



# Multidrug-resistant tuberculosis treatment failure detection depends on monitoring interval and microbiological method

Carole D. Mitnick<sup>1,2</sup>, Richard A. White<sup>3,4</sup>, Chunling Lu<sup>1,5</sup>, Carly A. Rodriguez<sup>1</sup>, Jaime Bayona<sup>6</sup>, Mercedes C. Becerra<sup>1,2,5</sup>, Marcos Burgos<sup>7</sup>, Rosella Centis<sup>8</sup>, Theodore Cohen<sup>9</sup>, Helen Cox<sup>10</sup>, Lia D'Ambrosio<sup>8,11</sup>, Manfred Danilovitz<sup>12</sup>, Dennis Falzon<sup>13</sup>, Irina Y. Gelmanova<sup>14</sup>, Maria T. Gler<sup>15</sup>, Jennifer A. Grinsdale<sup>16</sup>, Timothy H. Holtz<sup>17</sup>, Salmaan Keshavjee<sup>1,2,5</sup>, Vaira Leimane<sup>18</sup>, Dick Menzies<sup>19</sup>, Giovanni Battista Migliori<sup>8</sup>, Meredith B. Milstein<sup>1,20</sup>, Sergey P. Mishustin<sup>21</sup>, Marcello Pagano<sup>22</sup>, Maria I. Quelapio<sup>23</sup>, Karen Shean<sup>24</sup>, Sonya S. Shin<sup>1,2,5,6</sup>, Arielle W. Tolman<sup>1</sup>, Martha L. van der Walt<sup>25</sup>, Armand Van Deun<sup>26</sup> and Piret Viiklepp<sup>27</sup>, on behalf of the Collaborative Group for Analysis of Bacteriology Data in MDR-TB Treatment<sup>28</sup>

**ABSTRACT** Debate persists about monitoring method (culture or smear) and interval (monthly or less frequently) during treatment for multidrug-resistant tuberculosis (MDR-TB). We analysed existing data and estimated the effect of monitoring strategies on timing of failure detection.

We identified studies reporting microbiological response to MDR-TB treatment and solicited individual patient data from authors. Frailty survival models were used to estimate pooled relative risk of failure detection in the last 12 months of treatment; hazard of failure using monthly culture was the reference.

Data were obtained for 5410 patients across 12 observational studies. During the last 12 months of treatment, failure detection occurred in a median of 3 months by monthly culture; failure detection was delayed by 2, 7, and 9 months relying on bimonthly culture, monthly smear and bimonthly smear, respectively. Risk (95% CI) of failure detection delay resulting from monthly smear relative to culture is 0.38 (0.34–0.42) for all patients and 0.33 (0.25–0.42) for HIV-co-infected patients.

Failure detection is delayed by reducing the sensitivity and frequency of the monitoring method. Monthly monitoring of sputum cultures from patients receiving MDR-TB treatment is recommended. Expanded laboratory capacity is needed for high-quality culture, and for smear microscopy and rapid molecular tests.



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**Affiliations:** <sup>1</sup>Dept of Global Health and Social Medicine, Harvard Medical School, Boston, MA, USA. <sup>2</sup>Partners in Health, Boston, MA, USA. <sup>3</sup>Dept of Infectious Disease Epidemiology, Norwegian Institute of Public Health, Oslo, Norway. <sup>4</sup>Dept of Health Statistics, Norwegian Institute of Public Health, Oslo, Norway. <sup>5</sup>Brigham and Women's Hospital, Division of Global Health Equity, Boston, MA, USA. <sup>6</sup>Socios en Salud Sucursal, Lima, Peru. <sup>7</sup>Dept of Internal Medicine, University of New Mexico School of Medicine, Albuquerque, NM, USA. <sup>8</sup>WHO Collaborating Centre for TB and Lung Diseases, Fondazione S. Maugeri, Care and Research Institute, Tradate, Italy. <sup>9</sup>Epidemiology of Microbial Diseases, Yale School of Public Health, New Haven, CT, USA. <sup>10</sup>Médecins Sans Frontières, Cape Town, South Africa. <sup>11</sup>Public Health Consulting Group, Lugano, Switzerland. <sup>12</sup>Tartu University Hospital, Lung Clinic, Tartu, Estonia. <sup>13</sup>Global TB Programme, World Health Organization, Geneva, Switzerland. <sup>14</sup>Partners in Health Russia, Moscow, Russia. <sup>15</sup>Makati Medical Center, Manila, Philippines. <sup>16</sup>Tuberculosis Control, San Francisco Department of Public Health, San Francisco, CA, USA. <sup>17</sup>US Centers for Disease Control and Prevention, Atlanta, GA, USA. <sup>18</sup>State Agency for Tuberculosis and Lung Diseases (TPSVA), Riga, Latvia. <sup>19</sup>Montreal Chest Institute, McGill University, Montreal, QC, Canada. <sup>20</sup>Bouvé College of Health Sciences, Northeastern University, Boston, MA, USA. <sup>21</sup>Tomsk TB and Pulmonary Medical Center, Tomsk Oblast, Russia. <sup>22</sup>Dept of Biostatistics, Harvard School of Public Health, Boston, MA, USA. <sup>23</sup>Tropical Disease Foundation, Philippine Institute of Tuberculosis, Makati City, Philippines. <sup>24</sup>Lung Infection and Immunity Unit, Division of Pulmonology and UCT Lung Institute, Dept of Medicine, University of Cape Town, Cape Town, South Africa. <sup>25</sup>South African Medical Research Council, Tuberculosis Research Platform, Pretoria, South Africa. <sup>26</sup>Institute of Tropical Medicine, Antwerp, Belgium. <sup>27</sup>National Institute for Health Development, Tallinn, Estonia. <sup>28</sup>Members of the Collaborative Group for Analysis of Bacteriology Data in MDR-TB Treatment are listed in the acknowledgement section.

