RT preservation (21). Surprisingly, the effect of long
244 sample storage at RT without a preservative did not alter the Xpert performance over 15 days.
245 Only 2 aliquots showed Xpert quantitative result discordant for more than one grade. These
246 results suggest that mycobacterial organisms in Sm+ samples may not significantly degrade by
247 storage beyond the 3 recommended days.

248 There was a good concordance between aliquots added with OM-S and untreated tested
249 at day 0 and 15. This shows that OM-S does not alter the Xpert performance on specimen stored
250 up to 15 days at RT, compared to testing at day 0 that is considered as the best practice. It also
251 demonstrates that the reagent did not improve MTB detection after long storage compared to

252 untreated samples. At the same time points, ethanol performance was lower, with 5 discordant
253 results. However, with exception of two aliquots that were either Sm- or scanty, and Xpert
254 negative, all aliquots treated with ethanol gave positive results.

255 In both OM-S and ethanol comparisons, all discordances (results above one grade difference)
256 occurred in 5 samples (ID 120,142,144,152,153). Higher dilution of sediments treated with OM-
257 S or ethanol unlikely contributed to these discordances, as all aliquots showed consistent smear
258 grade, and in two cases the lower Xpert grade was observed in the untreated, less diluted
259 sediments.

260 For ID 120 the same aliquot showed discordance with rifampicin result: Xpert positive
261 and rifampicin susceptible at day 0 untreated but resistant for extended untreated aliquots at day
262 7 and 15, ethanol and OM-S both at day 15. This could be due to a clerical error from the
263 laboratory, but other explanations cannot be excluded, such as heteroresistance or false
264 susceptible result due to low mycobacterial load, as reported by other studies (19, 20). However,
265 this discrepancy was not further investigated.

266 Other studies have reported already good performance of Xpert from OM-S treated
267 compared to untreated samples but always processed on the same day of collection (5,12). In
268 addition, our study showed that similar performance can be obtained beyond the recommended
269 time with OM-S treated and untreated samples until 15 days. Although Xpert testing should be
270 performed as soon as the sample is collected to allow rapid treatment initiation, these results are
271 very important for remote settings where Xpert can only be tested after prolonged transport
272 collection.

273 Culture positivity rate was unexpectedly lower for fresh untreated samples compare to
274 samples untreated and processed after 8 days. The reason for these results remains unexplained

275 as aliquots had equivalent smear graded. MGIT performance was much lower for samples
276 treated with OM-S compared to untreated samples (50%). The poor concordance at day 0
277 indicates a negative effect on bacterial growth of MTB by the OM-S treatment regardless of time
278 of exposure. Genotek has recently released a revised protocol that includes OM-S neutralization
279 with buffer before inoculation. This procedure should be further investigated.

280 The negative effect of OM-S on mycobacterial recovery on MGIT has been reported in other
281 studies (13,14). The incompatibility between the reagent and culture however has been mainly
282 reported for MGIT system (16,13,17). One study reported poor recovery of MTB across both
283 MGIT and LJ media (13). One study reported improved results in MGIT cultures using samples
284 treated with OM-S for up to three weeks, with only concerns about delay in MGIT results (15).

285 Other studies have reported no significant difference between untreated and OM-S
286 treated smear positive remnant samples, with MGIT at day 8(18). Although there was a
287 difference in study design, our study used fresh samples, while FIND evaluation used sediments,
288 this is unlikely to have caused such a difference in the results.

289 There was only one contaminant on untreated sample at day 0. Previous studies have
290 shown that OM-S treated samples have lower contamination rate than untreated counterparts
291 (10,12–15). In our study, only one contaminant in the untreated group may not explain much
292 about the contribution of OM-S in reducing contamination compared to standard
293 decontamination.

294 Finally, we observed a substantial delay in days to positivity between untreated and OM-
295 S treated samples at both time points. Previous studies have also noted delayed culture growth in
296 samples treated with OM-S (13,15,23,24). This further raises concerns about the utility of OM-S
297 in its current procedure and the compatibility with MGIT cultures.

298 **Limitations**

299 This study had few limitations. This was a proof of concept study and aliquots were stored in a
300 controlled research laboratory and not in the type of setting in which the protocols would
301 actually be applied.

