

1 **SAMBA HIV semi-quantitative test, a new point-of-care viral load monitoring assay**
2 **for resource-limited settings.**

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14 **Running Title:** SAMBA HIV-1 Semi-Q evaluation

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21

22 Abstract

23 Routine viral load (VL) testing of HIV-infected individuals on antiretroviral therapy (ART)
24 is used to monitor treatment efficacy. However, due to logistical challenges,
25 implementation of VL has been difficult in resource-limited settings. The aim of this study
26 was to evaluate the performance of the SAMBA Semi-Q Test in London, Malawi, and
27 Uganda. The SAMBA HIV-1 Semi-Q Test can distinguish between patients with VL above
28 or below 1000 copies/ml. The SAMBA Semi-Q was validated with diluted clinical samples
29 and blinded plasma samples collected from HIV-1-positive individuals. SAMBA Semi-Q
30 results were compared with results from the Roche COBAS AmpliPrep/COBAS TaqMan
31 HIV-1 Test v2.0. Testing of 96 2-10 fold dilutions of four samples containing HIV-1
32 subtype C as well as 488 samples from patients in the United Kingdom, Malawi, and
33 Uganda, respectively, yielded an overall accuracy for SAMBA Semi-Q of 99% (95% CI
34 93.8 – 99.9%) and 96.9% (95% CI 94.9 – 98.3%) respectively compared to Roche.
35 Analysis of VL data from patients in Malawi and Uganda showed that the SAMBA cut-off
36 of 1000 copies/ml appropriately distinguished treated from untreated individuals.
37 Furthermore, analysis of the viral load of 232 patients on ART in Malawi and Uganda
38 revealed similar patterns for virological control defined as either <1000 copies/ml
39 (SAMBA cut-off) or <5000 copies/ml (WHO 2010 criterion). This study suggests that
40 SAMBA Semi-Q has adequate concurrency with the gold standard measurements for viral
41 load measurement. This test can allow VL monitoring of patients on ART at the point of
42 care in resource-limited settings.

43

44 Introduction

45 There have been steady improvements in scaling-up access to antiretroviral therapy (ART)
46 in resource-limited countries.(1) There appears to be fewer new infections and AIDS
47 related deaths have decreased over the past decade. While these achievements are
48 remarkable, there remains a large unmet need, given that 34 million people are living with
49 HIV/AIDS globally; most of whom live in sub-Saharan Africa.

50

51 Effective ART not only improves the survival of individuals infected with HIV but also
52 prevents transmission.(2) The global public health community is therefore committed to
53 achieving universal access to HIV treatment, with a target of increasing the availability of
54 ART to 15 million people by the end of 2015.(3) Effective ART suppresses HIV
55 replication, which is measured through plasma viral load (VL), specifically looking at
56 potential adherence or treatment failure. VL monitoring prolongs the duration on first-line
57 regimens by preventing unnecessary switches in ART to more complex and expensive
58 second-line regimens that result in increased costs and decrease in drug options (4, 5) and
59 can reduce the delay in switching to second-line drugs or patient counseling, resulting in
60 better patient outcomes.(6, 7) Studies have shown that sites with VL monitoring have lower
61 mortality rates (6) and better justification of switching to 2nd line drug regimens than at
62 sites using only CD4. (4)

63

64 Quantitative VL is the standard of care for patients receiving ART in resource-rich
65 settings.(8, 9) In resource-poor settings, however, laboratory diagnostics are often only
66 available at centralized laboratories in major cities due to the complexity of the technology,
67 the infrastructure and trained personnel required for the tests.(10-12) As ART programs

68 have scaled up, there has been a significant effort to decentralize care to local primary
69 health centers, which have basic services and limited infrastructure. To access VL testing,
70 peripheral HIV-treatment facilities must transport patient specimens to central laboratories
71 under optimal conditions within a limited period of time, followed by testing and return of
72 results. This results in increased costs of service delivery and unacceptable delays in
73 obtaining test results with consequent losses to follow-up.(13) A priority focus area of the
74 Treatment 2.0 initiative is therefore the development of affordable, reliable, quality-
75 assured, point-of-care molecular diagnostic platforms. (14)

76

77 SAMBA (Simple Amplification-Based Assay) HIV-1 Semi-quantitative Test (SAMBA
78 HIV-1 Semi-Q) has been developed as a robust, simple, and relatively rapid point-of-care
79 test to distinguish between patients with a VL above or below 1000 copies/ml within 90
80 minutes. The main advantages of SAMBA include visual detection of the result and robust
81 reagents, which are stable at high temperatures and humidity. All reagents required are
82 preloaded in single-use, disposable cartridges to ensure that the system is easy to use and to
83 prevent potential contamination of the laboratory with amplified product. The chemistry is
84 based on the SAMBA Qualitative Test and can therefore detect all known HIV-1 subtypes.