**Correspondence:** Carole D. Mitnick, Dept of Global Health and Social Medicine, Harvard Medical School, 641 Huntington Avenue, 3rd Floor, Boston, MA 02115, USA. E-mail: carole\_mitnick@hms.harvard.edu

## Introduction

In 2013, 20% of the 480 000 multidrug-resistant tuberculosis (MDR-TB) cases estimated among notified pulmonary TB cases received appropriate second-line treatment [1]. Myriad challenges hamper attempts to provide universal access to MDR-TB treatment: lengthy, toxic treatment; inadequate supply of high-quality drugs; limited human resources; complex adverse event management; and a dearth of laboratory resources to diagnose MDR-TB and monitor treatment response [2]. Early in MDR-TB treatment, monthly culture and smear monitoring of treatment response are recommended; more frequent monitoring is recommended for patients with HIV disease and other comorbidities [3]. After sputum culture conversion [4], which occurs ~3 months after treatment initiation [5], recommendations are for less frequent culture with monthly smear examination. Historically, this has meant at least quarterly culture with monthly smear for the last 12 months of an 18–24-month regimen [3].

Costs of the different monitoring methods are variable and overlapping, ranging from \$1.63 to \$62.01 for culture and \$0.26 to \$10.50 for smear [6]. Key to selection of frequency and method of monitoring is information on their performance characteristics. A recent meta-analysis found that both smear and culture have low sensitivity and modest specificity for predicting relapse in drug-susceptible TB [7]. Additional reports establish a relationship between earlier culture conversion and successful treatment outcome [8, 9], and detection of initial conversion and reversion is delayed with less frequent monitoring during MDR-TB treatment [9].

The present report investigates the effect of monitoring interval (monthly *versus* bimonthly or quarterly) and method (smear *versus* culture) on timing of treatment failure detection during the final 12 months of treatment delivered under routine programme conditions. Preliminary results of this investigation, which used an individual patient-data meta-analysis, informed the 2011 update of the World Health Organization (WHO) Guidelines for the Programmatic Management of Drug-resistant Tuberculosis [10, 11] and are updated and published here in their entirety.

## Methods

### Study selection

The present study extends the work of the Collaborative Group for Meta-analysis of Individual Patient Data in MDR-TB [12–14]. Articles eligible for the present analysis were included in either of two published meta-analyses of MDR-TB treatment [15, 16] and in AHUJA *et al.*'s [12] 2012 meta-analysis of individual patient data. Additional sources were identified by WHO guidelines committee members. E-mail correspondence was used to request datasets, data dictionaries, definitions and clarification; in some cases, individual patient data on more or different patients from those included in the original analysis were provided. Summary information was used to assess initial eligibility. Exclusion criteria were duplicate datasets; nonprimary research; language other than English, French or Spanish; data comprising only extensively drug-resistant TB patient populations; and no response after three or more requests to

participate. Included studies used second-line drugs in reported treatment; had a sample size >25 patients; and had monthly culture data.

Compilation of the parent dataset has been described previously [12, 13, 17]. The study was exempted from review by Harvard Medical School's committee on human subjects research. Data-use agreements executed with all participating projects stipulated that those who provide data will seek approval or exemption from local institutional review boards as appropriate.

### **Analysis**

The following were evaluated among all patients in the included studies: 1) does timing of failure detection during the final 12 months of treatment differ across monitoring frequencies and methods? and 2) is this difference modified by patient characteristics?

All analyses were performed using Stata 12 (StataCorp, College Station, TX, USA).