302 We used only known Sm⁺ samples and therefore we could not demonstrate the effect of the
303 reagent in Sm⁻ samples tested on Xpert and in MGIT liquid medium. More evaluation is needed
304 especially among smear negative, Xpert positive samples in high TB-HIV context.

305

306 **Conclusion**

307 In this proof of concept study, we have shown that there is no advantage in using OM-S reagent,
308 or ethanol, for smear positive sputum stored at RT up to 15 days as Xpert performance remains
309 high even after such delays. This study brings reassuring data regarding the possibility of using
310 Xpert on transported sputum samples without cold chain, which is common practice in high
311 burden and limited resource countries. On the other hand, this study does not support the use of
312 OM-S for delayed culture processing, unless additional evaluation on the revised protocol give
313 more promising results.

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321

322 **Conflict of Interest:** The authors declare that they have no conflict of interest. The funders had
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324 publication.

325

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- 389 day sputum transport reagent works with routine tuberculosis tests and eliminates need for

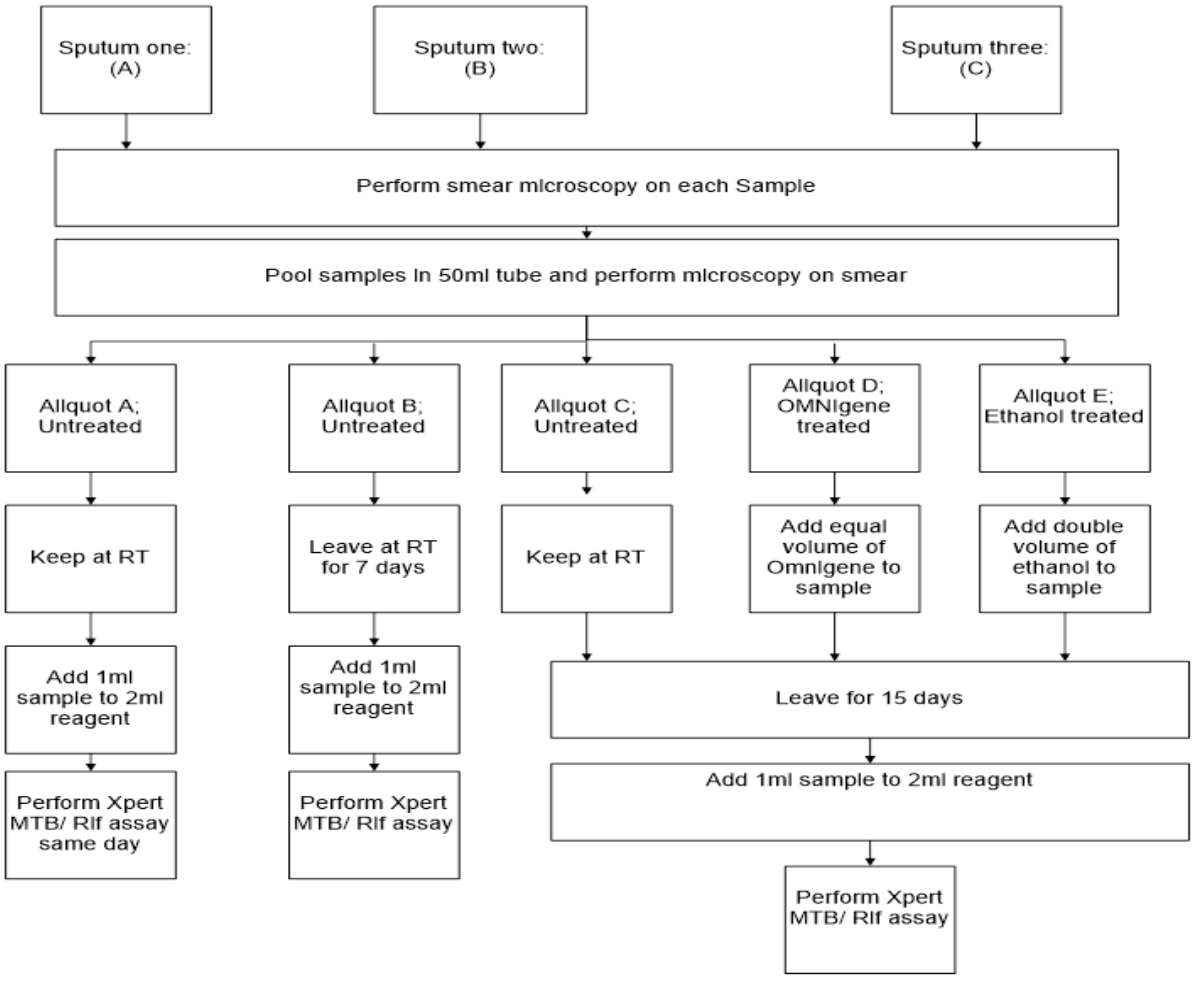
- 390 cold chain: Preliminary study of compatibility with the Xpert® MTB/RIF assay.
391 Diagn Microbiol Infect Dis. 86(3):273–6.
392 24. WHO. 2011. Commercial serodiagnostic tests for diagnosis of tuberculosis: policy
393 statement. Geneva WHO.
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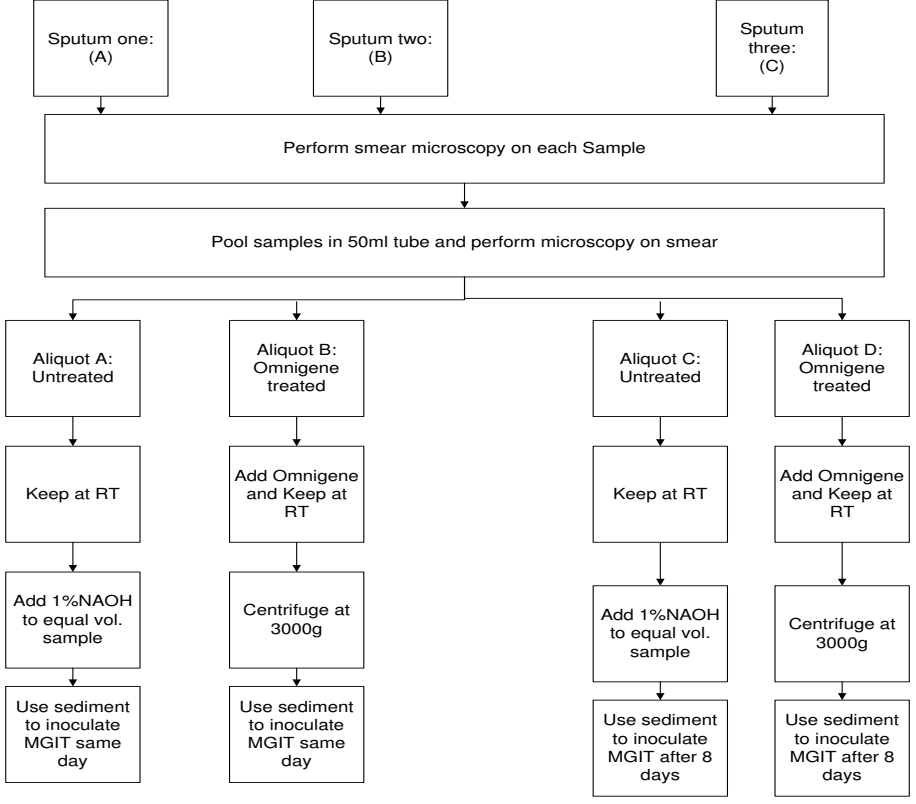
395 Figure 1 A; Phase 1: assessment of the effect of OM-S and ethanol on the Xpert test