85 (15) In consultation with experts in the field of ART provision in low and middle income
86 countries (LMIC), Médecins Sans Frontières (MSF) concluded that a viral load of 1000
87 copies/ ml was the level most frequently used as the trigger for clinical intervention.
88 Furthermore, the threshold for detecting treatment failure was lowered in South Africa in
89 April 2010 from 5000 to 1000 copies/ml and this has been implemented at various South
90 African sites. (5, 16). WHO also updated their guidelines in June 2013 and now define

91 treatment failure as a persistently detectable viral load exceeding 1000 copies/ml, (8) rather
92 than 5000 copies/ml. (17) Ideally, patients on ART for more than 6 months with a VL
93 >1000 copies/ml should be counseled for adherence and retested three months later as per
94 the WHO guidelines. If the VL remains >1000 copies/ml at follow-up, this may indicate
95 treatment failure. Therefore, it is important that patients with VL \geq 1000 copies/ml are
96 detected by SAMBA Semi-Q. On the other hand, it is also important that individuals with
97 low VL are not detected as such results may be due to “blips”. VL blips are defined as
98 intermittent episodes of detectable low VL (50-1000 copies), which return to undetectable
99 without any intervention. (18, 19) This study evaluated the accuracy and performance of
100 the SAMBA HIV-1 Semi-Q Test with a cut-off of 1000 copies/ml compared to gold
101 standard viral load testing.

102

103 **Methods**

104 **Determination of VL with SAMBA HIV-1 Semi-Q**

105 RNA was extracted from 200 μ l of plasma using the SAMBA sample-preparation
106 instrument, SAMBAprep (Figure 1A). The result was read visually after isothermal
107 amplification and dipstick detection within the SAMBAamp instrument (Figure 1A). The
108 presence of a control line indicates a valid test and the test line on the dipstick indicates a
109 VL of >1000 copies/ml, whereas the absence of the test line indicates a VL of <1000
110 copies/ml. The absence of both lines indicates an invalid test (Figure 1B). This assay does
111 not detect HIV-2 and should not be used for diagnosis of HIV-1 infection.

112

113 **Preparation of dilution panels of HIV-1 subtype C samples for validation of the**
114 **SAMBA HIV-1 Semi-Q cut-off of 1000 copies/ml**

115 We obtained four surplus samples from blood donors identified as positive for antibodies to
116 HIV-1 from the National Blood Transfusion Centre in Windhoek, Namibia. Viral
117 genotyping and VL quantification of the samples with the Roche COBAS
118 AmpliPrep/COBAS TaqMan HIV-1 Test v2.0 (Roche TaqMan v2) were performed at The
119 Royal London Hospital. Serial 2-10 fold dilutions of the four samples were prepared in
120 HIV-negative plasma to achieve HIV-1 RNA concentrations ranging from 3 ($0.48 \log_{10}$) to
121 222,238 ($5.35 \log_{10}$) copies/ml according to the quantification by Roche TaqMan v2. Four
122 replicates of each dilution were tested with the SAMBA HIV-1 Semi-Q by two operators
123 in-house to assess the precision and accuracy of the test. A total of 96 dilutions were tested.

124

125 **Data analysis**

126 The limit of accuracy for Roche TaqMan v2 is $\pm 0.3 \log_{10}$ relative to the VL readout
127 obtained, according to the package insert. For the purpose of the present study, we therefore
128 considered that any VL quantification by Roche TaqMan v2 within $0.3 \log_{10}$ of the
129 SAMBA HIV-1 Semi-Q cut-off of 1000 ($3 \log_{10}$) copies/ml, corresponding to a range of
130 500 to 2000 copies/ml, was concordant with the SAMBA HIV-1 Semi-Q result.