The end-point was time to detection of bacteriological failure, classified as two or more positive cultures (or smears) in the last 12 months of treatment (failure month was the month of the second positive result) or at least one positive culture (or smear) in the last 3 months of treatment (failure month was the month of the first positive result), according to the consensus definitions in place when most primary data were collected [18]. For one included study [19], in which a large proportion of patients received a 9-month treatment regimen, failure was defined as a single positive culture among the last three cultures. Data handling conventions favoured failure: if multiple results were available in a single month, any positive result was used. In addition, missing observations were imputed by carrying the last value forward. Lastly, when there were missing observations among the last three expected observations, the analysis retrieved prior observations until there were three. All increased the probability of including positive cultures (or smears), and detecting failure. Consequently, failure percentages may be higher than those previously reported.

We simulated three monitoring scenarios against which we compared the timing of “observed failure” or failure detected by monthly culture in the final 12 months: one using only monthly smear; the second using results from samples collected every other month (to simulate bi-monthly monitoring) and the third using results from samples collected every third month (to simulate quarterly monitoring). We estimated the timing of 1) failure detected by monthly smear only (primary analysis); 2) failure detected by bimonthly culture or smear; and 3) failure detected by quarterly culture or smear and compared each to the timing of observed failure. For each culture result, any positive smear within 14 days (either side) was elected as the corresponding smear result.

Unweighted pooled frequencies were calculated for descriptive statistics. Monthly concordance between smear and culture results during the whole treatment was estimated by calculating the mean proportion (with 95% confidence intervals) of concordant intra-patient results in each month.

Kaplan–Meier estimates of failure time in the last 12 months were calculated using standard techniques. Heterogeneity was evaluated using  $I^2$ . To evaluate the validity of pooled results in the presence of heterogeneity, we performed an additional analysis that included intercepts and interaction terms for each cohort, allowing us to obtain 12 realisations of the heterogeneous outcome (and corresponding error estimates). We then generated 1000 hypothetical sets of 12 realisations of the outcome, and calculated the mean and standard error for each of the 1000 sets. By estimating the means of these two statistics we obtained an estimate of the heterogeneity of the outcome across different cohorts. Alternative monitoring strategies were compared to monthly culture using frailty survival models (random intercept) to estimate the relative hazard of failure, pooled over all sites. Effect estimates were also calculated separately for each study site. Reported hazard ratios reflect the risk of failure detection: a hazard ratio of <1 indicates delayed detection, while a hazard ratio of >1 indicates earlier detection. We assessed heterogeneity in patient characteristics through stratification by study site, HIV serostatus, baseline smear result, radiography findings, low body mass index (<18.5 kg·m<sup>-2</sup>). Multivariable (*i.e.* adjusted) regressions were performed and rejected as high rates of missing data resulted in unstable complete case analyses. We performed sensitivity analysis of the time to failure detection excluding patients with missing baseline bacteriology.

## **Results**

### **Study selection and population**

Search strategy and results are presented in figure 1. Review of the meta-analyses yielded 30 possible datasets. 21 additional potential data sources were identified by the guidelines committee and through reference lists. Five more were identified through the US Centers for Disease Control and Prevention (CDC)-sponsored case-based data collection efforts. Out of 56 summaries/abstracts evaluated for eligibility, nine were excluded. The remaining datasets and full articles were reviewed; 12 were eligible for inclusion and 35 were excluded for the following reasons: four studies did not use second-line drugs; one study