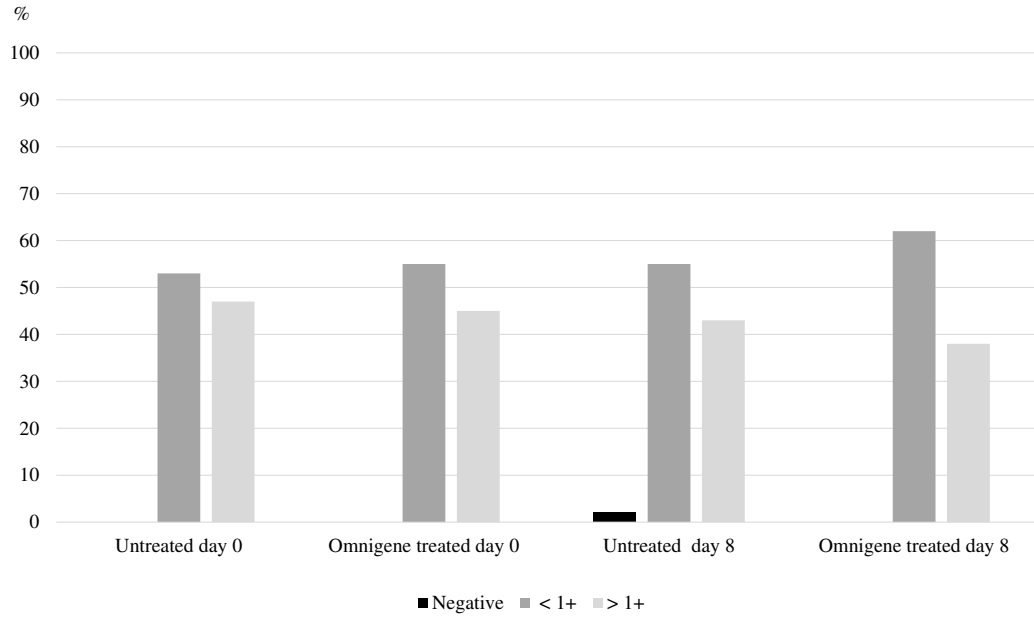
396 Figure 1B; Phase 2: assessment of the effect of OM-S on MGIT culture

397 • RT: room temperature; MGIT: mycobacteria growth indicator tube

398 Figure 2: culture positivity for all aliquots by smear grade







1 Table 1: Individual Xpert test results

| Lab id | Sm pooled sample | UN day 0 | | | UN day 7 | | | UN day 15 | | | OM day 15 | | | ETH day 15 | | |
|--------|------------------|----------|-----------|-----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------|-----------|-----------|
| | | Sm | MTB Xpert | RMP Xpert | Sm | MTB Xpert | RMP Xpert | Sm | MTB Xpert | RMP Xpert | Sm | MTB Xpert | RMP Xpert | Sm | MTB Xpert | RMP Xpert |
| 101 | 3+ | 3+ | high | S | 2+ | high | S | 2+ | medium | S | 2+ | medium | S | 2+ | high | S |
| 103 | 1+ | 1+ | low | S | 1+ | low | S | 1+ | medium | S | 1+ | medium | S | 1+ | low | S |
| 104 | 1+ | 2+ | medium | S | 2+ | medium | S | 1+ | high | S | 1+ | medium | S | 1+ | medium | S |
| 105 | 1+ | 3AFB | low | S | 5AFB | low | S | 1AFB | low | S | 2AFB | low | S | 2AFB | low | S |
| 106 | 1+ | 1+ | low | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S |
| 107 | 1+ | 1+ | high | S | 2+ | high | S | 3+ | high | S | 2+ | high | S | 1+ | high | S |
| 108 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S |
| 109 | 3AFB | 1+ | low | S | 1+ | error | n/a | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S |
| 110 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S |
| 111 | 1+ | 7AFB | low | S | 1+ | low | S | 1+ | low | S | 1+ | low | S | 1+ | low | S |
| 112 | 2+ | 1+ | high | S | 1+ | medium | S | 1+ | medium | S | 1+ | high | S | 1+ | medium | S |
| 113 | 1+ | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S |
| 114 | 2+ | 2+ | medium | S | 1+ | medium | S | 2+ | medium | S | 2+ | medium | S | 1+ | low | S |
| 115 | 1AFB | 2AFB | low | S | 1+ | low | S | 1AFB | low | S | negative | low | S | negative | negative | n/a |
| 117 | 1+ | 10AFB | medium | S | 1+ | medium | S | 1+ | medium | S | 10AFB | medium | S | 11AFB | medium | S |
| 118 | 3+ | 2+ | medium | S | 2+ | high | S | 2+ | high | S | 2+ | high | S | 2+ | medium | S |
| 120 | 1AFB | negative | high | S | negative | low | R | negative | low | R | negative | low | R | 1AFB | negative | n/a |
| 121 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | medium | S | 3+ | high | S | 3+ | medium | S |
| 122 | 7AFB | 8AFB | medium | S | 7AFB | medium | S | negative | medium | S | 15AFB | medium | S | 12AFB | medium | S |
| 123 | 15AFB | 1+ | high | S | 2+ | high | S | 2+ | high | S | 2+ | high | S | 2+ | medium | S |
| 124 | 1+ | 13AFB | medium | S | 3AFB | medium | S | 2AFB | medium | S | 1+ | medium | S | 2AFB | medium | S |
| 125 | 2+ | 15AFB | medium | S | 1+ | high | S | 1+ | high | S | 12AFB | medium | S | 1+ | medium | S |
| 126 | 1+ | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S | 1+ | low | S |
| 127 | 2+ | 1+ | medium | S | 2+ | high | S | 2+ | high | S | 2+ | medium | S | 2+ | medium | S |
| 128 | 3+ | 2+ | medium | S | 3+ | medium | S | 3+ | medium | S | 2+ | medium | S | 2+ | medium | S |
| 130 | 2+ | 2+ | medium | S | 1+ | medium | S | 2+ | medium | S | 2+ | medium | S | 2+ | medium | S |
| 131 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S |
| 132 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S |
| 133 | 3+ | 3+ | high | S | 3+ | medium | S | 2+ | medium | S | 3+ | high | S | 3+ | medium | S |