131

132 **In-house blinded testing of clinical samples**

133 Plasma samples from 134 HIV-1-infected individuals attending The Royal London
134 Hospital (34 patients) or St Thomas' Hospital (100 patients) in London were rendered
135 anonymous and provided blinded. The plasma samples were stored at -80°C until tested in-

136 house with the SAMBA HIV-1 Semi-Q Test. Roche TaqMan v2 results and HIV-1 subtype
137 information (if available) were provided by both hospitals after the SAMBA HIV-1 Semi-Q
138 testing was completed. In addition, two EQA subtype panels from Rush University
139 RNA004XPA and RNA004XPB, containing 84 samples per panel including subtypes A,
140 A/E, A/G, C, D, F and G at 2,500 and 25,000 copies/ml were tested blinded in-house.

141

142 **Field-testing of SAMBA HIV-1 Semi-Q in Malawi and Uganda**

143 Field-testing of the SAMBA HIV-1 Semi-Q Test was performed at ART provision program
144 centers run by MSF in Malawi (Chiradzulu District Hospital) and Uganda (Arua Regional
145 Referral Hospital). The Chiradzulu HIV program, which was established in 2000 and
146 currently monitors 25,000 patients on ART, has been described in detail previously.(20, 21)
147 The HIV program in Arua was established in 2002 and follows 7000 HIV-infected
148 individuals on ART.(22)

149

150 Samples were collected both from consecutive patients attending the HIV clinics, on ART
151 and pre-ART patients, in order to obtain a wide range of VLs. Plasma was separated from
152 whole blood specimens (10 ml) within 4 hours of collection and tested fresh. In Malawi, the
153 first 117 samples were sent to Cambridge for testing while the local technician was trained.
154 The remaining 83 samples were tested on-site by a trained MSF technician. In Uganda, the
155 first 120 samples collected were tested on-site by the MSF technician, and the remaining 34
156 samples were shipped to Cambridge for testing due to time constraints. All 354 samples
157 from Malawi and Uganda were tested with Roche TaqMan v2 at The Royal London
158 Hospital. In the case of discrepancy between Roche TaqMan v2 and SAMBA Semi-Q,

159 remaining frozen plasma was tested using Abbott RealTime HIV-1 Assay (Abbott
160 RealTime) by an independent laboratory.

161

162 **Analysis of VL distribution among patients in Malawi and Uganda according to**
163 **available clinical information**

164 Patient records were accessed, after consent was obtained, for the 354 patients in Malawi
165 and Uganda and were analyzed after all testing was complete. The VL of the 284 treated
166 patients was compared with that of the 70 treatment-naïve individuals in order to determine
167 the VL spread. In addition, the VL of 232 patients on ART for 0.5 to 9 years was stratified
168 according to treatment duration and used to compare the number of individuals defined as
169 virologically suppressed according either to the 2010 WHO guidelines or to the SAMBA
170 HIV-1 Semi-Q cut-off.

171

172 **Research ethics**

173 The study was performed in accordance with the Declaration of Helsinki. Ethical approval
174 was obtained from the National Health Sciences Research Committee, Ministry of Health
175 and Population, for Chiradzulu Hospital (Malawi), from the Uganda National Council for
176 Science and Technology for Arua District Hospital (Uganda), and from the Research Ethics
177 Committee, NRES-London, for St Thomas' Hospital (United Kingdom). Nucleic acid
178 testing of blood donor samples is approved in Namibia, and the four donors in the present
179 study were informed of potential additional testing. Surplus samples obtained from patients
180 known to be infected with HIV-1 and submitted to The Royal London Hospital for routine
181 monitoring were retrieved before being discarded, rendered anonymous and provided

182 blinded for the purpose of test validation; the use of samples in this manner, strictly for the
183 purpose of diagnostic assay validation, does not fall under the requirements of research
184 ethics for the organizations in which they originated.

185 Results**186 Validation of the accuracy of the SAMBA HIV-1 Semi-Q cut-off**

187 All 52 dilutions of the four Namibian samples (all HIV-1 subtype C) containing >1000
188 (>3.0 log₁₀) copies/ml according to Roche TaqMan v2 were correctly identified as such
189 with SAMBA Semi-Q, and 43 of 44 (98%) dilutions containing <1000 copies/ml according
190 to Roche TaqMan v2 were similarly correctly identified by SAMBA Semi-Q (Table 1).
191 One of the dilutions that tested negative by SAMBA Semi-Q but according to Roche
192 TaqMan v2 should contain 1211 (3.08 log₁₀) copies/ml and two of the dilutions found to be
193 positive by SAMBA Semi-Q that should contain 606 (2.78 log₁₀) copies/ml according to
194 Roche TaqMan v2 were considered concordant because of the accuracy limits of the
195 TaqMan assay (see Methods). Therefore, the overall concordance between SAMBA HIV-1
196 Semi-Q and Roche TaqMan v2 for these 96 sample dilutions was 99% (95/96; 95% CI,
197 93.8–99.9).