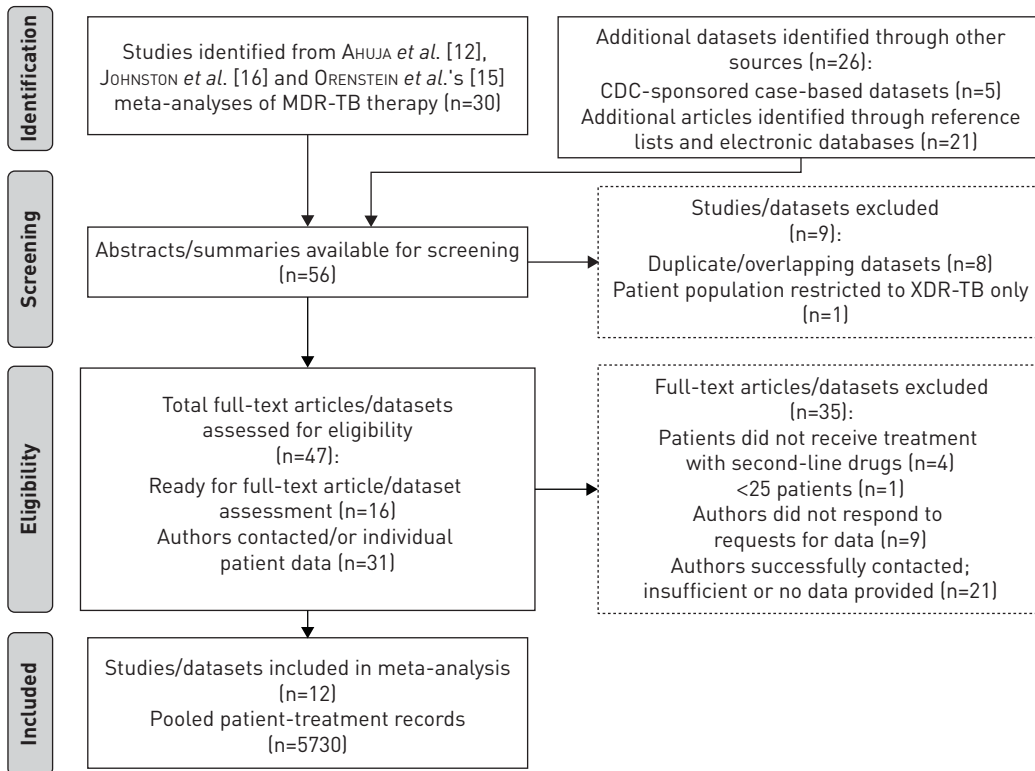


FIGURE 1 Search strategy and results. MDR-TB: multidrug-resistant tuberculosis; CDC: US Centers for Disease Control and Prevention; XDR-TB: extensively drug-resistant tuberculosis.

reported on fewer than 25 patients; nine authors did not respond to requests for data; and smear and culture data were missing or insufficient in 21 studies (figure 1). In total, 12 datasets with 5730 unique patient treatment records were included; seven were from six separate published articles and five from the case-based data collection coordinated by the CDC, previously described [5, 9]. Table 1 illustrates the distribution of 12 study sites across 11 countries. Of note, four studies were conducted in Eastern Europe.

At least one on-treatment culture result was available for 5410 (94.4%) patients with confirmed MDR-TB. In just over 20%, baseline smear (1117) and culture (1119) status was unrecorded, but subsequent monitoring data were available. These 5410 patients were included in analyses.

Approximately one-third (33.5%) of patients were female. Mean age ranged from 31.1 to 48.0 years (pooled estimate 37.0 years). The availability of data on and distribution of cavitary, bilateral disease varied. Among those with drug-susceptibility test results for the five first-line drugs, most had isolates resistant to four or five (91%). Second-line drug susceptibility test results were available in only 43.7% of observations and are not reported here. Data on HIV serostatus, body mass index and chest radiography findings were missing in 37.2%, 59.0% and 40.0% of observations, respectively (table 2).

Treatment outcomes, calculated according to consensus definitions [17] varied across studies: cure frequencies ranged from 16.3% at site 6 to 74.1% at site 12. Failure was observed in 16.9% (914) of cases (table 3).

#### Concordance of monthly smear and culture results

We evaluated the intra-observation agreement of smear and culture during the first 24 months of treatment, stratified by treatment outcome (died, cured/completed or failed). Summary results are presented in table 3 and figure 2. As smear and culture conversion occurred, concordance increased. Uncertainty around the estimates increased later in follow-up as fewer patients remained on treatment and contributed observations (online supplementary material). Mean agreement across months was greatest for those who were cured by or completed treatment (95.6%, 95% CI 85.7–100.0%). The type of discordance varied: among deaths and failures, smear-negative/culture-positive pairs were more common. Among cures, smear-positive/culture-negative pairs were more common. However, the latter occurred roughly as frequently among cures as among deaths and failures.

TABLE 1 Summary of studies included

Site/dataset	First author [ref.] or site (source)	Site Location	Patient treatment records n	Enrolment period	Regimen strategy
1	BURGOS [20]	San Francisco, CA, USA	72		Individualised
2	COX [21]	Uzbekistan	77	2003–2005	Individualised
3	HOLTZ [22]	South Africa	2211	2000–2004	Mixed standardised and individualised
4	MIGLIORI [23]	Estonia	321	2006–2008 <sup>#</sup>	Individualised
5	MIGLIORI [23]	Italy	71	2003–2006	Individualised
6	SHEAN [24]	South Africa	345	1990–1999 <sup>§</sup>	Individualised
7	VAN DEUN [19]	Bangladesh	754	1997–2007	Standardised (6 regimens)
8	Estonia [CDC-sponsored case-based data collection]	Estonia	274	2001–2005	Individualised
9	Latvia [CDC-sponsored case-based data collection]	Latvia	449	2000–2001	Individualised
10	Lima, Peru <sup>†</sup> [CDC-sponsored case-based data collection]	Lima, Peru	742	1997–2002	Individualised
11	Philippines [CDC-sponsored case-based data collection]	Manila, Philippines	170	1999–2003	Individualised
12	Tomsk, Russia <sup>†</sup> [CDC-sponsored case-based data collection]	Tomsk, Russia	244	2000–2002	Individualised

CDC: US Centers for Disease Control and Prevention. <sup>#</sup>: inclusion of MIGLIORI *et al.* [23] (Estonia) restricted to 2006–2008 to avoid overlap with CDC-sponsored case-based data collection from Estonia [7]; <sup>§</sup>: inclusion of SHEAN *et al.* [24] data restricted to 1990–1999 to avoid overlap with Holtz *et al.* [22]; <sup>†</sup>: Partners In Health/Socios En Salud.