| | | | | | | | | | | | | | | | | |
|-----|--------|------|----------|---|------|----------|-----|----------|----------|---|----------|----------|---|----------|----------|---|
| 134 | 2AFB | 3AFB | low | S | 1AFB | very low | S | 2AFB | very low | S | 3AFB | very low | S | 1AFB | very low | S |
| 135 | 2+ | 3+ | high | S | 2+ | high | S | 2+ | medium | S | 2+ | medium | S | 2+ | medium | S |
| 136 | scanty | 8AFB | medium | S | 3AFB | medium | S | 5AFB | medium | S | 5AFB | low | S | 2AFB | medium | S |
| 137 | 1+ | 2+ | medium | S | 1+ | low | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S |
| 138 | 2+ | 3+ | medium | S | 2+ | medium | S | 3+ | high | S | 3+ | high | S | 3+ | high | S |
| 139 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | medium | S | 3+ | high | S | 3+ | medium | S |
| 140 | 2+ | 3+ | medium | S | 1+ | medium | S | 3+ | high | S | 2+ | medium | S | 3+ | medium | S |
| 142 | 2+ | 1+ | medium | S | 2+ | high | S | 2+ | high | S | 2+ | high | S | 2+ | very low | S |
| 143 | 3AFB | 1AFB | low | S | 6AFB | medium | S | 5AFB | medium | S | 2AFB | medium | S | 2AFB | low | S |
| 144 | 2+ | 2+ | high | S | 1+ | error | n/a | 3+ | high | S | 3+ | high | S | 3+ | low | S |
| 145 | 3+ | 2+ | medium | S | 1+ | medium | S | 2+ | high | S | 3+ | medium | S | 3+ | medium | S |
| 147 | 1+ | 1+ | high | S | 1+ | medium | S | 2+ | high | S | 1+ | high | S | 1+ | medium | S |
| 149 | 3+ | 2+ | medium | S | 2+ | high | S | 1+ | high | S | 2+ | high | S | 2+ | high | S |
| 150 | 1+ | 1+ | high | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S | 1+ | medium | S |
| 151 | 3+ | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S | 3+ | high | S |
| 152 | 3+ | 2+ | medium | S | 3+ | high | S | 3+ | low | S | 3+ | high | S | 3+ | high | S |
| 153 | scanty | 2AFB | very low | S | 2AFB | low | S | negative | medium | S | negative | medium | S | negative | high | S |
| 154 | scanty | 2AFB | medium | S | 1+ | medium | S | 5AFB | low | S | 1+ | low | S | 1+ | medium | S |
| 155 | 1+ | 4AFB | medium | S | 7AFB | medium | S | 5AFB | low | S | 1AFB | medium | S | 8AFB | medium | S |

2 MTB: *Mycobacterium tuberculosis*, AFB; Acid Fast Bacilli, UN; Untreated sample, ETH; Ethanol treated sample, sm; smear microscopy results