198

199 Specificity

200 The specificity of the SAMBA HIV-1 assay was evaluated by testing 216 HIV-1
201 seronegative plasma patient specimens. The assay was not reactive for all 216 specimens
202 and the SAMBA HIV-1 assay specificity was calculated to be 100% (216/216), (95% CI
203 98.6 to 100%). The specificity of the SAMBA HIV-1 Test was further evaluated using a
204 panel of 43 specimens obtained from samples containing the following viruses,
205 microorganisms or antibodies from autoimmune disorders: Hepatitis A (2 samples),
206 Hepatitis B (24 samples), Hepatitis C (3 samples), CMV (2 samples) and 1 sample of each
207 of HIV-2, HTLV I, HTLV II, Syphilis, anti-nuclear antibodies (ANA), Chlamydia

208 trachomatis, Neisseria gonorrhoeae, Propionibacterium acnes, Staphylococcus aureus,
209 Candida albicans, Staphylococcus epidermis, Streptococcus pyogenes. All tested negative
210 by SAMBA.

211

212 **Potentially interfering substances**

213 The susceptibility of the SAMBA Semi-Q test to interference by elevated levels of
214 endogenous substances and drugs commonly prescribed to HIV-1 infected individuals was
215 evaluated. HIV-1 negative samples and samples containing 1,000 and 2,000 IU/ml of HIV-
216 1 RNA were tested in the presence of the following substances: Hemoglobin (500 mg/dL),
217 Triglycerides (3000 mg/dL), Bilirubin (20 mg/dL) and Human DNA (0.4 mg/dL). No
218 interference in the performance of the SAMBA Semi-Q test was observed. Testing of ART
219 drugs at concentrations in excess of 1.5 times the peak plasma level (C_{max}) was performed
220 using the following: Abacavir/Lamivudine, Efavirenz/Tenofovir/Emtricitabine,
221 Lopinavir/Ritonavir, Lamivudine/Zidovudine, Nevirapine, Ribavirin and Saquinavir. No
222 interference on the performance of the SAMBA Semi-Q test was observed.

223

224 **In-house blinded testing of clinical samples**

225 A total of 134 HIV-1-positive plasma samples from 100 male and 34 female patients
226 attending two London hospitals were tested with the SAMBA HIV-1 Semi-Q Test in a
227 blinded manner in-house. SAMBA Semi-Q was concordant with Roche TaqMan v2 for 131
228 of the 134 samples when four specimens (VL of 800, 948, 1285, and 1507 copies/ml
229 respectively) were considered concordant because of the accuracy limits of the TaqMan
230 assay (Table 2). One of 35 samples found to contain >2000 ($3.3 \log_{10}$) copies/ml by Roche

231 TaqMan v2 was negative by SAMBA Semi-Q (2508 copies/ml), and 2 of 95 samples found
232 to contain <500 (2.7 log₁₀) copies/ml by the Roche TaqMan assay tested positive by
233 SAMBA Semi-Q (53 and 252 copies/ml). The concordance between SAMBA HIV-1 Semi-
234 Q and Roche TaqMan v2 was thus 97.8% (131/134; 95% CI, 93.3–99.5). Viral subtype data
235 provided for 76 of these samples after the SAMBA HIV-1 Semi-Q testing was completed
236 revealed a distribution of 44.7% subtype B; 18.4% C; 10.5% CRF02_AG; 5.3% A; 2.6%
237 CRF01_AE, F, G, G/CRF02_AG, CRF11_cpx/CRF13_cpx and A/AE; 1.3% D,
238 CRF06_cpx, D/A and D/F. The subtypes for only two of the three discrepant samples (B
239 and A/D) were available. In addition, a blinded EQA subtype panel provided by Rush
240 University was tested and all 168 samples (84 at 2,500 and 84 at 25,000 copies/ml),
241 including subtypes A, CRF01_AE, CRF02_AG, C, D, F and G, were successfully detected
242 by SAMBA.