#### Timing of failure detection by monitoring strategy

The timing of failure detection in the last 12 months of treatment varied within and across the monitoring strategies (figure 3). In the last 12 months of treatment monthly culture detected the first positive result that contributed to the failure definition in a median (interquartile range (IQR)) 3 (0.8–7.0) months; by bimonthly culture and quarterly culture, median detection occurred in 5 (2.0–10.0) months and 6 (2.0–12.0) months, respectively. Failure was detected by monthly smear in 10 (2.0–12.0) months. The rate of failure detection is lower for monthly smear relative to monthly culture (HR (95% CI) 0.38 (0.34–0.42)) and for quarterly culture compared to monthly culture (0.58 (0.53–0.64)).

Stratified analysis of failure detection in the last 12 months comparing monthly smear to monthly culture revealed further variability. First, detection was delayed by using smear alone at seven sites (1, 3, 6, 8–10 and 12), while no significant change in risk could be discerned elsewhere (figure 4a). The rate of failure detection by exclusive monthly smear monitoring was lower in patients with baseline negative sputum smears (HR (95% CI) 0.17 (0.13–0.23)) than in patients with baseline positive smears (0.46 (0.41–0.53)). No difference was observed in failure detection by HIV status; in both HIV-negative and HIV-positive patients, monthly smear delayed failure detection compared to monthly culture (HIV<sup>-</sup> 0.42 (0.36–0.50), HIV<sup>+</sup> 0.33 (0.25–0.42); figure 4b).

There was significant heterogeneity ( $I^2$  83.5%,  $p < 0.001$ ) in the pooled analyses of the difference between smear and culture. Nevertheless, the sensitivity analysis that was stratified by site produced results similar to those observed in the survival frailty model. Excluding patients with missing baseline smear and culture results did not change the effect estimates. The high proportion of missing data for several covariates resulted in small numbers in complete case analyses; these are not presented.

#### Discussion

This study combined individual patient data from multiple sources to investigate differences in time to failure detection in the last 12 months of MDR-TB treatment resulting from reducing the frequency or changing the method of bacteriological monitoring. Failure was detected most promptly with monthly culture. At any given time point during the 12-month evaluation period, quarterly culture was half as likely as monthly culture (and monthly smear was only 38% as likely as monthly culture) to detect failure. Earlier detection of treatment nonresponse may enhance the ability to adjust treatment regimens to avert poor outcomes, decrease the probability of death and reduce transmission due to uncontrolled disease [11]. The present results do not support changing the frequency or method of monitoring in the last

TABLE 2 Characteristics of the study population (all subjects with some in-treatment culture data)

Site/dataset	Site location (and dates when >1 cohort/location)	Subjects n <sup>#</sup>	Females	Age years mean (n)	Known HIV <sup>+</sup>	BMI <18.5 kg·m <sup>-2</sup>	Cavitation, bilateral findings on radiography %			First-line resistance %		
							n	Cavitation, unilateral	Cavitation, bilateral	n	HR+1	HR+2
<b>1</b>	San Francisco, CA, USA	55	45.3 (53)	45.7 (53)	15.2 (46)		0			19	21.1	73.7
<b>2</b>	Uzbekistan	77	39.0 (77)	36.8 (77)	0.0 (77)	71.4 (77)	77	28.6	27.4	77	32.5	67.5
<b>3</b>	South Africa (2000–2004)	2092	37.7 (2089)	36.5 (2070)	64.4 (1326)	59.8 (455)	1425	14.6	80.9	0		
<b>4</b>	Estonia (2006–2008)	274	27.7 (274)	48.0 (274)	4.1 (268)		30	20.0	36.7	274	10.2	89.1
<b>5</b>	Italy	71	39.4 (71)	37.8 (71)	7.2 (69)		70	24.3	44.3	71	16.9	71.9
<b>6</b>	South Africa (1990–1999)	319	37.3 (319)	33.9 (251)	4.6 (109)		158	48.1	39.2	51	23.5	3.9
<b>7</b>	Bangladesh	668	28.0 (668)	34.2 (668)		83.7 (325)	0			653	28.8	56.4
<b>8</b>	Estonia (2001–2005)	292	28.1 (292)	44.3 (292)	3.4 (262)	18.6 (161)	282	57.1	16.3	0		
<b>9</b>	Latvia	446	22.6 (446)	42.4 (446)	3.3 (360)	20.4 (437)	442	52.0	21.9	446	22.9	75.1
<b>10</b>	Lima, Peru	712	40.3 (712)	31.1 (712)	1.3 (631)	28.4 (437)	579	43.7	31.1	437	18.1	78.3
<b>11</b>	Manila, Philippines	161	36.0 (161)	39.6 (161)	0.0 (9)	42.0 (81)	31	0.0	0.0	150	22.0	67.3
<b>12</b>	Tomsk, Russia	243	13.6 (243)	34.4 (243)	0.0 (242)	19.3 (243)	135	45.9	21.5	191	36.6	62.3
<b>Pooled frequency</b>		5410	33.5 (5405)	37.0 (5318)	26.8 (3399)	41.7 (2216)	3229	32.1	51.5	2369	23.3	68.7