3

4 Table 2: Correlation between Xpert and smear grade for all samples

| | ≤1+ | | | | | >1+ | | | | |
|-------------------------|-------------|--------------|--------------|--------------|---------------|-------------|-------------|--------------|--------------|---------------|
| | UND0 n % | UND7 n % | UND15 n % | OMD15 n % | ETHD15 n % | UND0 n % | UND7 n % | UND15 n % | OMD15 n % | ETHD15 n % |
| Very low /Low | 9 (34.6) | 8 (28.6) | 7 (29.2) | 9 (34.6) | 8 (28.6) | 0 | 0 | 1 (4.2) | 0 | 2 (9.1) |
| Medium/High | 17 (65) | 18 (64.3) | 17 (70.8) | 17 (65.4) | 18 (64.3) | 22 (100) | 20 (100) | 23 (95.8) | 24 (100) | 20 (90.9) |
| Negative/Invalid | 0 | 2 (7.1) | 0 | 0 | 2 (7.1) | 0 | 0 | 0 | 0 | 0 |
| Total | 26 | 28 | 24 | 26 | 28 | 22 | 20 | 24 | 24 | 22 |

5 UND0, UND7 and UND15: aliquot untreated tested at day 0, 7, 15 respectively; OMD15: aliquot treated with OM-S tested at day 15; ETH15: aliquot
6 treated with ethanol tested at day 15.

7

8 **Table 3: Comparison of Xpert results in untreated samples at D0, D7, and D15**

| | UND7 | | | | | | UND15 | | | | | | Total |
|-------------|------|----------|------------------|-----|------|-----|-------|----------|------------------|------------------|------|-----|-------|
| | Neg | Very low | Low | Med | High | N/A | Neg | Very low | Low | Med | High | N/A | |
| UND0 | | | | | | | | | | | | | |
| Neg | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Very low | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 ⁽¹⁾ | 0 | 0 | 1 |
| Low | 0 | 1 | 4 | 2 | 0 | 1 | 0 | 1 | 3 | 4 | 0 | 0 | 8 |
| Med | 0 | 0 | 1 | 15 | 6 | 0 | 0 | 0 | 3 | 10 | 9 | 0 | 22 |
| High | 0 | 0 | 1 ⁽²⁾ | 4 | 11 | 1 | 0 | 0 | 1 ⁽²⁾ | 7 | 9 | 0 | 17 |
| Invalid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 1 | 7 | 21 | 17 | 2 | 0 | 1 | 7 | 22 | 18 | 0 | 48 |

9 1= ID153, 2= ID120

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14

15 **Table 4: Comparison of Xpert results for OM-S and Ethanol treated aliquots at different days**

| | UND0 | | | | | | UND15 | | | | | Total |
|---------------|----------|-----|------------------|-----|------------------|------------------|-------|----------|------------------|-----|------------------|-------|
| | Results | Neg | Very low | Low | Med | High | Neg | Very low | Low | Med | High | |
| OMD15 | Neg | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Very low | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |
| | Low | 0 | 0 | 3 | 2 | 1 ⁽¹⁾ | 0 | 0 | 5 | 1 | 0 | 6 |
| | Med | 0 | 1 ⁽²⁾ | 4 | 15 | 3 | 0 | 0 | 1 | 17 | 5 | 23 |
| | High | 0 | 0 | 0 | 5 | 13 | 0 | 0 | 1 ⁽³⁾ | 4 | 13 | 18 |
| | Invalid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 0 | 1 | 8 | 22 | 17 | 0 | 1 | 7 | 22 | 18 | 48 |
| ETHD15 | UND0 | | | | | | UND15 | | | | | Total |
| | Results | Neg | Very low | Low | Med | High | Neg | Very low | Low | Med | High | |
| | Neg | 0 | 0 | 1 | 0 | 1 ⁽²⁾ | 0 | 0 | 2 | 0 | 0 | 2 |
| | Very low | 0 | 0 | 1 | 1 ⁽³⁾ | 0 | 0 | 1 | 0 | 0 | 1 ⁽³⁾ | 2 |
| | Low | 0 | 0 | 4 | 2 | 1 ⁽⁴⁾ | 0 | 0 | 2 | 4 | 1 ⁽⁴⁾ | 7 |
| | Med | 0 | 0 | 2 | 16 | 8 | 0 | 0 | 2 | 16 | 8 | 26 |
| | High | 0 | 1 ⁽²⁾ | 0 | 3 | 7 | 0 | 0 | 1 ⁽³⁾ | 2 | 8 | 11 |
| Invalid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Total | 0 | 1 | 8 | 22 | 17 | 0 | 1 | 7 | 22 | 18 | 48 | |