243

244 **Field-testing of SAMBA HIV-1 Semi-Q in Malawi and Uganda**

245 A total of 200 samples collected in Chiradzulu, Malawi, were from 72 men and 128
246 women, with the patients ranging in age from 18 to 61 years. Four patients were assigned
247 an ID but withdrew from the study with no sample being collected. The 154 samples
248 collected in Arua, Uganda, were from 68 men and 86 women, with ages ranging from 18 to
249 71 years. Overall, 70 patients (19.8%) were ART naïve and 284 (80.2%) had been on ART
250 for a period of 1 month to 10 years at the time of testing.

251

252 A total of 196 of the 200 samples collected in Malawi were correctly classified by SAMBA
253 HIV-1 Semi-Q (Table 2), with five samples (VLs of 601, 651, 922, 1539, and 1599

254 copies/ml) being included as concordant because of the accuracy limits of Roche TaqMan
255 v2. For Uganda, 146 of the 154 samples were concordant between SAMBA HIV-1 Semi-Q
256 and Roche TaqMan v2, with one sample (VL of 1061 copies/ml) being classified as such as
257 a result of the accuracy limits of the TaqMan assay. The concordance between SAMBA
258 HIV-1 Semi-Q and Roche TaqMan v2 was thus 96.6% overall, 98.0% in Malawi, and
259 94.8% in Uganda (Table 2).

260

261 Taking into consideration all data, 18 samples (5%) were discrepant between SAMBA and
262 Roche, including six samples within the $\pm 0.3 \log_{10}$ of the SAMBA Semi-Q cut-off. Twelve
263 of the 354 samples (3.4%) were truly discordant, with a VL outside of the $\pm 0.3 \log_{10}$
264 accuracy of the SAMBA Semi-Q cut-off. These 12 samples (four from Malawi and eight
265 from Uganda) included seven found to contain < 500 ($2.7 \log_{10}$) copies/ml and five found to
266 contain > 2000 ($3.3 \log_{10}$) copies/ml by Roche TaqMan v2 (Figure 2 and Table 2). These 12
267 discordant samples were retested with Abbott RealTime at one of two independent
268 laboratories and in a blinded manner with regard to the SAMBA HIV-1 Semi-Q and Roche
269 TaqMan v2 results. The Abbott RealTime results were concordant with the Roche TaqMan
270 v2 results for 10 of the 12 samples (Figure 2). Two of the original five discrepant samples
271 found to contain > 2000 ($3.3 \log_{10}$) copies/ml by Roche TaqMan v2 were found to contain
272 < 1000 copies/ml by Abbott RealTime.

273

274 **Analysis of VL distribution among African patients according to available clinical**
275 **information**

276 The VL of the 284 treated patients from Malawi and Uganda ranged from 0 to 2.6×10^6
277 copies/ml, whereas that of the 70 treatment-naïve individuals ranged from 1.2×10^2 to >1.0
278 $\times 10^7$ copies/ml (Figure 3). In both Malawi and Uganda, the SAMBA Semi-Q cut-off value
279 of 1000 copies/ml clearly separated individuals in the untreated group from those in the
280 treated group.

281

282 The VL results obtained with Roche TaqMan v2 for 232 patients on ART for 0.5 to 9 years
283 were stratified according to duration of therapy, and virological suppression was defined as
284 either <1000 copies/ml (SAMBA HIV-1 Semi-Q cut-off) or <5000 copies/ml (2010 WHO
285 guidelines) (Figure 4). With either definition, 93.8% of individuals manifested virological
286 suppression after 0.5 to 1 year on treatment. The percentage of individuals with suppression
287 fluctuated between 80.6 and 96.0% (1000 copies/ml definition) or between 83.9 and 96.0%
288 (5000 copies/ml definition) for treatment durations of 1 to 9 years. Similar results were
289 obtained with the two definitions of virological suppression because only six individuals
290 (2.6%) on treatment for 0.5 to 9 years had a VL between these two values.