Data are presented as % (n) or %, unless otherwise stated. BMI: body mass index; HR: isoniazid-rifampicin. <sup>#</sup>: refers to the number of patients in each cohort, with some culture information; otherwise, values of n indicate the number of patients for whom data were available on each covariate.



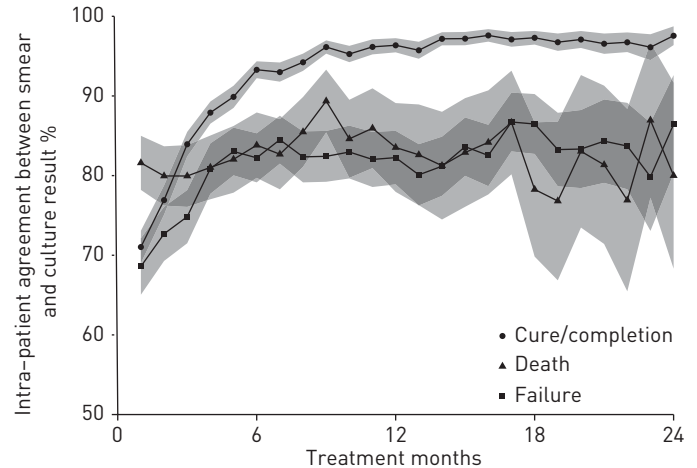


FIGURE 2 Intra-observation agreement of smear and culture during the first 24 months of treatment, stratified by treatment outcome.

12 months of treatment. These main results helped to inform the WHO 2011 revised treatment guidelines for the programmatic management of MDR-TB [10].

These findings highlight the particular value of performing at-least monthly cultures throughout treatment among subgroups. In particular, in patients with smear-negative TB at baseline, culture was even more critical to detecting failure during the final 12 months, since positive cultures often were detected before positive smears. Although not surprising, these findings lend evidence to programmatic decision-making for this subgroup. HIV-infected patients are another subgroup in whom these results suggest continued use of monthly culture monitoring. Although there was no effect modification on delay of failure detection by HIV serostatus, sputum-smear microscopy is known to have significantly decreased sensitivity in HIV-co-infected TB patients [25–27]. In addition, delays in appropriate treatment can have more serious consequences in HIV-positive patients than among HIV-negative patients [28, 29]. Therefore, it would be reasonable to employ monitoring strategies that can detect and permit intervention in at-risk patients at the earliest possible juncture. This reaffirms previous recommendations to monitor these patients more aggressively throughout treatment [3].

Additional benefits of early failure detection must be considered in evaluation of monitoring costs. In absolute terms, work by Lu *et al.* [6] estimated that culture costs between 1.4 and 12.0 times more than smear, with significant variability. Monthly culture would increase these differences by three-fold compared to quarterly culture. However, patients affected by MDR-TB are often in the most productive age group (mean age 37.0 years), both as workers and care providers to children and parents. The cost of

TABLE 3 Outcome distribution across reports

Site/dataset	Site location (and dates when >1 cohort/location)	Subjects n	Cure/completion	Failure	Death	Default/transfer
1	San Francisco, CA, USA	55	67.3 (37)	12.7 (7)	9.1 (5)	10.9 (6)
2	Uzbekistan	77	66.2 (51)	13.0 (10)	13.0 (10)	7.8 (6)
3	South Africa (2000–2004)	2092	37.2 (778)	23.7 (496)	16.9 (354)	22.2 (464)
4	Estonia (2006–2008)	274	54.0 (148)	12.0 (33)	14.6 (40)	19.3 (53)
5	Italy	71	45.1 (32)	36.6 (26)	12.7 (9)	5.6 (4)
6	South Africa (1990–1999)	319	16.3 (52)	23.8 (76)	37.6 (120)	22.3 (71)
7	Bangladesh	668	84.3 (563)	5.5 (37)	4.8 (32)	5.4 (36)
8	Estonia (2001–2005)	292	45.2 (132)	19.5 (57)	13.0 (38)	22.3 (65)
9	Latvia	446	63.9 (285)	16.4 (73)	4.5 (20)	15.2 (68)
10	Lima, Peru	712	65.6 (467)	8.0 (57)	17.1 (122)	9.3 (66)
11	Manila, Philippines	161	60.9 (98)	11.2 (18)	11.2 (18)	16.8 (27)
12	Tomsk, Russia	243	74.1 (180)	9.9 (24)	4.5 (11)	11.5 (28)
<b>Pooled frequency</b>		5410	52.2 (2823)	16.9 (914)	14.4 (779)	16.5 (894)

Data are presented as % (n), unless otherwise stated. Cure/completion and failure were calculated retrospectively using all available culture data per consensus definitions; default, transfer and death were programme-assigned.