16 1= ID120, 2= ID153, 3= ID152, 4=ID 142, 5=ID 144

17

18

19 **Table 5: Comparison of Xpert results for ETHD15 vs OMD15**

| ETHD15 | OMD15 | | | | | | |
|----------|---------|-----|------------------|-----|------------------|------|-------|
| | Results | Neg | Very low | Low | Medium | High | Total |
| Neg | 0 | 0 | 2 ⁽¹⁾ | 0 | 0 | 0 | 2 |
| Very low | 0 | 1 | 0 | 0 | 1 ⁽²⁾ | 0 | 2 |
| Low | 0 | 0 | 2 | 4 | 1 ⁽³⁾ | 0 | 7 |
| Medium | 0 | 0 | 2 | 17 | 7 | 0 | 26 |
| High | 0 | 0 | 0 | 2 | 9 | 0 | 11 |
| Invalid | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 1 | 6 | 23 | 18 | 0 | 48 |

20 1= ID115 and ID120, 2= ID142, 3= ID144,
 21
 22
 23

24 Table 6:

| Lab N° | Sm pooled sample | UN Day 0 | | OM Day 1 | | UN Day 8 | | OM day 8 | |
|--------|------------------|----------|--------------|----------|----------|----------|---------|----------|----------|
| | | Sm | Culture | Sm | Culture | Sm | Culture | Sm | Culture |
| 202 | 3+ | 2+ | MTB | 2+ | MTB | 2+ | MTB | 2+ | Negative |
| 203 | 2+ | 2+ | MTB | 3+ | MTB | 2+ | MTB | 2+ | MTB |
| 204 | 3+ | 3+ | MTB | 3+ | MTB | 3+ | MTB | 3+ | Negative |
| 205 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 206 | 2+ | 3+ | MTB | 3+ | Negative | 2+ | MTB | 1+ | MTB |
| 207 | 1+ | 1+ | MTB | 1+ | MTB | 1+ | MTB | 1+ | MTB |
| 208 | 3+ | 1+ | MTB | 3+ | MTB | 3+ | MTB | 3+ | Negative |
| 209 | scanty | 1+ | MTB | 1+ | MTB | scanty | MTB | 1+ | MTB |
| 210 | 3+ | 3+ | MTB | 3+ | MTB | 3+ | MTB | 3+ | MTB |
| 211 | 2+ | 3+ | MTB | 2+ | Negative | 2+ | MTB | 2+ | MTB |
| 214 | 3+ | 2+ | MTB | 2+ | MTB | 3+ | MTB | 3+ | MTB |
| 215 | 3+ | 3+ | MTB | 3+ | MTB | 3+ | MTB | 3+ | MTB |
| 216 | 2+ | 2+ | MTB | 2+ | MTB | 2+ | MTB | 2+ | Negative |
| 217 | 1+ | 2+ | MTB | 1+ | MTB | 1+ | MTB | 1+ | Negative |
| 218 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 219 | 1+ | 2+ | MTB | 1+ | MTB | 1+ | MTB | 1+ | Negative |
| 220 | 2+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | MTB |
| 221 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 223 | 3+ | 3+ | MTB | 3+ | Negative | 3+ | MTB | 3+ | NTM |
| 224 | 1+ | 1+ | MTB | 1+ | MTB | 1+ | MTB | 1+ | Negative |
| 225 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | MTB |
| 226 | 2+ | 2+ | MTB | 2+ | MTB | 1+ | MTB | 1+ | Negative |
| 227 | scanty | scanty | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 228 | 1+ | 1+ | Negative | 2+ | Negative | 1+ | MTB | 1+ | MTB |
| 229 | 3+ | 3+ | Negative | 3+ | Negative | 3+ | MTB | 2+ | MTB |
| 230 | 2+ | 2+ | MTB | 1+ | MTB | 3+ | MTB | 2+ | MTB |
| 234 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 235 | 1+ | 1+ | MTB | scanty | Negative | 1+ | MTB | 1+ | MTB |
| 236 | 3+ | 1+ | MTB | 3+ | Negative | 3+ | MTB | 3+ | MTB |
| 237 | 3+ | 1+ | MTB | 3+ | MTB | 3+ | MTB | 3+ | MTB |
| 240 | 1+ | 1+ | MTB | 1+ | MTB | 1+ | NTM | 1+ | Negative |
| 241 | scanty | scanty | MTB | scanty | MTB | scanty | MTB | scanty | Negative |
| 242 | scanty | Negative | Contaminated | Negative | Negative | Negative | | Not done | Negative |
| 243 | 1+ | 1+ | MTB | 2+ | Negative | 1+ | MTB | 1+ | Negative |
| 244 | 2+ | 2+ | MTB | 2+ | Negative | 2+ | MTB | 2+ | MTB |
| 245 | 2+ | 2+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 246 | 3+ | 3+ | MTB | 3+ | Negative | 3+ | MTB | 3+ | Negative |
| 248 | 3+ | 3+ | MTB | 3+ | Negative | 3+ | MTB | 3+ | Negative |
| 249 | 3+ | 2+ | MTB | 3+ | MTB | 3+ | MTB | 3+ | MTB |
| 250 | scanty | scanty | MTB | scanty | MTB | 1+ | MTB | 1+ | MTB |
| 252 | 1+ | 1+ | Negative | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 253 | 3+ | 3+ | MTB | 3+ | Negative | 3+ | MTB | 3+ | Negative |