291

292 **Discussion**

293 The SAMBA HIV-1 Semi-Q cut-off was validated for accuracy in comparison with the
294 Roche TaqMan v2 test with the use both of diluted clinical samples and of blinded plasma
295 samples in-house and in the field in two public-sector ART provision programs in Africa.
296 This study demonstrated that the SAMBA HIV-1 Semi-Q Test is able to effectively
297 differentiate between patients with a VL above or below the defined threshold of 1000
298 copies/ml. The current gold-standard VL assays have a given accuracy acceptance criterion

299 of $\pm 0.3 \log_{10}$ for Roche TaqMan v2 and $\pm 0.25 \log_{10}$ for Abbott RealTime relative to the
300 nominal input concentration.(23) For the present evaluations, we selected Roche TaqMan
301 v2 as the gold standard as it is more widely used. Any samples found to contain 500 to
302 2000 ($2.7 \log_{10}$ to $3.3 \log_{10}$) copies/ml by this assay were therefore considered concordant
303 with the SAMBA result, given that the true VL might lie on either side of the cut-off. A
304 dilution series for four samples containing HIV-1 subtype C tested by two operators
305 revealed excellent concordance (99.0%). The subtype coverage of SAMBA was evaluated
306 using a blinded EQA panel from Rush University, which SAMBA detected 100% of the
307 samples including subtype A, CRF01_AE, CRF02_AG, C, D, F and G. The SAMBA HIV-
308 1 Semi-Q cut-off was further validated in-house with a blinded panel of clinical samples,
309 including HIV-1 subtypes A–G and a range of recombinants, yielding an accuracy of
310 97.8%, showing that the accuracy extends over a wide variety of viral subtypes. The
311 reproducibility and accuracy of the SAMBA HIV-1 Semi-Q Test with fresh clinical
312 samples collected in the field were evaluated by a trained field technician in each of two
313 public-sector ART provision programs in Malawi and Uganda. The data were again
314 compared with Roche TaqMan v2 results, revealing an overall concordance of 96.6%. In
315 total, the concordance of SAMBA HIV-1 Semi-Q with Roche TaqMan v2 as determined
316 with clinical samples from London and Africa was 97.3% (568/584; 95% confidence
317 interval, 95.6–98.3), indicating that the performance of the SAMBA HIV-1 Semi-Q Test is
318 in line with that of the available commercial assays. Importantly, SAMBA Semi-Q was
319 performed on-site in Malawi and Uganda by trained MSF technicians, showing that it is
320 simple enough to serve as an appropriate diagnostic platform for use in district hospitals in
321 sub-Saharan Africa.

322

323 Our data suggest that SAMBA HIV-1 Semi-Q, with its cut-off of 1000 ($3 \log_{10}$) copies/ml,
324 is likely to prove a useful tool for assessment of the efficacy of ART and for identifying
325 patients either who have developed virological failure and possible antiretroviral resistance
326 or who have been infected with a drug-resistant strain of HIV-1. This cut-off level should
327 also help to minimize unnecessary treatment switching due to viral blips. In addition, given
328 that the test can be performed in the field within 90 minutes, the patient can remain on-site
329 and appropriate action can be taken during the same visit. This is hugely beneficial, given
330 the fact that patients frequently face very long journeys to and from the health centers. In
331 Khayelitsha, South Africa, the ability of ART to reduce VL to an undetectable level was
332 found to correlate with the timing of viral detection and the subsequent treatment adherence
333 support provided. [4] The availability of an easy-to-use, semi-quantitative, and inexpensive
334 rapid test to detect virological failure would therefore be expected to make an important
335 contribution to optimization of first- and second-line treatment in resource constrained
336 countries. (7)

337

338 Our analysis of VL distribution in African patients indicated that the SAMBA HIV-1 Semi-
339 Q cut-off is able to reliably differentiate patients on effective ART from non-treated
340 patients as well as identifying patients with virological failure according to current WHO
341 guidelines. Analysis of the VL of 232 patients on ART for 0.5 to 9 years showed that the
342 temporal pattern for virological failure as defined in the SAMBA HIV-1 Semi-Q model and
343 current WHO guideline (>1000 copies/ml) was highly similar to that observed with the
344 2010 WHO guidelines (>5000 copies/ml).

345

346 One key advantage of the SAMBA system is that it relies on visual detection of nucleic
347 acid on a test strip, with a readout similar to that of an HIV antibody rapid test. The
348 processed test strip can be shown to the patient as a reinforcement tool. However, although
349 the difference in signal strengths between positive and negative results for SAMBA HIV-1
350 Semi-Q is greater than that seen with many rapid tests, there remains the possibility of
351 transcription errors or misinterpretation of results by operators in the field. This limitation
352 will be overcome by the development of SAMBA 2, a fully integrated system in the form
353 of a small bench-top instrument, into which the sample is introduced and the result appears
354 on a screen or be printed on paper.