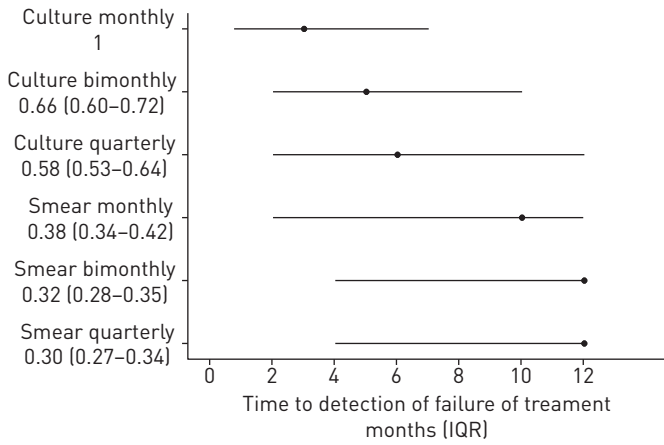


FIGURE 3 The timing of failure detection in the last 12 months of treatment within and across the monitoring strategies. Data are presented as hazard ratio (95% CI). IQR: interquartile range.

additional monitoring should be evaluated in the context of the societal benefits of avoiding bad outcomes: mortality, acquisition of additional resistance and the creation of new cases of MDR-TB through transmission [30–36]. Future work could examine the relative impact and costs associated with different screening approaches, including in a dynamic model that addresses potential transmission. Such work could further estimate the individual and population impacts of monitoring policies, and associated costs and resource demands.

These findings raise the question of when and how to intervene to avert a predicted negative outcome. Guidelines recommend repeat drug susceptibility testing among patients whose cultures have not converted or have reverted after month 4. Although the present study demonstrates that failure can be predicted earlier with more frequent culture supplementing smear testing, additional clinical evaluation is required to determine which patients with positive culture results at month 4 or later require treatment adjustment to assure success and which will experience successful treatment outcome without any regimen adjustments.