| | | | | | | | | | |
|-----|----|--------|----------|--------|----------|--------|----------|--------|----------|
| 254 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | MTB | 1+ | Negative |
| 255 | 1+ | 1+ | MTB | 1+ | Negative | 1+ | Negative | 1+ | Negative |
| 256 | 3+ | 2+ | Negative | 1+ | Negative | 2+ | MTB | 1+ | Negative |
| 257 | 1+ | scanty | Negative | scanty | Negative | scanty | MTB | scanty | Negative |
| 260 | 1+ | 1+ | MTB | 1+ | MTB | 1+ | MTB | 1+ | MTB |

25 MTB; *Mycobacterium tuberculosis*, UN; Untreated sample, OM; Omnigene treated sample, Sm; smear
26 microscopy results

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30 **Table 7: Comparison of culture results of OMD8 with UND0, UND8 and OMD0 samples**

| | UND0 | | | | UND8 | | | | OMD0 | | | |
|------|-------|-----|-----|------|------|-----|-----|----|------|-----|-----|---|
| | Neg | MTB | NTM | Cont | Neg | MTB | NTM | ND | Neg | MTB | NTM | |
| OMD8 | Neg | 3 | 22 | 0 | 1 | 1 | 23 | 1 | 1 | 16 | 10 | 0 |
| | MTB | 2 | 18 | 0 | 0 | 0 | 20 | 0 | 0 | 9 | 11 | 0 |
| | NTM | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |
| | Total | 5 | 41 | 0 | 1 | 1 | 44 | 1 | 1 | 26 | 21 | 0 |

31

32 Cont.: culture contaminated; Neg: culture negative; NTM: *non-tuberculous mycobacteria*; UND0 and UND88:
33 aliquot untreated tested at day 0 and day 8; OMD0: aliquot treated with OM-S tested at day 0;

34

35

36 **Table 8: Comparison of culture results of UND0 with UND8 and OMD0 samples**

| | UND8 | | | | OMD0 | | |
|-------|------|-----|-----|----|------|-----|-----|
| | Neg | MTB | NTM | ND | Neg | MTB | NTM |
| UND0 | 0 | 5 | 0 | 0 | 5 | 0 | 0 |
| Neg | 1 | 39 | 1 | 0 | 20 | 21 | 0 |
| MTB | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| Cont | 1 | 44 | 1 | 1 | 26 | 21 | 0 |
| Total | | | | | | | |

46 ND: not done; Cont.: culture contaminated; Neg: culture negative; NTM: *non-tuberculous mycobacteria*;
 47 UND0 and UND8: aliquot untreated tested at day 0 and day 8; OMD0: aliquot treated with OM-S tested at day
 48 0

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50

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