355

356 SAMBA HIV-1 Semi-Q is a semi-quantitative test for differentiation between patients with
357 a VL above or below 1000 copies/ml, which may be regarded as a limitation of the assay
358 given that currently available commercial tests provide a numerical readout. Although these
359 readouts appear accurate, the accuracy of the results differs between tests, being $\pm 0.3 \log_{10}$
360 for Roche TaqMan v2 and $\pm 0.25 \log_{10}$ for Abbott RealTime. Furthermore, the Abbott assay
361 consistently reads lower than the Roche test, (23) which was apparent in our analysis of
362 discrepant samples. These VL numbers can be useful for tracking the initial virological
363 response of individuals to treatment and are routinely reported to patients in industrialized
364 countries. However, in LMICs, where mass treatment-monitoring is required but is
365 currently not available, the main need of the clinician is to identify individuals who are not
366 responding to treatment and act accordingly as soon as possible. MSF have implemented

367 SAMBA Semi-Q for routine use at one site in Arua and two sites in Chiradzulu since
368 August 2013. Patients are monitored twice per year and results are given at the same visit.
369 Those with a VL >1000 copies/ml are counseled for adherence reinforcement and if they
370 still have a viral load >1000 copies/ml at the next visit they are switched to second-line
371 therapy.
372

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376

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379

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382 SAMBA technology developed at the university. The University of Cambridge and the
383 Wellcome Trust are also equity holders of DRW. The remaining authors declare no such
384 conflicts.

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- 459
460

461 Table 1. Validation of the SAMBA HIV-1 Semi-Q cut-off with diluted plasma samples
 462 containing HIV-1 subtype C.
 463

Sample	HIV-1 RNA (copies/ml)	Positive SAMBA HIV-1 Semi-Q result (>1,000 copies/ml)
1	151,114	100%
	15,111	100%
	1511	100%
	756	0%
	151	0%
	15	0%
2	30,543	100%
	3054	100%
	1527	100%
	305	0%
	31	0%
	3	0%
3	222,238	100%
	22,224	100%
	2222	100%
	1111	100%
	222	25%

	22	0%
	121,102	100%
	12,110	100%
4	1211	75%
	606	50%
	121	0%
	12	0%

464

465 Four plasma samples were serially diluted to achieve concentrations of viral RNA ranging

466 from 3 ($0.48 \log_{10}$) to 222,238 ($5.35 \log_{10}$) copies/ml according to quantification with

467 Roche TaqMan v2. Four replicates of each dilution were tested with SAMBA HIV-1 Semi-

468 Q.

469 Table 2. Comparison of SAMBA HIV-1 Semi-Q and Roche TaqMan v2 results for 488
 470 clinical samples from London ($n=134$), Malawi ($n=200$) and Uganda ($n=154$).
 471

SAMBA Semi-Q (copies/ml)	Roche TaqMan v2 (copies/ml)	
	<1000	>1000
<i>London</i>		
<1000	95	1
>1000	2	36
<i>Malawi</i>		
<1000	146	0
>1000	4	50
<i>Uganda</i>		
<1000	91	5
>1000	3	55

472
 473 Concordance between the two tests was 96.9% (473/488) overall, 97.8% (131/134) in
 474 London, 98.0% (196/200) in Malawi, and 94.8% (146/154) in Uganda.
 475
 476

477 Figure 1: SAMBA I system

478 A. SAMBA I instruments, SAMBAprep (right) and SAMBAamp (left) instruments

479 B. SAMBAamp cartridge showing results for (i) >1,000 copies/ml, (ii) < 1,000 copies/ml

480 and (iii) invalid.

481

482 Figure 2. Field-testing algorithm for SAMBA HIV-1 Semi-Q with 354 samples collected in

483 Malawi and Uganda and summary of results. All samples were tested with SAMBA HIV-1

484 Semi-Q and Roche TaqMan v2. Twelve samples were discrepant between SAMBA and

485 Roche and were tested with Abbott RealTime. Ten of the twelve samples were discrepant

486 between SAMBA and Abbott, two were discrepant between Abbott and Roche.

487

488 Figure 3. Distribution of VL among 284 patients receiving ART and 70 untreated

489 individuals in Malawi and Uganda. VL was determined with Roche TaqMan v2.

490

491 Figure 4. Virological suppression according to the SAMBA HIV-1 Semi-Q cut-off and

492 2013 WHO guidelines (1000 copies/ml) or 2010 WHO guidelines (5000 copies/ml) in 232

493 patients on ART for various number of years in Uganda and Malawi.