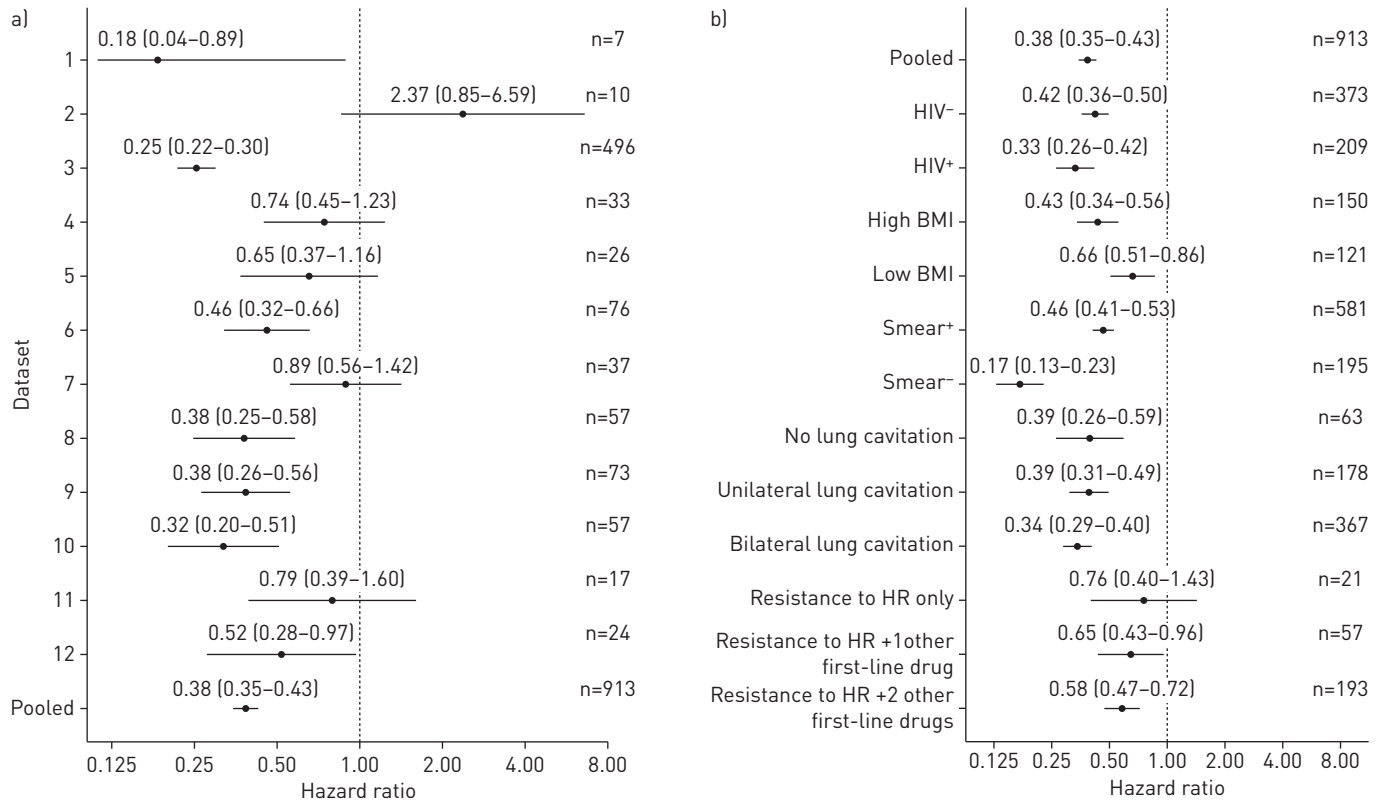


FIGURE 4 Stratified analysis of failure detection in the last 12 months of treatment, comparing monthly smear to monthly culture. Panel a) presents individual (by study) and pooled hazard ratios (95% confidence intervals). Panel b) presents hazard ratios (95% confidence intervals) stratified by risk factors. BMI: body mass index. HR: isoniazid-rifampicin.



Benefits of reinforcing regimens with at least two new drugs, or considering adjunct interventions (e.g. surgery) [37], could accrue to patients receiving both individualised and standardised regimens [3].

These findings highlight the importance of further developing laboratory capacity for proper management of MDR-TB. Although dissemination of rapid tests for diagnosis of rifampicin-resistant TB has accelerated in recent years, this has not been accompanied by scaled-up culture services. Current minimum recommendations for improving diagnosis of smear-negative TB advise that high-burden countries have at least one culture laboratory per 5 million population. This low bar has been met in only 21 countries. Scaled-up culture capacity, to permit both regular monitoring throughout MDR-TB treatment and confirmatory drug susceptibility testing after molecular tests, is desperately needed to advance the goals of TB pre-elimination and elimination [35, 38, 39].

### Limitations

There are several limitations to this study. First, large numbers of missing values for covariates precluded assessment of confounding; complete case analysis would have included only 78 of the 914 patients who failed. Second, there was substantial heterogeneity. However, stratified analyses revealed similar conclusions to the pooled analyses. Third, generalisability may be limited, as all sites included used the most frequent and sensitive monitoring method (monthly culture), which suggests a relatively strong health system. Fourth, this analysis was performed using the previous consensus definitions for treatment outcomes. The new definitions are still largely microbiologically based [40], so the general conclusions should not differ substantially with the new definitions. Last, variability in laboratory methods and quality, and in treatment, may have introduced unmeasured bias. Most sources of potential bias would result in underestimating the effect of substituting less sensitive monitoring for monthly culture. The one exception is smear quality, which was not directly assessed. If smear quality was suboptimal, the difference between smear and culture could be overestimated. At site 7, which invested substantially in smear quality, the estimated risk of detecting failure by smear *versus* culture was not statistically distinguishable from the null, highlighting this potential bias. However, all study sites participated in routine internal quality control and external quality assurance, so there is no reason to suspect poor quality of sputum smears. Conversely, the effect of substituting smear for culture may be underestimated. This is because only a small, unspecified proportion of the cultures were grown in liquid media, which is more sensitive than solid culture [41]. Since routine use of liquid culture is increasing, the true delay in failure detection incurred by substituting smear results for liquid culture results will probably be greater. Also creating the potential for bias toward the null is the use of individualised treatment in all sites except site 7. Individualised regimens are more likely than standardised regimens to be adjusted based on early indication of nonresponse. Although the simulated datasets do not use all available data, in practice, clinicians may have used results that were not counted in one of the less-frequent monitoring scenarios, to recommend a treatment change or adjunctive therapy, thereby averting failure. Consequently, the effect of less-sensitive monitoring on failure of individualised treatment may be underestimated.

### Conclusions

Reduced frequency of culture monitoring or replacement of culture by sputum smear even in the final 12 months of treatment could threaten early detection of failure. Compromise on method could lead to greater delays to treatment adjustments or adjunctive therapies among important subpopulations treated for MDR-TB, e.g. those with HIV co-infection or baseline negative sputum smears. These findings reinforce the need for expanded global laboratory capacity for high-quality culture, in addition to smear microscopy and rapid molecular tests. More sensitive indicators of nonresponse, with shorter turnaround time, would further facilitate early detection and avoid poor outcomes.

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